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Iridium porphyrin synthesis, reactivity and catalysis, and the nanogold-catalyzed synthesis of lactams

by

Taiwo Olawale Dairo

A dissertation submitted to the graduate faculty

in partial fulfillment of the requirements for the degree of

DOCTOR OF PHILOSOPHY

Major: Inorganic Chemistry

Program of Study Committee: L. Keith Woo, Major Professor Javier Vela Levi M. Stanley Aaron D. Sadow Arthur Winter

Iowa State University

Ames, Iowa

2016

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ABSTRACT

The work presented in this report focuses on the synthesis and characterization of new iridium(III) porphyrin complexes, the iridium porphyrin-catalyzed insertion of diazo esters into the S-H bond of thiols (S-H insertion), and the efficient nanogold-catalyzed oxidation of amines into lactams, in the presence of atmospheric oxygen. Preliminary results of the nanogold-catalyzed synthesis of N,N'-disubstituted ureas from the room temperature reaction between primary amines, carbon monoxide and oxygen, are presented as well.

Upon treatment of (carbonyl)chloro(*meso*-tetra-*p*-tolylporphyrinato)iridium(III), (TTP)Ir(CO)Cl, with excess primary amines (amine = RNH_2 = benzylamine, n-butylamine, isopropylamine, and tert-butylamine), at 23 °C, in the presence of Na₂CO₃, trans-aminecoordinated iridium carbamoyl complexes, $(TTP)Ir(NH_2R)[C(O)NHR]$, were isolated in yields up to 94%. The lability of the amine ligands was established by variable-temperature NMR studies, ligand replacement reactions, and equilibrium binding studies. Consequently, hexacoordinate complexes of the type (TTP)Ir(L)[C(O)NHR] were synthesized, where L included quinuclidine, 1-methylimidazole, triethylphosphite, and dimethylphosphine. A series of ligand binding studies showed that both electronic and steric factors influenced ligand binding to the metal center. Furthermore, the nature of the *trans* ligand determined the reactivity of the carbamoyl ligand with the electrophile HBF₄. On the other hand, the carbamoyl ligand reacted with CH₃I in a similar fashion, whether the *trans* ligand contained a nitrogen or phosphorus donor.

This work also reports that the pentacoordinated Ir(TTP)CH₃ efficiently catalyzed the insertion of the carbene moieties from methyl diazoacetate (MDA), ethyl diazoacetate



(EDA), methyl phenyldiazoacetate (MPDA) and methyl (*p*-tolyl)diazoacetate (MTDA) into the S-H bond of different aromatic and aliphatic thiols. Product yields ranged from 70 - 97%. UV-visible titration showed that electron-rich thiols bind more strongly to iridium than their electron-poor counterparts. Substrate competition and trapping experiments also suggested that the insertion reactions proceed via an ylide intermediate. Furthermore, kinetic experiments showed that the observed reaction rates were a consequence of the competitive binding of thiol to the metal center of the catalyst and the nucleophilic attack of the thiol on the metal carbene intermediate.

The oxidation of cyclic amines into lactams was efficiently catalyzed by CeO₂-supported gold nanoparticles (Au/CeO₂) in the presence of 1 atmosphere of O₂. The complete conversion of pyrrolidine was achieved in 6.5 hours at 160 °C, affording a 97% yield of the lactam product 2-pyrrolidone (γ -butyrolactam), while 2-piperidone (δ -valerolactam) was synthesized from piperidine (83% yield) in of 2.5 hours. Caprolactam, the precursor to nylon-6, was obtained from hexamethyleneimine in 37% yield in 3 hours. The intermediacy of 5-(pyrrolidin-1-yl)-3,4-dihydro-2*H*-pyrrole (amidine-5) and 4-amino-1-(pyrrolidin-1-yl)butan-1-one) in the oxidation of pyrrolidine was established by their independent syntheses and catalytic conversions into 2-pyrrolidone. In addition, Au/CeO₂ efficiently catalyzed the oxidation of N-methyl cyclic tertiary amines to the corresponding lactams at 80 °C and 100 °C.

Finally, CeO₂-supported gold nanoparticles (Au/CeO₂) was found to catalyze the synthesis of N,N'-disubstituted ureas from the reactions of primary amines with 1 atmosphere each of CO and O₂. These reactions were found to proceed at 23 $^{\circ}$ C. The isolated



yield of N,N'-di-*n*-butylurea was 75%, while N,N'-dicyclohexylurea and N,N'diisopropylurea were isolated in 40% yield and 37% yield, respectively.



CHAPTER 1. INTRODUCTION

Over the past few decades, transition metal complexes have been employed as catalysts in several chemical transformations. Thus, the efficiency of transition metals in various catalytic transformations necessitates the synthesis of novel transition metal complexes. During the 1950s, a mixture of TiCl₄ and AlEt₃ (now known as the Ziegler-Natta catalyst) was shown to catalyze the polymerization of alkenes.^{1,2} Today, variants of the catalyst magnesium.³ incorporate cobalt and Years discoverv after the that tris(triphenylphosphine)rhodium(l)chloride [RhCl(PPh₃)₃] was capable of catalyzing the hydrogenation of olefins under ambient conditions of temperature and pressure,⁴ dicobalt octacarbonyl [Co₂(CO)₈] was also found to catalyze the hydroformylation of alkenes into aldehydes in the presence of carbon monoxide and hydrogen.^{5,6} Other prominent carboncarbon bond-forming reactions that are catalyzed by transition metal complexes include metathesis and coupling reactions. Following the seminal work by Chauvin in seeking to understand the mechanism behind olefin metathesis,⁷ several transition metal complexes have been developed to efficiently catalyze this class of chemical transformation. Notable among these catalysts were first reported in the 1980s^{8,9} and 1990s^{10,11}. Years later, modified versions of these molybdenum-based^{12,13} and ruthenium-based catalysts¹⁴⁻¹⁸ are now commercially available (Figure 1). Furthermore, the Heck,^{19,20} Sonogashira,²¹ Negishi,^{22,23} and Suzuki-Miyaura²⁴ coupling reactions, which are now widely-used methods of C-C bond formation,²⁵ are all catalyzed by palladium-based complexes.



Olefin metathesis catalysts



Figure 1. Some commercially available catalysts for olefin metathesis and crosscoupling reactions

By virtue of the porphyrin macrocycle, metalloporphyrins are robust and tunable, and are known to be highly active catalysts for the selective synthesis of several industrially and biologically relevant organic molecules via atom and group transfer reaction.²⁶ An atomeconomical route to new organic molecules involves carbene transfer reactions, with the use of diazo compounds as carbene precursors. In such reactions, non-toxic nitrogen gas (N₂) is the only by-product.²⁷ Interestingly, several porphyrin complexes of rhodium, cobalt, osmium, ruthenium, and iron have been employed as catalysts for such chemical transformations (Scheme 1).²⁶

Another versatile route to the synthesis of industrially important organic fragments is the oxidation of amines.^{28,29} For example, Hoh and co-workers,²⁸ in 1963, reported the synthesis of N,N-dimethyldodecylamine N-oxide (1) from the oxidation reaction between N,N-dimethyldodecylamine and hydrogen peroxide (H₂O₂) (eq. 1) For many decades, **1** has found use as a surfactant in the manufacture of soaps and detergents.^{30,31}



1.) N-H INSERTION



2.) C-H INSERTION



3.) CYCLOPROPANATION



Scheme 1. Examples of carbene transfer reactions that have been catalyzed by metalloporphyrins.



Imines (2; Scheme 2) also make up an important class of nitrogen-containing organic compounds, and they can be synthesized from the oxidation of amines.³² Several cases in w-

$$R-CH_2 \cdot NHR' \xrightarrow{1/2} O_2 R-CH=NR$$

Scheme 2. Oxidation of amines into imines.

-hich imines were generated from the oxidative dehydrogenation (ODH) of amines in the presence of copper catalysts have been reported in the literature.³³⁻³⁶ Such a report was made



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in 1995 by Shimizu and co-workers,³³ wherein copper(II)chloride (CuCl₂) was found to catalyze the oxidation of tetrahydroisoquinolines and tetrahydroquinoline into the corresponding imines **3** and **4**, respectively (Figure 2). Indole (**5**) was also synthesized at 80 °C from the reaction between indoline and atmospheric oxygen, in the presence of copper(I) chloride (CuCl).³⁴ Furthermore, CuCl catalyzed the air oxidation of different substituted benzylamines into the corresponding N-benzylidene benzylamine (**6**) at 100 °C.³⁵



Figure 2. Examples of imines that have been generated from the copper-catalyzed oxidation of primary and secondary amines.

In a groundbreaking 1987 report, nanogold supported on metal oxides was shown to have catalyzed the oxidation of CO into CO₂ at -77 °C.³⁷ In 2006, Angelici reported the bulk-gold catalyzed formation of carbodiimides from the reaction of amines with isocyanides and O₂,³⁸ as well as the bulk gold-catalyzed synthesis of N,N'-substituted ureas from amines, carbon monoxide and molecular oxygen.³⁹ Furthermore, the synthetic and catalytic literature of iridium porphyrin is relatively sparse, despite the catalytic roles of metalloporphyrins in chemical transformations. In view of the aforementioned, the work that is reported in this thesis was undertaken to demonstrate the rich stoichiometric and



catalytic reactivity of iridium porphyrins, and also explore the catalytic activity of nanogold in the synthesis of industrially relevant compounds from the aerobic oxidation of amines.

Following the general introduction above is a brief literature review of iridium porphyrin complexes, and gold-catalyzed amine oxidation reactions.

Synthesis and Catalytic Activities of Iridium Porphyrins

In 1967, Sadasivan and Fleischer reported the first synthesis of an iridium(III) porphyrin complex.⁴⁰ In that work, a solution of [Ir(CO)₃Cl] in ethylene glycol monomethyl ether was refluxed with hematoporphyrin diethyl ester in the presence of sodium carbonate or sodium acetate. After purification by column chromatography, the iridium porphyrin product was assigned as [Ir(CO)(por)][X]. The axial ligand X was assumed to be acetate or chloride, and the existence of a CO ligand in this new complex was established by its intense IR band at around 2060 cm^{-1,40} The same authors reported, in 1968, a new method for synthesizing iridium(III) porphyrins.⁴¹ This method involved the use of in situ generated iridium(I) cyclooctene complexes. After treatment with sodium carbonate, the iridium(I) intermediates were then refluxed with acetic acid solutions of free base porphyrins. Each iridium porphyrin complex that was produced from these reactions contained one carbonyl and one cyclooctene ligand in each of the two axial positions.⁴¹ Ten years later, Ogoshi⁴² and coworkers reported that when octaethylporphyrin, OEP (8; Scheme 3), was refluxed with $[Ir(CO)_3Cl]_2$ or $[Ir(COD)Cl]_2$ in xylene, the major iridium porphyrin product was (OEP)Ir(CO)Cl (9) (Scheme 3). In the same report, some other compounds that were synthesized include (OEP)Ir(CH₂CH₂R) (R = CN, CO₂Et), (OEP)Ir(R') (R' = CH₃, C₂H₅, *n*-



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 C_6H_{13}), (OEP)Ir(H), and (OEP)Ir(I). Subsequently, Sugimoto and co-workers⁴³ described the synthesis of several iridium(III) porphyrin complexes from (OEP)Ir(CO)Cl (9). The syn-



Scheme 3. Synthesis of (carbonyl)chloro(octaethylporphyrinato)iridium(III).

-thesized compounds were (OEP)Ir(CO)X (X = BF₄, CN, Br), (OEP)Ir(CH₃)L (L = CO, 1-MeIm, py, NH₃), and [(OEP)Ir(CH₃)CN]⁻. The synthesis of (OEP)Ir(*n*-C₃H₇) and (OEP)Ir(*n*-C₃H₇)L (L = CO, NEt₃, 1-MeIm, py, Me₂SO, PPh₃) have been reported as well.⁴⁴ The relative binding constants for the L ligands were determined by UV-visible studies, and the structures of (OEP)Ir(C₃H₇)Me₂SO and (OEP)Ir(C₃H₇)PPh₃ were confirmed by x-ray diffraction studies. Furthermore, the same authors reported the synthesis and characterization of [(OEP)Ir(C1)]₂dppe [dppe = 1,2-bis(diphenylphosphino)ethane] from (OEP)Ir(C₃H₇).⁴⁵ It is noteworthy that phosphorescent iridium complexes of the octaethylporphyrin ligand have been reported as well.⁴⁶ It has also been found that treatment of (TTP)Ir(CO)Cl or (TTP)Ir(CH₃) (TTP = *meso*-tetra-*p*-tolylporphyrin dianion) with aryl aldehydes (ArCHO; Ar = C₆H₅, 4-OCH₃-C₆H₄, 4-CH₃-C₆H₄, 4-F-C₆H₄, 4-F-C₆



reactions between (TTP)Ir(CO)Cl and toluenes,⁴⁸ while the synthesis of (TTP)Ir(C₂H₄OH), (TTP)Ir(py)(CH₂CH₂Phth), (TTP)Ir(THF)(Phth), and (TTP)Ir(py)(Phth) (Phth = phthalimide anion) have been reported as well.⁴⁹ Other known iridium porphyrin complexes feature N-heterocyclic carbene (NHC), diaminocarbene, as well as mono- and bis(isocyanide) ligands (10 - 13, Figure 3).⁵⁰



Figure 3. Iridium(III) porphyrin complexes containing NHC, diaminocarbene, monoand bis(isocyanide) groups as axial ligands.



Figure 4. Water-soluble iridium(III) diaqua porphyrin (14) and an iridium(III) complex of a π – extended poprhyrin (15).

While Ogo *et al.* have reported the synthesis of a water-soluble diaqua iridium(III) porphyrin (14),⁵¹ there's also been a report of an iridium complex of a π – extended



poprhyrin (15) by Kadish and co-workers.⁵² The catalytic activities of iridium porphyrin complexes have been demonstrated as well. van Baar and co-workers demonstrated the electrocatalytic (at potentials between 0 and 0.25 V) oxidation of CO into CO₂ in the presence of a carbon-supported iridium(III) porphyrin complex in an aqueous medium.⁵³ The oxidation was proposed to proceed via a nucleophilic attack of a water molecule on a molecule of CO which is adsorbed on the surface of the iridium metal. Furthermore, the electrocatalytic reduction of O₂ into H₂O, in the presence of iridium porphyrin catalysts, has been reported independently by Collman⁵⁴ and Anson.⁵⁵ In the latter case, improved catalyst performance was achieved when the surfactant didodecyldimethylammonium bromide (DDAB) was used in combination with the iridium catalyst.⁵⁵ Also, a U.S. patent was granted for an invention describing olefin epoxidation in the presence of air or pure oxygen, catalyzed by an iridium porphyrin complex, as well as other metalloporphyrins, at ambient temperature and pressure.⁵⁶ The use of iridium porphyrins as catalysts for carbene transfer has also been encouraging. Woo has demonstrated that Ir(TTP)CH₃ is an active catalyst for cyclopropanation,⁵⁷ N-H insertion,⁵⁸ and C-H insertion⁵⁹ reactions, with diazo compounds as carbene sources. Catalytic turnovers up to 4.8×10^5 were achieved for the cyclopropanation reaction of styrene, with ethyl diazoacetate. In that work, the catalytic activities of Ir(TTP)CO(X) (X = Cl, Br, I, SCN) and $Ir(TTP)Cl(NMe_3)$ were also demonstrated.⁵⁷ In the case of N-H insertion reactions catalyzed by Ir(TTP)CH₃, the substrate scope included primary aromatic and aliphatic amines, secondary amines, ethyl and methyl diazoacetate, as well as the bulky methyl phenyl diazocaetate.⁵⁸ However, a narrow substrate scope was observed for the Ir(TTP)CH₃-catalyzed C-H insertion system.⁵⁹ Subsequently, Rodríguez-Garcia et al reported the iridium porphyrin-catalyzed



intramolecular C-H insertion reactions of diazo compounds. The catalytic activities of OEP[Ir(CO)₃]₂, TPP[Ir(CO)₃]₂, Ir(OEP)CO(Cl), and Ir(TTP)CO(Cl) were demonstrated in that study.⁶⁰ A chiral iridium(III) porphyrin complex has also been shown to catalyze the enantioselective intramolecular C-H insertion reactions of diazo substrates.⁶¹

Gold-Catalyzed Amine Oxidation

Angelici and co-workers were the first to demonstrate the catalytic activity of bulk gold powder. They reported the bulk gold-catalyzed synthesis of carbodiimides from reactions of

$$R-N \equiv C + R'_{2}NH + 1/_{2}O_{2} \xrightarrow{Au} RHN NR'_{2} (2)$$

$$R-N \equiv C + R'_{2}NH + R''_{3}NO \xrightarrow{Au} RHN NR'_{2} + R''_{3}N (3)$$

$$H + 1/_{2}O_{2} \xrightarrow{Au} N + H_{2}O (4)$$

$$N + 1/_{2}O_{2} \xrightarrow{Au} N + H_{2}O (4)$$

$$N + N + N + 1/_{2}O_{2} \xrightarrow{Au} RHN + H_{2}O (4)$$

$$R - N = C + R'_{2}NH + 1/_{2}O_{2} \xrightarrow{Au} RHN + H_{2}O (4)$$

$$R - N = C + R'_{2}NH + 1/_{2}O_{2} \xrightarrow{Au} RHN + H_{2}O (4)$$

$$R - N = C + R'_{2}NH + 1/_{2}O_{2} \xrightarrow{Au} RHN + H_{2}O (4)$$

$$R - N = C + R'_{2}NH + 1/_{2}O_{2} \xrightarrow{Au} RHN + H_{2}O (4)$$

$$R - N = C + R'_{2}NH + 1/_{2}O_{2} \xrightarrow{Au} RHN + H_{2}O (4)$$

isocyanides with primary amines and O_2 ,³⁸ as well as the bulk gold-catalyzed reactions of amines with CO and O_2 to give substituted ureas.³⁹ Subsequent bulk gold-catalyzed amine oxidation reactions include the formation of ureas from the reactions between secondary amines, isocyanides, and gaseous oxygen (eq. 2).⁶² The urea yields ranged from 19 – 51%, within 24 h of reaction at 60 °C, and the reaction rates were only slightly dependent on



oxygen concentration. Imines (from bulky secondary amine substrates) (eq. 4) and amidines (from cyclic secondary amines) have also been obtained in yields of 15 – 46% (at 60 °C in a reaction time of 40 h) and 75 – 93% yields (at 100 °C in a reaction time of 24 h) from the bulk gold-catalyzed aerobic oxidation reactions of amines.⁶³ In addition, enamines have been obtained in yields up to 94% when primary and secondary amines were heated with ethyl diazoacetate (EDA) in the presence of 1.00 g of bulk gold powder in acetonitrile solvent (eq. 6) In that study, neither N-H insertion products nor dimerization products of EDA were obtained.⁶⁴ In place of oxygen gas, amine N-oxides have been shown to be capable of oxidizing amine substrates in the presence of bulk gold catalyst (eq. 3 and 5).^{65,66} In all cases, the gold-to-amine substrate ratio ranged from 8.5:1 to 317:1. However, nanogold has been shown to catalyze the oxidation of many substrates such as CO,^{67,71} cyclohexene,⁷³ aldehydes,⁷⁴ alcohols,^{75,76} and glucose,⁷⁷ including primary and secondary amines,⁷⁸⁻⁸⁰ and the catalyst loading in the nanogold-catalyzed reactions were much lower than the bulk gold-catalyzed systems.

Summary and Outlook

The foregoing introduction and literature review re-emphasize the importance of transition metals in the transformations of organic chemical substrates. In particular, iridium porphyrins and metal oxide-supported nanogold represent a class of transition metal catalysts, which show promise. Thus, we were driven to further explore the stoichiometric and catalytic reactions of iridium porphyrin complexes, and also apply the well-established catalytic activity of supported nanogold in the synthesis of organic molecules. Reported herein, is the synthesis and reactivity of novel iridium porphyrin carbamoyl complexes, the



iridium porphyrin-catalyzed insertion of carbene moieties into the S-H bonds of thiols, and the efficient synthesis of industrially relevant secondary and tertiary lactams from ceriasupported-nanogold-catalyzed oxidation of cyclic amines. Preliminary results of the nanogold-catalyzed synthesis of synthesis of N,N'-disubstituted ureas from the reactions of amines with carbon monoxide and oxygen are reported as well.

Dissertation Organization

This dissertation contains a total of six (6) chapters. Chapter 1 contains a general introduction as well as a literature review of iridium porphyrin complexes, and gold-catalyzed amine oxidation reactions. Chapter 2 is a modified version of a published paper, while chapter 3 is modified from a manuscript that is being prepared for submission to a peer-reviewed journal. Chapter 4 is modified from a manuscript that has been submitted for publication, while chapter 5 represents preliminary results from a new project. The final chapter describes the general conclusions that can be drawn from the contents of this dissertation.

All of the experimental work in chapter 2 was done by Taiwo O. Dairo, apart from x-ray data collection and analysis which were done by the crystallographer, Dr. Arkady Ellern. Furthermore, Taiwo O. Dairo carried out all of the work reported in chapters 3 and 5. About 90% of the work reported in chapter 4 was performed by Taiwo O. Dairo, while Nicholas C. Nelson carried out catalyst characterization.



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CHAPTER 2. ADDITION OF AMINES TO A CARBONYL LIGAND: SYNTHESES, CHARACTERIZATION, AND REACTIVITIES OF IRIDIUM(III) PORPHYRIN CARBAMOYL COMPLEXES

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Abstract

Treatment of (carbonyl)chloro(meso-tetra-p-tolylporphyrinato)iridium(III), (TTP)Ir(CO)Cl (1) with excess primary amines, at 23 °C, in the presence of Na₂CO₃, produces trans-amine-coordinated iridium carbamoyl complexes, $(TTP)Ir(NH_2R)[C(O)NHR]$, where R = Bn (2a), *n*-Bu (2b), *i*-Pr (2c) and *t*-Bu (2d), with isolated yields up to 94%. The trans amine ligand is labile and can be replaced with (1-azabicyclo[2.2.2]octane, ABCO), quinuclidine 1-methylimidazole (1-MeIm), triethylphosphite [P(OEt)₃], and dimethylphosphine (PMe₂Ph), at 23 °C, to afford hexacoordinated carbamoyl complexes, (TTP)Ir(L)[C(O)NHR] (for R = Bn: L = ABCO, **3a**; 1-MeIm, 4a; P(OEt)₃, 5a; PMe₂Ph, 6a). Based on ligand displacement reactions and equilibrium studies, ligand binding strengths to the iridium metal center were found to decrease in the following order: $PMe_2Ph > P(OEt)_3 > 1-MeIm > ABCO > BnNH_2 > Et_3N$, PCy₃. The carbamoyl complexes (TTP)Ir(L)[C(O)NHR], where $L = RNH_2$ (**2a-b**) or 1-MeIm (4a), undergo protonolysis with HBF_4 to give the cationic carbonyl complexes



 $[(TTP)Ir(NH_2R)(CO)]BF_4$ (7a-b) and $[(TTP)Ir(1-MeIm)(CO)]BF_4$ (8), respectively. In contrast, the carbamoyl complexes (TTP)Ir(L)[C(O)NHR], where $L = P(OEt)_3$ (5a) and PMe_2Ph (6a and 6c), reacted with HBF₄ to afford the complexes [(TTP)Ir(PMe_2Ph)]BF₄ (9) [(TTP)IrP(OEt)₃]BF₄ (10),respectively. The carbamovl complexes and (TTP)Ir(L)[C(O)NHR], where $L = RNH_2$ (2a-d), 1-MeIm (4a), $P(OEt)_3$ (5b) and PMe_2Ph (6c), reacted with methyl iodide to give the iodo complexes (TTP)Ir(L)I (L = RNH₂, 11a-d; 1-MeIm, 12; P(OEt)₃ 13; and PMe₂Ph, 14). Reactions of the complexes $[(TTP)Ir(PMe_2Ph)]BF_4$ (9) and $[(TTP)IrP(OEt)_3]BF_4$ (10) with $[Bu_4N]I$, benzylamine (BnNH₂) and PMe₂Ph afforded (TTP)Ir(PMe₂Ph)I (14), (TTP)Ir[P(OEt)₃]I (13), $[(TTP)Ir(PMe_2Ph)(NH_2Bn)]BF_4$ (16) and *trans*- $[(TTP)Ir(PMe_2Ph)_2]BF_4$ (17), respectively. Metrical details for the molecular structures of 4a and 17 are reported.

Introduction

Metal carbonyl complexes play a significant role in industrial and organometallic chemistry, serving as important starting materials and catalysts.¹ A key reaction for these complexes is the addition of nucleophiles to the carbonyl ligand. This reactivity provides access to useful organic molecules such as dimethylformamide, methanol, etc.² Furthermore, the nucleophilic addition of the hydroxide anion to the CO ligand in metal carbonyl complexes has been identified as a key step in the water gas shift reaction.³

Addition of amines to a transition metal-bound carbonyl ligand is a convenient route to the synthesis of metal carbamoyl (or carboxamido) complexes (eq 1).⁴ In general, metal carb-

$$L_{n}M-CO + 2 HNRR' = L_{n}M-C + H_{2}NRR' (1)$$

R, R' = H or alkyl NRR'



-onyl complexes that are susceptible to nucleophilic amine addition to form metal carbamoyl complexes have v(CO) above 2000 cm⁻¹, an indication of the electrophilicity of the CO ligand.^{5,6} Some of the earliest reported examples of carbamoyl complexes prepared by this route include those of Mn, Ru, Pt, and Fe.⁴ Kinetic studies involving the reaction of amines with *trans*-[M(CO)₄L₂]PF₆ (where M = Mn, Re and L = PPh₃, PMePh₂, PMe₂Ph), have revealed that the rate of formation of carbamoyl complexes has a second order dependence on the amine concentration. To rationalize this rate dependence, a mechanism involving amine assisted nucleophilic attack at the carbonyl carbon atom was proposed (Scheme 1).⁷



Scheme 1. Carbamoyl complexes via nucleophilic attack of amine on M-CO

Subsequently, metal carbamoyl complexes were either observed or suggested to be involved in several catalytic and stoichiometric chemical transformations. For example, the catalytic oxidative carbonylation of *n*-butylamine to the 1,3-substituted urea, using [(CO)₂W(NPh)I₂]₂ as a catalyst, was proposed to involve the tungsten carbamoyl complex, (CO)W[C(O)NHBu](NH₂R)₂(NPh)I, as an intermediate.⁸ This was supported further by IR spectroscopic studies with stoichiometric reactions of excess secondary and primary amines with [(CO)₂W(NPh)I₂]₂ which produced formamides and 1,3-disubstituted ureas, respectively, in the presence of air as an oxidizing agent.⁹ In addition, treatment of palladium carbamoyl complexes with halogens or other oxidizing agents produced isocyanates, in quantitative yields (eq 2).¹⁰



$$\begin{array}{c} \mathsf{PdClL}_2[\mathsf{C}(\mathsf{O})\mathsf{NHR}] &\longrightarrow \mathsf{PdClXL}_2 + \mathsf{RNCO} \quad (2) \\ + X_2 & \mathsf{HX} \quad \mathsf{X} = \mathsf{Cl}, \mathsf{I} \end{array}$$

20

Despite the diversity of metal carbamoyl complexes that exist,¹¹⁻¹⁷ reports on the synthesis and isolation of metalloporphyrin carbamoyl complexes are rare. One example involves the formation of the carbamoyl complex, (TPP)Rh[C(O)NEt₂], from the reaction of (TPP)Rh(CO)Cl with LiNEt₂ in HNEt₂. Treatment of the Rh carbamoyl product with HCl starting chlorocarbonyl complex.¹⁸ reformed the In addition, octaethyland tetraphenylporphyrinato rhodium carbamoyl complexes, (OEP)Rh[C(O)NHR] and (TPP)Rh[C(O)NHR], were observed as trace products in reactions of bis(isocyanide) porphyrinato rhodium(III) complexes, [(OEP)Rh(CNR)2]PF6 and [(TPP)Rh(CNR)2]PF6 with nucleophiles, such as methanol, to form cationic rhodium diaminocarbene species, $[(OEP)Rh = C(NHR)_2]PF_6$ and $[(TPP)Rh = C(NHR)_2]PF_6$.¹⁹ Furthermore, Wayland and coworkers²⁰ isolated pentacoordinate carbamoyl complexes of rhodium octaethylporphyrin, (OEP)Rh[C(O)NHR], by treating [(OEP)Rh]₂ with CO and primary amines (eq 3). In this case, the reaction was proposed to proceed via a hydroxyaminocarbene complex, [(OEP)Rh=C(OH)NHR]⁺.

$$1/2 [(OEP)Rh]_2 \longrightarrow (OEP)Rh-C(O)NHR$$
 (3)
+ CO + RNH₂ - $1/2$ H₂

Although the isolation and characterization of the pentacoordinate octaethylporphyrinato rhodium carbamoyl complexes were described, the reactivities of these metalloporphyrin carbamoyl complexes were not explored. We report herein the syntheses, characterization, and reactivities of novel hexacoordinate porphyrinato iridium carbamoyl complexes.



Results and Discussion

Reactions of (TTP)Ir(CO)Cl with amines: Generally, carbonyl groups react with amines, to give carbamovl ligands, when v(CO) is greater than 2000 cm⁻¹.^{5,6} The v(CO) value of (TTP)Ir(CO)Cl (2056 cm⁻¹)²¹ suggested that the carbonyl ligand should be susceptible to nucleophilic attack. Thus, treatment of THF-solutions of (TTP)Ir(CO)Cl (1) with primary amines, at 23 °C, immediately resulted in a color change from red to brown. ¹H-NMR monitoring of the reactions revealed that product formation was complete within 3 min. Amine-coordinated iridium carbamoyl complexes, (TTP)Ir(NH₂R)[C(O)NHR], were isolated from the reaction mixtures in 73% to 94% yields (Scheme 2). Use of 2 equiv of the amine resulted in quantitative reactions, as monitored by NMR. In order to facilitate product isolation, both excess amine (up to 67 equiv) and excess sodium carbonate were needed. Without Na₂CO₃, work-up resulted in some contamination with (TTP)Ir(CO)Cl, presumably due to reversion of the reaction. Similar observations were reported in the syntheses of the *cis*-Mn(CNR)[C(O)NHMe](CO)₂(bipy) carbamoyl complexes, from fac- $[Mn(CNR)(CO)_3(bipy)]^+$ and MeNH₂.¹⁷



Scheme 2. Syntheses of carbamoyl complexes (TTP)Ir(NH₂R)[C(O)NHR] (2a-d). *Meso-*tolyl groups omitted.

Formation of the carbamoyl complexes was readily followed spectroscopically, as evidenced by the replacement of the ¹H NMR β -pyrrole signal of (TTP)Ir(CO)Cl (1), with



the β -pyrrole signal of the corresponding carbamoyl products **2a-d.** The ¹H NMR spectra also showed upfield shifts for the carbamoyl and the *trans*-amine ligands, relative to the free amine chemical shifts. These upfield shifts of the axial ligand signals are attributed to the well-known ring current effect of the porphyrin macrocycle.^{22,19} For example, the methylene protons of free benzylamine resonate at 3.55 ppm, in C₆D₆. In comparison, the methylene signal of the N-benzylcarbamoyl ligand in complex **2a** appeared as a two-proton doublet at 2.00 ppm, while the methylene protons of the *trans*-benzylamine in **2a** resonated at -1.78 ppm (2H, br), also in C₆D₆. Generally, the proton signals of the amine ligand are shifted more upfield than those of the carbamoyl fragment, due to the closer proximity of the amine to the porphyrin macrocycle. This is illustrated by (TTP)Ir(NH₂^{*i*}Pr)[C(O)NHPr^{*i*}] (**2c**) in which the *i*-propyl methyl signal of the amine ligand at -0.75 ppm.

At 26 °C, the amine proton signals in the carbamoyl compounds **2a–d** were notably broadened relative to all other signals (Fig. S1, S5, S7, and S9), suggesting that the amine ligand was labile. Cooling the NMR sample (in CDCl₃) to 0 °C resulted in a sharpening of these signals (Fig. S2). Further evidence of this lability was demonstrated by ¹H NMR experiments with added amine. When ~ 1.5 equiv of benzylamine was added to a C₆D₆ solution of **2a**, at 26 °C, the ¹H NMR spectrum exhibited broad methylene signals for both the coordinated (-1.78 ppm) and free (3.55 ppm) amines. When the temperature of the NMR sample was increased to 45 °C, these signals coalesced into the baseline. Restoring the sample temperature to 26 °C produced the original spectrum in which separate free and coordinated amine signals became visible again.



Ligand replacement reactions: The lability of the coordinated amines was further demonstrated by their ease of substitution at 23 °C, by the ligands L = quinuclidine (1azabicyclo[2.2.2]octane, ABCO), 1-methylimidazole (1-MeIm), triethylphosphite [P(OEt)₃] and dimethylphenylphosphine (PMe₂Ph), leading to the isolation of the complexes (TTP)Ir(L)[C(O)NHR] (**3-6**) (Scheme 3). Benzylamine in (TTP)Ir(NH₂Bn)[C(O)NHBn] (**2a**) was completely displaced by 1 equiv. of 1-methylimidazole within 3 min. Coordination of the imidazole in complex (TTP)Ir(1-MeIm)[C(O)NHBn] (4a) was established by the appearance of sharp proton singlets at 0.43 (3H), 1.63 (1H), 1.74 (1H), and 3.70 ppm (1H), assigned to the methyl and ring protons of the bound 1-MeIm, which are upfield-shifted from 2.51 (methyl protons), 6.26, 6.99, and 7.22 ppm (ring protons), respectively, in the free 1-MeIm. The N-benzylcarbamoyl ligand remained bound to the metal center, as evidenced by ¹H NMR signals of the carbamovl NH (-1.13 ppm, t) and CH₂ (2.07 ppm, d) in complex 4a, which were downfield-shifted, in comparison with the carbamovl NH and CH_2 proton signals (-1.34 ppm and 2.00 ppm respectively) of complex 2a. In complex 6a, the ³¹P NMR signal of PMe₂Ph shifted downfield from -46.61 ppm to -41.23 ppm upon coordination to iridium (Table 1). A similar downfield shift in the ³¹P NMR signal for phosphine ligand coordination to the rhodium tetraphenylporphyrin complex, (DPAP)₂Rh(III)TPP, where DPAP is diphenyl(phenylethynyl)phosphine, was observed earlier by Stulz and co-workers.²³ In contrast, coordination of P(OEt)₃ to Ir in 5a-b resulted in a large upfield shift of the phosphite signal (Table 1). An analogous large upfield shift in the compound n-MeCp(CO)₂Mn(P(OEt)₃) was rationalized by metal d-electron back donation to the π -acid P(OEt)₃ ligand.²⁴

The ¹³C chemical shifts for the α -C of the carbamoyl ligands were readily assigned in the





Scheme 3. Substitution of amine ligands in (TTP)Ir(NH₂R)[C(O)NHR. *Meso*-tolyl groups omitted.

Table 1. ³¹P NMR data^a for P(OEt)₃ and PMe₂Ph as free ligands and coordinated to carbamoyl complexes, (TTP)Ir(L)[C(O)NHR], $L = P(OEt)_3$ (5a-b); PMe₂Ph (6a-c).

³¹ Ρ (δ)	(TTP)Ir(L)[C(O)NHR]	³¹ P (δ)
Free Ligand		Bound L
138.06 (P(OEt) ₃)	$\mathbf{R} = \mathbf{Bn} \ (\mathbf{5a})$	72.06
	$\mathbf{R} = {^n}\mathbf{Bu} \ (\mathbf{5b})$	72.88
-46.61 (PMe ₂ Ph)	$\mathbf{R} = \mathbf{Bn} \ (\mathbf{6a})$	-41.23
	$\mathbf{R} = {^n}\mathbf{Bu} \ (\mathbf{6b})$	-41.32
	$\mathbf{R} = {^{i}}\mathbf{Pr} \ (\mathbf{6c})$	-41.27

^aWith C₆D₆ solution of PPh₃ (³¹P NMR δ : -5.53 ppm) as an external standard.

P(OEt)₃ and PMe₂Ph complexes (**5a-b** and **6a-c**, respectively), due to 2-bond ³¹P-¹³C coupling. For example, in **5a**, a low-field ¹³C doublet appeared at 162.72 ppm (${}^{2}J_{P-C} = 270.3$ Hz), while a low-field ¹³C doublet appeared at 163.87 ppm (${}^{2}J_{P-C} = 184.2$ Hz) for **6a** (Table 2).

Relative binding strengths of the ligands: A series of substitution reactions to determine the relative binding affinities of the BnNH₂, ABCO, 1-MeIm, and P(OEt)₃ ligands at the iridium center in the (TTP)Ir(L)[C(O)NHBn] complexes was monitored by ¹H NMR (eq 4). Equilibrium constants determined for ligand exchange reactions in C₆D₆ at 25 °C are

Complex	R	δ Carbamoyl α- C
 5a	Bn	162.72 (d, ${}^{2}J_{P-C} = 270.3 \text{ Hz}$)
5b	ⁿ Bu	162.73 (d, ${}^{2}J_{P-C} = 267.3$ Hz)
6a	Bn	163.87 (d, ${}^{2}J_{P-C} = 184.2 \text{ Hz}$)
6b	ⁿ Bu	163.87 (d, ${}^{2}J_{P-C} = 182.7$ Hz)
6c	^{<i>i</i>} Pr	163.39 (d, ${}^{2}J_{P-C} = 184.2$ Hz)

Table 2. ¹³C NMR data^a for the α -C of the carbamoyl ligands in (TTP)Ir(L)[C(O)NHR], where L = P(OEt)₃ (5a-b) and PMe₂Ph (6a-c)

^aIn CDCl₃

listed in Table 3.



Table 3. Equilibrium constants for ligand exchange reactions involving (TTP)Ir(L)[C(O)NHBn] at 25 °C (eq 4).

Entry	L_1	L_2	K ^a
1	BnNH ₂	ABCO	9.4 ± 0.2
2	ABCO	$BnNH_2$	0.11 ± 0.01
3	MeIm	$BnNH_2$	0.06 ± 0.02
4	ABCO	MeIm	1.9 ± 0.1
5	MeIm	ABCO	0.58 ± 0.05
6	ABCO	P(OEt) ₃	14.4 ± 1.0
7	P(OEt) ₃	ABCO	0.07 ± 0.004
8	MeIm	P(OEt) ₃	5.7 ± 0.5
9	P(OEt) ₃	MeIm	0.18 ± 0.01

^aReactions were carried out in C₆D₆, under air, with 1,3,5-mesitylene as an internal standard, and monitored by ¹H NMR (600 MHz).

The data in Table 3 show that $P(OEt)_3$ is more strongly bound to the iridium than 1-MeIm, based on the values of the equilibrium constants shown in entries 8 and 9, and 1-



MeIm is more strongly bound to the metal center than ABCO, as indicated by the equilibrium constants in entries 4 and 5.

In general, the more basic amines (conjugate acid pKa values listed in parentheses) nbutylamine (10.59), benzylamine (9.34), *i*-propylamine (10.63), and *t*-butylamine (10.55)²⁵ were readily replaced by the less basic 1-MeIm (7.2),²⁶ P(OEt)₃ (3.31),²⁷ and PMe₂Ph (6.50).²⁷ Moreover, only 1 equiv of P(OEt)₃ or PMe₂Ph was required to completely displace 1-MeIm, from the Ir carbamoyl complexes, (TTP)Ir(1-MeIm)[C(O)NHR]. This indicates that factors other than the ligand basicity, such as π -acidity and softness, influence ligand binding. Thus, the d⁶ Ir(III) center, a soft acid²⁸ and electron rich π -donor, prefers 1-methylimidazole and phosphorus ligands (soft bases) over amines (hard bases). Other studies (see below) indicate that the order of neutral ligand binding to (TTP)Ir(L)[C(O)NHBn] decreases in the order: PMe₂Ph > P(OEt)₃ > 1-MeIm > ABCO > BnNH₂ >> Et₃N, PCy₃. The stronger binding of PMe₂Ph compared to P(OEt)₃ is based on the observation that 5 equivalents of P(OEt)₃ failed to displace PMe₂Ph from the carbamoyl complex 6a at 23 °C. These results are in accord with the higher σ -donating ability of PMe₂Ph, relative to P(OEt)₃.²⁴ In addition to electronic factors, steric hindrance also influences the binding of axial ligands to the iridium center. The reaction with tricyclohexylphosphine, PCy₃, (pKa 9.70, cone angle 170°)²⁷ illustrates the importance of steric hindrance. When 1.5 equivalents of PCy₃ was added to a C_6D_6 solution of **2a** at 23°C, no reaction occurred after 12.5 hours, as monitored by ¹H NMR. Other less basic and less sterically hindered tertiary phosphines, such as $P(n-Bu)_3$ (pKa 8.43, cone angle 136°) and PPh₃ (pKa 2.73, cone angle 145°)²⁷ readily displaced BnNH₂ from complex 2a. Steric bulk also affects the binding of amines. This was apparent during an attempt to replace the benzylamine ligand (cone angle 106° ; pKa 9.34)^{25,29} in complex **2a**



with Et₃N (cone angle 150°; pKa 10.65)^{25,29} in C₆D₆, at 23 °C. Although Et₃N is more basic than BnNH₂, no reaction was observed, even after heating the reaction at 90 °C for almost 9 hours with 2 equivalents of Et₃N. However, when an excess of the more basic but less sterically hindered tertiary amine, quinuclidine (pKa 11.0° ,³⁰ cone angle $132^{\circ29}$), was added at ambient temperature, to a C₆D₆ solution of complex **2a**, complete displacement of BnNH₂ was observed, affording complex **3a**, in less than 7 minutes. All of these results indicate that both electronic and steric properties of the L ligand contribute to the overall trend in binding strengths in the (TTP)Ir(L)[C(O)NHBn] complexes.

The molecular structure for (TTP)Ir(1-MeIm)[C(O)NHBn] (4a) was solved by singlecrystal X-ray diffraction analysis (Fig. 1). The benzyl group of the N-benzylcarbamoyl ligand [C(O)NHBn] is anti to the iridium. The sum of the angles at the carbonyl carbon, C(53), is 360.0°, consistent with a trigonal planar carbon atom. In addition, the N-benzylcar-



Figure 1. Molecular structure of (TTP)Ir(1-MeIm)[C(O)NHBn] (4a) with 30% probability ellipsoids. Selected bond distances (Å) and angles (deg): Ir-C(53) = 2.026(6), Ir-N(5) = 2.208(5), C(53)-O(1) = 1.217(7), C(53)-N(7) = 1.355(8); C(53)-Ir-N(5) = 178.86(19), N(3)-Ir-N(1) = 178.92(18), N(2)-Ir-N(4) = 178.44(18), O(1)-C(53)-N(7) = 119.0(6), O(1)-C(53)-Ir = 124.3(5), N(7)-C(53)-Ir = 116.7(4).

-bamoyl and the axial 1-MeIm ligands are collinear, with a C(53)-Ir-N(5) bond angle of 178.89(19). The C(53)-N(7) bond distance (1.355(8) Å) of the carbamoyl ligand is similar to



that of secondary organic amides, RC(O)NHR' (1.334 Å),³¹ and the C=O bond distance (1.217(7) Å) of the carbamoyl ligand is comparable to that of secondary organic amides (1.231 Å).³¹ The C(53)-N(7) bond distance (1.355(8) Å) of the carbamoyl ligand is also analogous to that (1.341(5) Å)²⁰ reported for the pentacoordinate rhodium complex, [(OEP)Rh[C(O)NH(C₆H₃Me₂)], and that (1.34(1) Å)³² for a hexacoordinate ruthenium biscarbamoyl complex [Ru(dppe)(CO)₂[C(O)NHCHMe₂]₂. However, the Ir-N(5) bond distance of 2.208(5) Å in the 1-methylimidazole complex is longer than that reported for Ir-NMe₃ in Ir(TTP)Cl(NMe₃) [2.174(2) Å]³³. The Ir-C(53) length of 2.026(6) Å is comparable to the Ir-C length reported for the pentacoordinate Ir(TTP)[C(O)Ph] [2.038(12) Å],³⁴ but is longer than the Rh-C bond length [1.988(5)] Å in (OEP)Rh[C(O)NH(C₆H₃Me₂)].²⁰

Reactions of the carbamoyl ligand with electrophiles

a. Reactions with HBF4: Metal carbamoyl complexes generally react with acids to form metal carbonyl complexes, a process that also serves as a supporting test for the presence of a carbamoyl ligand⁴ (eq 5). When 2 equiv of HBF4•Et₂O were added, at 23 °C, to benzene

$$M - C \stackrel{,0}{\longrightarrow} [M - C \equiv 0]^{*}A^{-} + [H_2 NRR']^{*}A^{-} (5)$$
NRR'

solutions of the amine-coordinated carbamoyl complexes, $(TTP)Ir(NH_2R)[C(O)NHR]$ (2ab), the corresponding cationic amine-coordinated carbonyl complexes, $[(TTP)Ir(NH_2R)(CO)]BF_4$ (7a-b), were produced, as shown in Scheme 4. The carbonyl ligands of complexes 7a-b exhibited CO stretching frequencies at 2075 and 2078 cm⁻¹, respectively.

Characterization of complex 7a was representative of these new cationic carbonyl compounds. A low-intensity peak at 138.96 ppm was assigned as the carbonyl ¹³C NMR


resonance (Fig. S33). This is similar to the assignment for the carbonyl of $[(TTP)Ir(CO)]BF_4$ (131.3 ppm) reported by Chan.³⁴ Moreover, the parent ion peak (m/z = 996.3275) observed by HRMS for $[(TTP)Ir(NH_2Bn)(CO)]^+$, and satisfactory elemental analysis provided confirmation of the composition and purity of complex **7a**. This represents the second account of a cationic iridium porphyrinato carbonyl complex. The first report was for the ins-



Scheme 4. Reaction of carbamoyl complexes (TTP)Ir(L)[C(O)NHR] (2-4) with HBF4. *Meso*-tolyl groups omitted.

-eparable mixture of cations, $[(TTP)Ir(CO)]BF_4/[(TTP)Ir]BF_4$, described by Chan and coworkers.³⁴ While a similar reaction between 2 equiv of HBF₄·Et₂O and the 1-MeImcoordinated carbamoyl complex (**4a**) led to the formation of the cationic 1-MeImcoordinated carbonyl complex, $[(TTP)Ir(1-MeIm)(CO)]BF_4$ (**8**) (Scheme 4), the quinuclidine-coordinated carbamoyl complex (**3a**) reacted with acid (eq 6) to give the cationic benzylamine-coordinated carbonyl complex, $[(TTP)Ir(NH_2Bn)(CO)]BF_4$ (**7a**), as the major porphyrin product (56%, by ¹H NMR), with the co-formation of a mixture of other unidentified porphyrin products. The formation of **7a**, and not $[(TTP)Ir(ABCO)(CO)]BF_4$, is in accord with the higher basicity of quinuclidine over benzylamine, and its thermodynamic preference for the ammonium form.





In contrast to the reactions of the amine (**2a** and **b**) and 1-MeIm (**4a**) complexes, the ambient temperature reactions between excess HBF₄•Et₂O (3-4 equiv) and each of the two PMe₂Ph-coordinated carbamoyl complexes, **6a** and **6c**, resulted in loss of the entire carbamoyl ligand, as monitored by IR and NMR. The formation of $[(TTP)Ir(PMe_2Ph)]BF_4$ (**9**) was observed by ¹H NMR in each case (eq 7). The appearance of **9** was manifested by a β -pyrrole proton signal at 8.83 ppm (in C₆D₆) and the upfield shift of the dimethyl resonance of the phosphine ligand from -2.66 ppm in the carbamoyl complex **6a**, to -2.90 ppm in **9**.

$$\begin{array}{c} Bn & N_2 \\ O & N_1 & 23 \ ^{\circ}C \\ \hline & H & -CO \\ PMe_2Ph & C_6H_6 & + \\ PMe_2Ph & or \ C_6D_6 & [RNH_3]BF_4 \end{array}$$
(7)

Moreover, the *ortho* and *meta* aryl proton signals of the phosphine ligand (in C₆D₆) were shifted upfield from 4.07 (2H) and 6.34 (2H) ppm, in **6a**, to 3.74 (2H) and 6.26 (2H) ppm, in **9**, respectively. Temperature was an important factor in the protonolysis of the P(OEt)₃-coordinated carbamoyl complex **5a**. When a C₆D₆ solution of **5a** was treated with 2 equivalents of HBF₄•Et₂O, at 23 °C, the formation of $[(TTP)IrP(OEt)_3]BF_4$ (**10**) was accompanied by 2 other unidentified porphyrin products (5.5% and 11.5%), none of which, contained a CO ligand, as revealed by IR analysis. However, when the same reaction was carried out in toluene at 0 °C, complex **10** was formed as the only porphyrin product (eq 8).





The failure of the phosphine-coordinated complexes **6a** and **6c** to form the cationic carbonyl complex, $[(TTP)Ir(PMe_2Ph)(CO)]BF_4$, is presumably due to the trans influence of the PMe_2Ph ligand. In an analogous case, the trans effect of PPh₃ was proposed as a reason for the failure to isolate phosphine-coordinated ruthenium(II) tetraarylporphyrinato carbonyl complexes, of the form (PR₃)Ru(II)(CO)(DPP), which were only observed in solution by IR spectroscopy.³⁵ Similarly, the π acidity of P(OEt)₃²⁴ may have contributed to the dissociation of the CO ligand (eq 8).

It is not clear whether complexes **9** and **10** are pentacoordinate with a non-coordinating counter anion or whether the BF₄⁻ is coordinating to the iridium metal center through a flouride atom. Examples of metal-ligation by weakly-coordinating ligands such as BF₄⁻, SbF₆⁻ and PF₆⁻ have been studied by variable temperature solution NMR experiments.^{36,37} A bound BF₄ anion was established in *mer-(cis-PMe₃)(trans-NO)(CO)*₃W(μ -F)BF₃ through a ³¹P NMR doublet at 192 K, as a result of ³¹P-¹⁹F coupling. Upon warming a CD₂Cl₂ solution of the *mer-(cis-PMe₃)(trans-NO)(CO)*₃W(μ -F)BF₃ to 262 K, the doublet ³¹P NMR signal became a pentet, due to exchange of the four fluorine atoms of the BF₄⁻ into the bridging position.³⁶ However, solution ³¹P NMR spectra of [(TTP)Ir(PMe₂Ph)]BF₄ (**9**) acquired in CD₂Cl₂ at 223 K, 200 K, and 190 K, revealed only a ³¹P NMR singlet peak at -39.61 ppm. This suggests that the BF₄⁻ anion is not coordinated to the metal center in complex **9** or is rapidly dissociating on the NMR time scale.



b. Reactions with methyl iodide. When a C₆D₆ solution of a carbamoyl complex (2a-d, 4a, 5b, or 6c) was heated to ~ 85 °C with 3-6 equiv of MeI for 12-96 h, the iodo complex, (TTP)Ir(L)I was produced as the main porphyrin product with purities ranging from 88 to 94% (eq 9), as identified by ¹H NMR. For example, the β -pyrrole signal of the *t*-butylamine-coordinated carbamoyl complex 2d, at 8.88 ppm, was replaced by a new resonance at 8.94 ppm, upon formation of the iodo complex, (TTP)Ir(NH₂Bu')I (11d). In addition, the complete loss of the proton resonances for the *t*-butylcarbamoyl ligand was observed. Of the amine-carbamoyl complexes (2a–d), the *n*-butyl analog (2b) reacted with MeI the fastest (12 hours), an indication that a less sterically bulky carbamoyl substituent increases the reaction rate.

In the reactions of **2a**, **2b** and **6c** with MeI, ammonium iodide co-products were detected in the precipitate from the reaction mixtures. For example, the only ammonium salt produced from the reaction of $(TTP)Ir(PMe_2Ph)[C(O)NH^iPr]$ (**6c**) with methyl iodide was identified as [*i*-PrNMe₃]I. This characterization was accomplished by comparing the ¹H NMR spectrum

(in D₂O) and ¹³C NMR spectrum (in CDCl₃) of the precipitate from the reaction mixture with that of an authentic sample of [i-PrNMe₃]I (see Fig. S69-S70), prepared by treating (*i*-Pr)NMe₂ with a 2-fold excess of methyl iodide, at 23 °C. Similarly, [n-BuNMe₃]I was the only ammonium salt produced from the treatment of (TTP)Ir(NH₂^{*n*}Bu)[C(O)NH^{*n*}Bu] (**2b**)



with MeI. In the reaction of (TTP)Ir(NH₂Bn)[C(O)NHBn] (**2a**) with 2 equiv of MeI, three ammonium salts were identified, [BnNMe₃]I (66%), [BnNH₃]I (25%), and [Me(Bn)NH₂]I (9%). One-bond ¹³C-¹⁴N coupling was observed for the N-Me carbon atoms in the ¹³C NMR spectra of [BnNMe₃]I, [*n*-BuNMe₃]I, and [*i*-PrNMe₃]I. Similar coupling in the ¹³C NMR spectra of quaternary ammonium halide salts was reported earlier.^{38,39} Increasing the scale of reaction 9, up to three-fold, failed to provide cleanly isolable iodo products. However, complexes **13** and **14** were conveniently synthesized by an independent method (*vide infra*). Although the formation of the (TTP)Ir(L)I complexes could proceed via a transient [(TTP)Ir(L)CO]⁺ intermediate, treatment of a C₆D₆ solution of the cationic iridium carbonyl complex [(TTP)Ir(NH₂Bn)(CO)]BF₄ (**7a**) with [Bu₄N]I, for 3.5 hours under refluxing conditions resulted in a mixture that contained 63% (TTP)Ir(NH₂Bn)I (**11a**), 36% (TTP)Ir(CO)I³³ and 1% (TTP)Ir(NH₂Bn)[C(O)NHBn] (**2a**), as revealed by ¹H NMR, rather than pure **11a**.

Reactions of [(TTP)Ir(L)]BF4 and [(TTP)Ir(L)CO]BF4 with other ligands

a. Reactions with [Bu₄N]I: Treatment of a CH₂Cl₂ solution of [(TTP)Ir(PMe₂Ph)]BF₄ (9) with ~ 2 equiv of [Bu₄N]I at 23 °C for 8 min, yielded the iodo complex 14 in 69% isolated yield (eq 10). The ¹H NMR spectrum (in C₆D₆) of (TTP)Ir(PMe₂Ph)I (14) exhibited a β -pyrrole proton resonance at 8.84 ppm and a doublet peak at -3.06 ppm for the methyl pro-

$$[(TTP)Ir(L)] BF_{4} \xrightarrow{C_{6}D_{6} \text{ or } CH_{2}Cl_{2}}_{23 \circ C} \xrightarrow{[Bu_{4}N]BF_{4}}_{(TTP)Ir(L)I} (10)$$
9: L = PMe₂Ph,
10: L = P(OEt)_{3} 14: L = PMe_{2}Ph
13: L = P(OEt)_{3},



-tons of the coordinated PMe₂Ph. These spectral properties matched those for the product of the reaction of MeI with (TTP)Ir(PMe₂Ph)[C(O)NHPr¹] (**6c**) (eq 9). In addition, the ³¹P NMR signal, for (TTP)Ir(PMe₂Ph)I (**14**), appeared at -43.55 ppm, which is different from the ³¹P NMR signal (-41.28 ppm) for [(TTP)Ir(PMe₂Ph)]BF₄ (**9**). Similarly, a 2.2-mg scale synthesis of the P(OEt)₃ analogue (**13**), was carried out by treating a C₆D₆ solution of [(TTP)Ir(P(OEt)₃)]BF₄ (**10**) with ~ 3 equiv of [Bu₄N]I (eq 10). The formation of (TTP)Ir[P(OEt)₃]I (**13**) (43.5% isolated yield) was observed by ¹H NMR, as evidenced by the shifts of the CH₃ and CH₂ ¹H NMR signals (in C₆D₆) from -0.53 and 0.55 ppm to -0.38 and 0.70 ppm, respectively, in going from reactant **10** to product **13**. The ³¹P NMR signal of the reactant **10** at 35.16 ppm, was also replaced by a signal at -0.01 ppm, upon formation of [(TTP)Ir(P(OEt)₃)(NH₂Bn)]BF₄ (**15**) with 5 equiv of [Bu₄N]I, resulting in a 49% isolated yield of (TTP)Ir[P(OEt)₃]I (**13**).

b. Reactions of $[(TTP)Ir(L)CO]BF_4$ and $[(TTP)Ir(L)]BF_4$ with primary amines: The cationic CO complexes could be used in alternative syntheses of carbamoyl compounds. When C₆D₆ solutions of each of the cationic CO complexes **7a** and **8**, were treated with 1 equivalent of BnNH₂, in the presence of Na₂CO₃, the carbamoyl complexes **2a** and **4a**, were formed quantitatively (Scheme 5).

An amine Ir phosphine complex, $[(TTP)Ir(PMe_2Ph)(NH_2Bn)]BF_4$ (16), was prepared by treatment of a C₆H₆ solution of phosphine complex **9** with BnNH₂. After the reaction mixture was stirred, at 23 °C, for 30 minutes, complex 16 was isolated in 90 % yield (eq 11). The ³¹P





Scheme 5. Reactions of [(TTP)Ir(L)CO]BF4 (L = BnNH2, 7a; L = 1-MeIm, 8) with primary amines RNH2

$$\begin{array}{c} \text{BnNH}_{2} \\ + \\ \text{[(TTP)Ir(PMe_{2}Ph)]BF}_{4} \xrightarrow{C_{6}H_{6}} \\ 23 \text{ °C} \end{array} \begin{bmatrix} \text{NH}_{2}\text{Bn} \\ \text{Ir} \xrightarrow{} \\ \text{PMe}_{2}\text{Ph} \end{bmatrix}^{\text{BF}_{4}} (11) \\ \text{PMe}_{2}\text{Ph} \end{bmatrix}^{\text{BF}_{4}}$$

NMR signal for [(TTP)Ir(PMe₂Ph)(NH₂Bn)]BF₄ (**16**), which appeared at -41.49 ppm, was very similar to that of the starting complex [(TTP)Ir(PMe₂Ph)]BF₄ (**9**) (-41.28 ppm). However, the formulation of **16** was supported by the presence of an m/z peak at 1106.3899, corresponding to [**16**-BF₄]⁺, in the high-resolution mass spectrum. Moreover, the coordination of BnNH₂ in complex **16** was established by ¹H NMR spectroscopy, with the appearance, of upfield multiplet signals at -3.42 (2H) and -1.72 (2H) ppm, assigned to the NH₂ and CH₂ protons, respectively. In addition, a doublet at -3.17 ppm (6H, CH₃, ²*J*_{P-H} = 12 Hz) was assigned to the methyl protons of the PMe₂Ph ligand.

c. Reactions of [(TTP)Ir(PMe₂Ph)]BF₄ (9) with PMe₂Ph: The addition of 1.1 equiv of PMe₂Ph to a CDCl₃ solution of monophosphine complex [(TTP)Ir(PMe₂Ph)]BF₄ (9) resulted in a rapid reaction. The most notable change in the ¹H NMR spectrum, observed 10 minutes after initial addition of PMe₂Ph, was the replacement of the 6-H methyl doublet of the monophosphine ligand at -2.75 ppm with a 12-H virtual triplet at -2.77 ppm assigned to the bisphosphines in *trans*-[(TTP)Ir(PMe₂Ph)₂]BF₄ (17). This virtual coupling is diagnostic of a



trans arrangement of methylphosphines.⁴⁰⁻⁴⁴ The apparent J_{P-H} measured for **17** was 4.0 Hz and is similar to the values for the coupling constants in *trans*-PdI₂(PMe₂Ph)₂ (4.4 Hz), and, for the *trans*-PMe₂Ph ligands in IrCl₃(PMe₂Ph)₃ (4.5 Hz).⁴⁵ Analogous rhodium and ruthenium porphyrinato bisphosphine complexes have also been reported including [(DPAP)₂Rh^{III}(TPP)]I and (DPPA)₂Ru^{II}(DPP), where DPAP is diphenyl(phenylethynyl)phosphine and DPPA is bis(diphenylphosphino)acetylene.^{23,35} The composition of complex **17** was confirmed further by the m/z peak at 1137.3752 for [**17**-BF₄]⁺ by HRMS. The ³¹P NMR signal (in C₆D₆) for **17** (-32.35 ppm) was also markedly different from that for **9** (-41.28 ppm).

The molecular structure of **17** was confirmed by single-crystal X-ray diffraction (Fig. 2). The two axial PMe₂Ph ligands are collinear with a P(1)-Ir-P(2) bond angle of 179.20(11).



Figure 2. Molecular structure of *trans*-[(TTP)Ir(PMe₂Ph)₂]BF₄ (17) with 30% probability ellipsoids. Selected bond distances (Å) and angles (deg): Ir-P(1) = 2.354(3), Ir-P(2) = 2.348(3); P(1)-C(49) = 1.783(13), P(1)-C(50) = 1.800(12), P(1)-C(51) = 1.794(12), P(2)-C(57) = 1.822(13), P(2)-C(58) = 1.805(13), P(2)-C(59) = 1.808(12); P(1)-Ir-P(2) = 179.20(11).

The iridium-phosphorus bond distances of Ir-P(1) = 2.354(3) Å and Ir-P(2) = 2.348(3) Å are comparable to the Ir-P distances reported for non-porphyrinic mono-, bis-, tris- and tetrakisphosphino iridium complexes (2.2044 - 2.3927 Å).⁴⁶⁻⁵¹ However, the iridium-phosphorus bonds in complex 17 are both shorter than the Ir-P bond distance (2.537 Å)



reported for the porphyrinic iridium phosphine complex $(OEP)Ir(C_3H_7)(PPh_3)$.⁵² This unusually long Ir-P bond length was attributed to the trans influence of the alkyl ligand, and to steric repulsion between the bulky PPh₃ ligand and the octaethylporphyrin ligand.

Conclusions

The reaction (Scheme 2) of (TTP)Ir(CO)Cl (1) with primary amines readily generates the amine-coordinated carbamoyl complexes, (TTP)Ir(NH₂R)[C(O)NHR], **2a-d**, under ambient conditions. A possible first step in the mechanism of this reaction is amine attack on the CO ligand to give a carbamoyl group (eq 1); such a reaction is expected, based on the high CO stretching frequency (2056 cm⁻¹) in **1**. Also supporting this step is the known reaction³³ of **1** with O-NMe₃ to give (TTP)Ir(Cl)(NMe₃) and CO₂, which presumably involves nucleophilic attack of O-NMe₃ on the CO ligand in **1**. This is a reaction typical of O-NMe₃ with CO ligands in a variety of metal carbonyl complexes.⁵³⁻⁵⁵ Following carbamoyl ligand formation in the first step, the Cl⁻ ligand could be rapidly substituted by an amine to give the (TTP)Ir(NH₂R)[C(O)NHR] product. Although this mechanism for the reactions of **1** with amines is entirely plausible, it is not possible to exclude an alternate pathway in which the first step involves amine substitution of the Cl⁻ ligand to give the cationic (TTP)Ir(NH₂R)(CO)⁺, which would be expected to react rapidly with amine to give the final carbamoyl product **2**.

The amine ligand in **2** is labile on the NMR timescale at 23 °C, allowing substitution with a variety of ligands. This has led to the preparation of phosphine-, phosphite-, 1-MeIm- and ABCO-coordinated (TTP)Ir(L)[C(O)NHR] carbamoyl complexes. Equilibrium studies of ligand displacement reactions of these complexes show that the binding affinities of the L



ligands decrease in the following order: $PMe_2Ph > P(OEt)_3 > 1-MeIm > ABCO > BnNH_2 >> Et_3N, PCy_3. Reactions of these carbamoyl complexes (TTP)Ir(L)[C(O)NHR] with HBF₄ either at room temperature [for L = RNH₂ and 1-MeIm (Scheme 4) and L = PMe_2Ph (eq 7)] or at 0 °C [for L = P(OEt)_3] (eq 8) give products that depend on the nature of the axial L ligand. When this ligand is an amine ($ **2a-b**,**4a**), the reactions produce cationic Ir carbonyl complexes of the form [(TTP)Ir(L)(CO)]BF₄ (**7a-b**,**8**). With complexes containing phosphite (**5a**) or phosphine (**6a**,**6c**) ligands, treatment with HBF₄ results in complete loss of the carbamoyl ligand and production of complexes**9**and**10** $, ([(TTP)Ir(L)]BF₄, where L is PMe_2Ph or P(OEt)_3) respectively. Reactions of MeI with all of the carbamoyl complexes require a higher temperature (85 °C) and afford the neutral iodo complexes, (TTP)Ir(L)I ($ **11a-d**,**12-14**), regardless of the L ligand. All of these results demonstrate that carbamoyl complexes of Ir(III) porphyrin complexes are easily formed and show a broad range of reactivity.

Experimental Section

All manipulations were performed under a dry nitrogen atmosphere, either in a glovebag, a glovebox, or using Schlenk techniques, except where otherwise stated. Ir(TTP)Cl(CO) (1), was prepared according to a literature procedure⁵⁶. Benzylamine and isopropylamine were distilled from CaH₂ and stored over 4Å molecular sieves prior to use. Dimethylphenylphosphine was stored in an inert-atmosphere glovebox. Tetrahydrofuran and toluene were deoxygenated and dried by passage through columns of reduced copper and alumina, respectively. All other chemicals were reagent grade and used without further purification. NMR spectra were collected using Varian VXR 300 MHz, Varian VXR 400



MHz, Bruker DRX 400 MHz, Varian MR 400 MHz and Bruker AVIII 600 MHz spectrometers. IR spectra were acquired in the solid state on NaCl salt plates, using a Bruker IFS66V FTIR instrument. ¹H NMR peak positions were referenced against residual proton resonances of deuterated solvents (δ , ppm: CDCl₃, 7.26; C₆D₆, 7.15; D₂O, 4.79), while ¹³C NMR peaks were referenced to CDCl₃ (δ 77.36 ppm). When multiple porphyrin products were obtained in NMR-tube reactions, the purity of the major product was determined by the ratio of its β-pyrrole proton area to that of the total β-pyrrole integration. A solution of PPh₃ in C₆D₆ (³¹P NMR δ : -5.53 ppm) was used as an external standard during ³¹P NMR data collection.

(TTP)Ir(NH₂Bn)[C(O)NHBn] (2a): In a nitrogen-filled glove bag, a 100-mL roundbottomed flask, was charged with (TTP)Ir(CO)(Cl) (1) (91 mg, 0.099 mmol), Na₂CO₃ (682 mg, 6.43 mmol), a stir bar and 30 mL of THF. Benzylamine (610 μ L, 5.6 mmol, 57 equiv) was added by syringe into the flask, the flask was capped with a rubber septum, and the mixture was stirred under N₂ for 6 hours. The reaction mixture was then opened to air, and solids were removed via filtration. Solvent and excess benzylamine were removed under reduced pressure. The residue was washed with 60 mL of hexanes, and **2a** was obtained. Yield: 87% (95 mg, 0.086 mmol). Anal. Calcd for C₆₃H₅₃IrN₆O·0.7H₂O: C, 67.87; H, 4.91; N, 7.54. Found: C, 67.90; H, 4.74; N, 7.37. ¹H NMR (299 K, 300 MHz, C₆D₆) δ : -5.14 (br, 2H, NH₂), -1.78 (br, 2H, amine-CH₂), -1.34 (t, 1H, *J* = 6 Hz, carbamoyl-N*H*), 0.41 (s, 1.40H, *H*₂O), 2.00 (d, 2H, *J* = 6 Hz, carbamoyl-CH₂), 2.38 (s, 12H, -C₆H₄-CH₃), 4.52 (br, 2H, amine-*o*-*H*), 5.27 (d, 2H, *J* = 6 Hz, carbamoyl-*o*-*H*), 6.22 (br, 2H, amine-*m*-*H*), 6.39 (br, 1H, amine-*p*-*H*), 6.79 (m, 3H, carbamoyl-*m*,*P*-*H*), 7.21 (dd, 4H, *J* = 6 Hz, 3 Hz, -C₆H₄-CH₃), 7.28



(dd, 4H, J = 6 Hz, 3 Hz, $-C_6H_4$ -CH₃), 7.94 (dd, 4H, J = 6 Hz, 3 Hz, $-C_6H_4$ -CH₃), 8.15 (dd, 4H, J = 9 Hz, 3 Hz, $-C_6H_4$ -CH₃), 8.91 (s, 8H, pyrrole-*H*). ¹H NMR (273 K, 400 MHz, CDCl₃) δ : -5.01 (t, 2H, J = 8 Hz, NH₂), -2.00 (t, 2H, J = 8 Hz, amine-*CH*₂), -1.83 (t, 1H, J = 8 Hz, carbamoyl-N*H*), 1.76 (d, 2H, J = 8 Hz, carbamoyl-*CH*₂), 2.68 (s, 12H, $-C_6H_4$ -CH₃), 5.03 (d, 2H, 8 Hz amine-*o*-*H*), 5.17 (d, 2H, J = 8 Hz, carbamoyl-*o*-*H*), 6.52 (t, 2H, J = 8 Hz, amine-*m*-*H*), 6.65 (t, 1H, J = 8 Hz, amine-*p*-*H*), 6.83 (t, 2 H, J = 8 Hz, carbamoyl-*m*-*H*), 6.93 (t, 1 H, J = 8 Hz, carbamoyl-*p*-*H*), 7.49 (dd, 8H, J = 16 Hz, 8 Hz, $-C_6H_4$ -CH₃), 7.85 (dd, 4H, J = 8 Hz, 4 Hz, $-C_6H_4$ -CH₃), 7.99 (dd, 4H, J = 8 Hz, 4 Hz, $-C_6H_4$ -CH₃), 8.62 (s, 8H, pyrrole-*H*). ¹³C NMR (151 MHz, CDCl₃) δ : 21.87, 40.36, 41.64 (low intensity peak assigned by 2D HSQC), 122.91, 125.89, 126.03, 127.17, 127.47, 127.82, 127.84, 128.30, 128.60, 128.92, 131.83, 133.82, 134.68, 137.40, 139.19, 139.56, 142.80, 143.64 (CO Carbon). UV-vis (C₆H₆) λ_{max} nm (log ϵ): 414 (5.24), 521 (4.21). HRMS (+ESI): Calcd for [MH]⁺ (C₆₃H₅₄IrN₆O)⁺ m/z 1103.3988; found m/z 1103.3921.

(TTP)Ir(NH2^{*n*}Bu)[C(O)NH^{*n*}Bu] (2b): This compound was prepared similarly to 2a, using complex 1, (161 mg, 0.174 mmol), Na₂CO₃ (958 mg, 9.04 mmol), *n*-butylamine (960 µL, 9.62 mmol, 55 equiv), and 40 mL of THF. Yield: 84.2% (152 mg, 0.147 mmol). ¹H NMR (400 MHz, C₆D₆) δ: -5.79 (br, 2H, amine-NH₂), -2.80 (br, 2H, amine- α CH₂), -2.22 (br, 2H, amine- β CH₂), -1.68 (t, 1H, *J* = 8 Hz, carbamoyl-NH), -0.85 (m, 2H, amine- γ CH₂), -0.41 (m, 2H, carbamoyl- β CH₂), -0.30 to -0.23 (m, 5H, amine-*Me*/carbamoyl- γ CH₂), 0.17 (t, 3H, *J* = 8 Hz, carbamoyl-*Me*), 0.82 (m, 2H, carbamoyl- α CH₂), 2.38 (s, 12H, -C₆H₄-CH₃), 7.24 (d, 4H, *J* = 8 Hz, -C₆H₄-CH₃), 7.31 (d, 4H, *J* = 8 Hz, -C₆H₄-CH₃), 8.10 (d, 4H, *J* = 8 Hz, -C₆H₄-CH₃), 8.21 (d, 4H, *J* = 8 Hz, -C₆H₄-CH₃), 8.92 (s, 8H, pyrrole-H). ¹³C NMR (151 MHz,



CDCl₃) δ : 12.74, 13.60, 18.43, 18.50, 21.87, 30.88, 30.92, 35.80, 37.11, 122.77, 127.50, 127.81, 131.67, 133.90, 134.60, 137.36, 139.38, 142.78, 144.35 (CO Carbon). UV-vis (C₆H₆) λ_{max} nm (log \mathcal{E}): 414 (5.34), 521 (4.23). HRMS (+ESI): Calcd for [MH]⁺ (C₅₇H₅₈IrN₆O)⁺ m/z 1035.4301; found m/z 1035.4238

(TTP)Ir(NH2'Pr)[C(O)NH'Pr] (2c): This compound was prepared similarly to 2a, using complex 1, (334 mg, 0.36 mmol), Na₂CO₃ (1.99 g, 19.0 mmol), *i*-propylamine (1.95 mL, 24.0 mmol, 67 equiv), and 80 mL of THF. Yield: 94% (340 mg, 0.34 mmol). Anal. Calcd for C₅₅H₅₃IrN₆O: C, 65.65; H, 5.31; N, 8.35. Found: C, 65.71; H, 5.05; N, 8.07. ¹H NMR (300 MHz, C₆D₆) δ : -5.74 (br, 2H, amine-NH₂), -2.48 (br, 1H, amine-CH), -2.31 (br, 6H, amine-*Me*), -1.99 (d, 1H, *J* = 6 Hz, carbamoyl-N*H*), -0.75 (d, 6H, *J* = 6 Hz, carbamoyl-*Me*), 1.59 (m, 1H, carbamoyl-CH), 2.38 (s, 12H, -C₆H₄-CH₃), 7.22 (d, 4H, *J* = 6 Hz, -C₆H₄-CH₃), 7.32 (d, 4H, *J* = 6 Hz, -C₆H₄-CH₃), 8.08 (dd, 4H, *J* = 6 Hz, 3 Hz, -C₆H₄-CH₃), 8.20 (dd, 4H, *J* = 6 Hz, 3 Hz, -C₆H₄-CH₃), 8.89 (s, 8H, pyrrole-*H*). ¹³C NMR (151 MHz, CDCl₃) δ : 21.47, 21.88, 22.10, 37.36, 39.39, 122.83, 127.48, 127.82, 131.69, 133.95, 134.55, 137.36, 139.38, 142.73. UV-vis (C₆H₆) λ_{max} nm (log \mathcal{E}): 412 (5.27), 519 (4.17). HRMS (+ESI): Calcd for [MH]⁺ (C₅₅H₅₄IrN₆O)⁺ m/z 1007.3988; found m/z 1007.3916.

(TTP)Ir(NH₂'Bu)[C(O)NH'Bu] (2d): This compound was prepared similarly to 2a, using complex 1 (152 mg, 0.164 mmol), Na₂CO₃ (899 mg, 8.48 mmol), *t*-butylamine (970 μ L, 9.05 mmol, 55.2 equiv), and 40 mL of THF. Yield: 72.8% (124 mg, 0.119 mmol). ¹H NMR (300 MHz, C₆D₆) δ : -5.35 (br, 2H, amine-NH₂), -2.17 (br s, 9H, amine-Me), -0.52 (s, 9H, carbamoyl-Me), 2.38 (s, 12H, -C₆H₄-CH₃), 7.24 (d, 4H, J = 6 Hz, -C₆H₄-CH₃), 7.32 (d,



4H, J = 6 Hz, $-C_6H_4$ -CH₃), 8.12 (d, 4H, J = 6 Hz, $-C_6H_4$ -CH₃), 8.20 (d, 4H, J = 6 Hz, $-C_6H_4$ -CH₃), 8.88 (s, 8H, pyrrole-*H*). ¹³C NMR (151 MHz, CDCl₃) δ : 21.89, 27.44, 27.81, 45.35, 48.04, 123.08, 127.49, 127.79, 131.74, 134.07, 134.42, 137.36, 139.36, 141.49 (CO Carbon), 142.76. UV-vis (C₆H₆) λ_{max} nm (log \mathcal{E}): 409 (5.31), 513 (4.30). HRMS (+ESI): Calcd for [MH]⁺ (C₅₇H₅₈IrN₆O)⁺ m/z 1035.4301; found m/z 1035.4220.

(TTP)Ir(ABCO)[C(O)NHBn] (3a): In a nitrogen-filled glove bag, a 20-mL scintillation vial was charged with complex 2a (71 mg, 0.064 mmol), quinuclidine [ABCO] (112 mg, 1.0 mmol, 15.6 equiv), and 10 mL of C₆H₆. After the mixture was stirred at 23 °C, for 20 min, volatile materials were removed under reduced pressure and the residues were washed with 50 mL of hexanes to remove free benzylamine. Additional treatment under reduced pressure at 85 °C for 2.5 days was needed to remove excess guinuclidine, to afford complex **3a**. Yield: 52% (37 mg, 0.034 mmol). ¹H NMR (400 MHz, C₆D₆) δ : -2.73 (br t, 6H, J = 8 Hz, ABCO-NCH₂), -1.56 (t, 1H, J = 8 Hz, carbamoyl-NH), -0.8 (br, 6H, ABCO-CCH₂), -0.27 (br, 1H, ABCO-CH), 1.90 (d, 2H, J = 8 Hz, carbamoyl-CH₂), 2.37 (s, 12H, -C₆H₄-CH₃), 5.22 (d, 2H, J = 8 Hz, carbamoyl-oH), 6.77 (m, 3H, carbamoyl-m/pH), 7.20 (d, 4H, J = 8 Hz, -C₆H₄-CH₃), 7.32 (d, 4H, J = 8 Hz, $-C_6H_4$ -CH₃), 7.92 (dd, 4H, J = 8 Hz, 4 Hz, $-C_6H_4$ -CH₃), 8.20 $(dd, 4H, J = 8 Hz, 4 Hz, -C_6H_4-CH_3)$, 8.87 (s, 8H, pyrrole-H). ¹³C NMR (101 MHz, CDCl₃) 8: 17.94, 21.87, 24.20, 40.47, 43.73, 123.26, 125.89, 126.00, 127.36, 127.80, 127.83, 131.79, 134.00, 134.41, 137.37, 137.68, 139.26, 139.36, 142.78. UV-vis (C₆H₆) λ_{max} nm (log \mathcal{E}): 415 (5.19), 519 (4.19). HRMS (+ESI): Calcd for $[MH]^+$ (C₆₃H₅₈IrN₆O)⁺ m/z 1107.4301; found m/z 1107.4299.



(TTP)Ir(1-MeIm)[C(O)NHBn] (4a): In air, a 20-mL scintillation vial was charged with complex 2a (85 mg, 0.077 mmol), 1-methylimidazole (40 µL, 0.50 mmol, 6.5 equiv), and 15 mL of THF. After the solution was stirred at 23 °C for 1 hour, volatile materials were removed under reduced pressure. Recrystallization was then done by adding hexanes to a concentrated THF solution of the dried product, to afford complex 4a. Yield: 89% (74 mg, 0.069 mmol). Anal. Calcd for C₆₀H₅₀IrN₇O·1.25H₂O: C, 65.52; H, 4.81; N, 8.91. Found: C, 65.36; H, 4.35; N, 8.63. ¹H NMR (300 MHz, C₆D₆) δ : -1.13 (t, 1H, J = 6 Hz, carbamoyl-NH), 0.40 (s, 1.40H, H₂O peak), 0.43 (s, 3H; 1-MeIm-Me), 1.63 (s, 1H, 1-MeIm-arylH), 1.74 (s, 1H, 1-MeIm-arylH), 2.07 (d, 2H, J = 6 Hz, carbamoyl-CH₂), 2.35 (s, 12H, -C₆H₄-CH₃), 3.70 (s, 1H, 1-MeIm-arylH), 5.32 (d, 2H, J = 3 Hz, carbamoyl-oH), 6.79 (m, 3H, carbamoylm/pH), 7.22 (d, 4H, J = 9 Hz, $-C_6H_4$ -CH₃), 7.25 (d, 4H, J = 9 Hz, $-C_6H_4$ -CH₃), 7.98 (d, 4H, J= 9 Hz, $-C_6H_4$ -CH₃), 8.13 (d, 4H, J = 9 Hz, $-C_6H_4$ -CH₃), 8.91 (s, 8H, pyrrole-*H*). ¹³C NMR (151 MHz, CDCl₃) δ: 21.76, 32.93, 39.99, 117.76, 122.60, 122.98, 125.63, 125.92, 127.15, 127.60, 127.67, 131.35, 131.62, 133.74, 134.55, 137.06, 139.39, 139.78, 142.48, 146.83 (CO Carbon). UV-vis (C₆H₆) λ_{max} nm (log \mathcal{E}): 416 (5.23), 523 (4.22). HRMS (+ESI): Calcd for $[MH]^+$ (C₆₀H₅₁IrN₇O)⁺ m/z 1078.3784; found m/z 1078.3780.

(TTP)Ir(1-MeIm)[C(O)NH"Bu] (4b): This compound was prepared similarly to 4a, using complex 2b (40 mg, 0.037 mmol), 1-methylimidazole (20.5 μ L, 0.255 mmol, 6.9 equiv), and 10 mL of THF. Recrystallization was done by adding hexanes to a concentrated THF solution of the dried product, to afford complex 4b. Yield: 98% (38 mg, 0.036 mmol). ¹H NMR (300 MHz, C₆D₆) δ : -1.44 (t, 1H, *J* = 6 Hz, carbamoyl-N*H*), -0.35 (m, 2H, carbamoyl- β CH₂), -0.20 (m, 2H, carbamoyl- γ CH₂), 0.20 (t, 3H, *J* = 6 Hz, carbamoyl-CH₃),



0.42 (s, 3H, 1-MeIm-*Me*), 0.89 (q, 2H, J = 6 Hz, carbamoyl- α CH₂), 1.63 (s, 1H, 1-MeImaryl*H*), 1.75 (s, 1H, 1-MeIm-aryl*H*), 2.36 (s, 12H, -C₆H₄-CH₃), 3.71(s, 1H, 1-MeIm-aryl*H*), 7.25 (d, 8H, J = 6 Hz, -C₆H₄-CH₃), 8.16 (t, 8H, J = 12 Hz, -C₆H₄-CH₃), 8.92 (s, 8H, pyrrole-*H*). ¹³C NMR (151 MHz, CDCl₃) δ : 13.65, 18.54, 21.87, 30.98, 32.96, 35.66, 117.78, 122.65, 123.13, 127.30, 127.71, 131.40, 131.77, 133.98, 134.59, 137.17, 139.63, 142.61, 146.97 (CO Carbon). UV-vis (C₆H₆) λ_{max} nm (log \mathcal{E}): 415 (5.31), 523 (4.22). HRMS (+ESI): Calcd for [MH]⁺ (C₅₇H₅₃IrN₇O)⁺ m/z 1044.3941; found m/z 1044.3933.

(TTP)IrP(OEt)₃[C(O)NHBn] (5a): In air, a 20-mL scintillation vial was charged with complex 2a (85 mg, 0.077 mmol), triethylphosphite (70 μ L, 0.40 mmol, 5.2 equiv), and 15 mL of THF. After the solution was stirred at 23 °C for 40 minutes, volatile materials were removed under reduced pressure. After washing with hexanes, and further drying under reduced pressure, **5a** was obtained. Yield: 46% (42 mg, 0.036 mmol). ¹H NMR (400 MHz, $C_{6}D_{6}$) δ : -1.48 (t, 1H, J = 8 Hz, carbamovl-NH), -0.18 (t, 9H, J = 8 Hz, PCH₂-Me), 0.85 (p, 6H, J = 8 Hz, PCH₂), 1.93 (d, 2H, J = 8 Hz, carbamoyl-CH₂), 2.38 (s, 12H, -C₆H₄-CH₃), 5.18 (d, 2H, J = 8 Hz, carbamoyl-oH), 6.77 (m, 3H, carbamoyl-m,pH), 7.21 (d, 4H, J = 8 Hz, - C_6H_4 -CH₃), 7.33 (d, 4H, J = 8 Hz, $-C_6H_4$ -CH₃), 7.96 (dd, 4H, J = 8 Hz, 4 Hz, $-C_6H_4$ -CH₃), 8.20 (dd, 4H, J = 8 Hz, 4 Hz, -C₆H₄-CH₃), 8.93 (s, 8H, pyrrole-H). ¹³C NMR (151 MHz, CDCl₃) δ : 15.50 (d, J = 4.5 Hz), 21.77, 39.32 (d, J = 4.5 Hz), 56.66 (d, J = 4.5 Hz), 122.25, 125.64, 125.94, 127.17, 127.59, 127.65, 131.36, 133.89, 134.60, 137.07, 139.56, 139.61, 142.28, 162.72 (${}^{2}J_{P-C} = 270.3 \text{ Hz}$, CO Carbon). ${}^{31}P{}^{1}H{}$ NMR (162 MHz, C₆D₆) δ : 72.06 ppm. UV-vis (C₆H₆) λ_{max} nm (log \mathcal{E}): 396 (5.07), 429 (4.75), 546 (3.96), 593 (3.80). HRMS (+ESI): Calcd for $[MH]^+$ (C₆₂H₆₀IrN₅O₄P)⁺ m/z 1162.4012; found m/z 1162.4009.



(TTP)IrP(OEt)₃[C(O)NH"Bu] (5b): This compound was prepared similarly to 5a, using complex 2b (41 mg, 0.040 mmol), triethylphosphite (36.0 μL, 0.205 mmol, 5.1 equiv), and 10 mL of THF. Yield: 29% (13 mg, 0.012 mmol). ¹H NMR (400 MHz, C₆D₆) δ: -1.81 (t, 1H, J = 4 Hz, carbamoyl-NH), -0.48 (m, 2H, carbamoyl- β CH₂), -0.33 (m, 2H, carbamoyl- γ CH₂), -0.17 (t, 9H, J = 8 Hz, PCH₂-Me), 0.15 (t, 3H, J = 8 Hz, carbamoyl-Me), 0.74 (q, 2H, J = 8 Hz, 4 Hz, carbamoyl- α CH₂), 0.85 (p, 6H, J = 8 Hz, PCH₂), 2.38 (s, 12H, -C₆H₄-CH₃), 7.24 (d, 4H, J = 8 Hz, -C₆H₄-CH₃), 7.33 (d, 4H, J = 8 Hz, -C₆H₄-CH₃), 8.15 (dd, 4H, J = 8 Hz, 4 Hz, -C₆H₄-CH₃), 8.20 (dd, 4H, J = 8 Hz, 4 Hz, -C₆H₄-CH₃), 8.94 (s, 8H, pyrrole-H). ¹³C NMR (151 MHz, CDCl₃) δ: 13.61, 15.63 (d, J = 4.5 Hz), 18.50, 21.88, 30.94, 35.00 (d, J = 4.5 Hz), 56.69 (d, J = 4.5 Hz), 122.30, 127.33, 127.71, 131.41, 134.14, 134.65, 137.18, 139.82, 142.41, 162.73 (d, ²J_{P-C} = 267.3 Hz, CO Carbon). ³¹P {¹H} NMR (162 MHz, C₆D₆) δ: 72.88 ppm. UV-vis (C₆H₆) λ_{max} nm (log *ε*): 398 (5.04), 410 (5.00), 432 (4.74) 528 (3.87), 592 (3.67). HRMS (+ESI): Calcd for [M-H+K] (C₅₉H₆₀IrN₅O₄PK)⁺ m/z 1165.3649; found m/z 1165.3747.

(TTP)Ir(PMe₂Ph)[C(O)NHBn] (6a): In a glovebox, a 20-mL scintillation vial was charged with complex **2a** (101 mg, 0.091 mmol), dimethylphenylphosphine (70 μ L, 0.49 mmol, 5.4 equiv), and15 mL of THF. After the solution was stirred at 23 °C for 30 minutes, volatile materials were removed under reduced pressure. After washing with hexanes, and further drying under reduced pressure, **6a** was obtained. Yield: 45% (46 mg, 0.041 mmol). Anal. Calcd for C₆₄H₅₅IrN₅OP·2.5H₂O: C, 65.23; H, 5.13; N, 5.94. Found: C, 65.05; H, 4.82; N, 5.78. ¹H NMR (400 MHz, C₆D₆) δ : -2.66 (d, 6H, *J* = 8 Hz, P*Me*), -1.38 (t, 1H, *J* = 8 Hz, carbamoyl-N*H*), 0.44 (s, 5H, *H*₂O), 1.97 (d, 2H, *J* = 8 Hz, carbamoyl-C*H*₂), 2.40 (s, 12H, -



C₆H₄-CH₃), 4.07 (t, 2H, J = 8 Hz, o-PPh), 5.20 (d, 2H, J = 8 Hz, carbamoyl-oH), 6.34 (t, 2H, J = 8 Hz, m-PPh), 6.58 (t, 1H, J = 8 Hz, p-PPh), 6.76 (m, 3H, carbamoyl-m/pH), 7.20 (d, 4H, J = 8 Hz, $-C_6H_4$ -CH₃), 7.37 (d, 4H, J = 8 Hz, $-C_6H_4$ -CH₃), 7.92 (d, 4H, J = 8 Hz, $-C_6H_4$ -CH₃), 8.02 (d, 4H, J = 8 Hz, $-C_6H_4$ -CH₃), 8.80 (s, 8H, pyrrole-H). ¹³C NMR (151 MHz, CDCl₃) δ: 5.34 (d, J = 18.1 Hz), 21.87, 39.62, 122.37, 125.75, 126.05, 126.41 (d, J = 10.6 Hz), 127.18 (d, J = 7.6 Hz), 127.24, 127.70, 127.76, 127.81, 130.94 (d, J = 30.2 Hz), 131.60, 133.97, 134.67, 137.26, 139.37, 139.80, 142.19, 163.87 (d, $^2J_{P-C} = 184.2$ Hz, CO Carbon). ³¹P{¹H} NMR (162 MHz, C₆D₆) δ: -41.23 ppm. UV-vis (C₆H₆) λ_{max} nm (log *ε*): 398 (5.09), 419 (4.80), 440 (4.62), 548 (3.86), 601 (3.81). HRMS (+APCI): Calcd for [MH]⁺ (C₆₄H₅₆IrN₅OP)⁺ m/z 1134.3852; found m/z 1134.3852.

(TTP)Ir(PMe₂Ph)[C(O)NH^{*n*}Bu] (6b): This compound was prepared similarly to 6a, using complex 2b (48 mg, 0.046 mmol), dimethyphenylphosphine (33.0 μL, 0.232 mmol, 5.0 equiv), and 8 mL of THF. Yield: 23.1% (12 mg, 0.011 mmol). ¹H NMR (300 MHz, C₆D₆) δ: -2.66 (d, 6H, J = 6 Hz, PMe), -1.70 (t, 1H, J = 6 Hz, carbamoyl-NH), -0.44 (m, 2H, carbamoyl-βH), -0.30 (m, 2H, carbamoyl-γH), 0.15 (t, 3H, J = 6 Hz, carbamoyl-Me), 0.80 (m, 2H, carbamoyl-αH), 2.40 (s, 12H, -C₆H₄-CH₃), 4.07 (t, 2H, J = 9 Hz, *o*-PPh), 6.34 (t, 2H, J = 9 Hz, *m*-PPh), 6.58 (t, 1H, J = 9 Hz, *p*-PPh), 7.23 (d, 4H, J = 6 Hz, -C₆H₄-CH₃), 7.37 (d, 4H, J = 6 Hz, -C₆H₄-CH₃), 8.02 (d, 4H, J = 6 Hz, -C₆H₄-CH₃), 8.09 (d, 4H, J = 6 Hz, -C₆H₄-CH₃), 8.82 (s, 8H, pyrrole-H). ¹³C NMR (151 MHz, CDCl₃) δ: 5.40 (d, J = 18.1 Hz), 13.60, 18.52, 21.88, 30.96, 35.14 (d, J = 3.0 Hz), 122.30, 126.43 (d, J = 10.6 Hz), 127.17 (d, J = 7.6 Hz), 127.29, 127.73, 131.21 (d, J = 28.7 Hz), 131.55, 132.05, 134.11, 134.60, 137.26, 139.51, 142.21, 163.87 (d, ²J_{P-C} = 182.7 Hz, CO Carbon). ³¹P{¹H} NMR (162 MHz, C₆D₆) δ:

-41.32 ppm (s). UV-vis (C₆H₆) λ_{max} nm (log \mathcal{E}): 401 (5.13), 410 (5.11), 451 (4.53), 522 (3.99), 599 (3.71). HRMS (+ESI): Calcd for [MH]⁺ (C₆₁H₅₈IrN₅OP)⁺ m/z 1100.4008; found m/z 1100.4028.

(TTP)Ir(PMe₂Ph)[C(O)NH'Pr] (6c): This compound was prepared similarly to 6a, using complex 2c (211 mg, 0.21 mmol), dimethylphenylphosphine (150 μL, 1.1 mmol, 5.2 equiv), and 30 mL of THF. Yield: 59% (135 mg, 0.12 mmol). ¹H NMR (400 MHz, C₆D₆) δ: - 2.63 (d, 6H, J = 8 Hz, PMe), -1.98 (d, 1H, J = 8 Hz, carbamoyl-NH), -0.74 (d, 6H, J = 4 Hz, carbamoyl-Me), 1.61 (m, 1H, carbamoyl-CH), 2.40 (s, 12H, -C₆H₄-CH₃), 4.09 (t, 2H, J = 8 Hz, o-PPh), 6.35 (t, 2H, J = 8 Hz, m-PPh), 6.59 (t, 1H, J = 8 Hz, p-PPh), 7.21 (d, 4H, J = 8 Hz, -C₆H₄-CH₃), 7.37 (d, 4H, J = 8 Hz, -C₆H₄-CH₃), 8.04 (t, 8H, J = 4 Hz, -C₆H₄-CH₃), 8.81 (s, 8H, pyrrole-H). ¹³C NMR (151 MHz, CDCl₃) δ: 5.48 (d, J = 16.6 Hz), 21.88, 22.22, 36.70, 122.23, 126.41 (d, J = 9.1 Hz), 127.14 (d, J = 7.6 Hz), 127.29, 127.72, 131.26 (d, J = 28.7 Hz), 131.52, 134.08, 134.63, 137.24, 139.51, 142.13, 163.39 (²*J*_{P-C} = 184.2 Hz, CO Carbon). ³¹P{¹H} NMR (162 MHz, C₆D₆) δ: -41.27 ppm (s). UV-vis (C₆H₆) λ_{max} nm (log *E*): 399 (5.20), 421 (4.76), 531 (3.82), 602 (3.76). HRMS (+ESI): Calcd for [MH]⁺ (C₆₀H₅₆IrN₅OP)⁺ m/z 1086.3852; found m/z 1086.3845.

[(TTP)Ir(CO)(NH₂Bn)]BF₄ (7a): In a nitrogen-filled glove bag, a 20-mL scintillation vial was charged with complex 2a (51 mg, 0.047 mmol), 6 mL of C₆H₆, and HBF₄·Et₂O (11 μ L, 0.092 mmol, 2 equiv). After the solution was stirred at 23 °C for 20 minutes, the reddish porphyrin product solution was separated, via vacuum filtration, from the insoluble precipitate. Thereafter, volatile materials were removed from the filtrate under reduced



pressure. After recrystallization of the porphyrin product by adding excess hexanes to a concentrated benzene-solution of the dried product, **7a** was obtained. Yield: 36% (18 mg, 0.017 mmol). Anal. Calcd for C₅₆H₄₅BF₄IrN₅O: C, 62.10; H, 4.19; N, 6.47. Found: C, 62.03; H, 4.10; N, 6.29. ¹H NMR (400 MHz, C₆D₆) δ : -2.98 (br, 2H, amine-N*H*₂), -1.89 (t, 2H, *J* = 8 Hz, amine-C*H*₂), 2.41 (s, 12H, -C₆H₄-C*H*₃), 4.76 (d, 2H, *J* = 8 Hz, amine-o*H*), 6.25 (t, 2H, *J* = 8 Hz, amine-m*H*), 6.39 (t, 1H, *J* = 8 Hz, amine-p*H*), 7.25 (d, 4H, *J* = 8 Hz, -C₆H₄-CH₃), 7.34 (d, 4H, 8 Hz, -C₆H₄-CH₃), 7.92 (d, 4H, *J* = 8 Hz, -C₆H₄-CH₃), 8.37 (d, 4H, *J* = 8 Hz, -C₆H₄-CH₃), 9.09 (s, 8H, pyrrole-*H*). ¹³C NMR (151 MHz, CDCl₃) δ : 21.84, 40.52, 123.03, 125.77, 127.43, 127.80, 127.99, 128.40, 132.92, 133.08, 134.12, 134.98, 137.55, 138.48, 138.96 (CO Carbon), 141.63. IR (NaCl, cm⁻¹) υ (C=O) = 2078 (s). UV-vis (C₆H₆) λ_{max} nm (log ε): 420 (5.33), 529 (4.33), 564 (3.73). HRMS (+ESI): Calcd for [M-BF₄]⁺ ([C₅₆H₄₅IrN₅O]⁺) m/z 996.3253; found m/z 996.3275.

[(TTP)Ir(CO)(NH₂"Bu)]BF4 (7b): In a nitrogen-filled glove bag, 0.65 mL of C₆D₆ was added, via syringe, into an NMR tube containing complex **2b** (6.8 mg, 0.0066 mmol). The C₆D₆-solution of **2b** was then treated with 2.8 µL (0.024 mmol, 3.6 equiv) of HBF₄·Et₂O. After the complete consumption of **2b** (within 4 minutes), as monitored by ¹H NMR, the reaction mixture was filtered through Celite® to remove insoluble precipitates. After volatile components were removed from the filtrate under reduced pressure, **7b** was obtained. Yield: 59% (4.1 mg, 0.0039 mmol; 94% pure by NMR) ¹H NMR (300 MHz, C₆D₆) δ: -3.51 (br, m, 4H, amine-NH₂/αCH₂), -1.69 (m, 2H, amine-βCH₂), -0.84 (m, 2H, amine-γH), -0.35 (t, 3H, J = 6 Hz, amine-Me), 2.40 (s, 12H, -C₆H₄-CH₃), 7.26 (d, 4H, J = 6 Hz, -C₆H₄-CH₃), 7.36 (d, 4H, J = 6 Hz, -C₆H₄-CH₃), 7.95 (dd, 4H, J = 9 Hz, J = 3 Hz, -C₆H₄-CH₃), 8.45 (dd,



4H, 9 Hz, 3 Hz, $-C_6H_4$ -CH₃), 9.14 (s, 8H, pyrrole-*H*). ¹³C NMR (101 MHz, CDCl₃) δ : 12.30, 18.16, 21.93, 27.79, 36.77, 123.14, 127.90, 128.53, 132.97, 134.14, 135.09, 137.74, 138.60, 139.52 (CO Carbon), 141.75. IR (NaCl, cm⁻¹) υ (C=O) = 2075 (s). UV-vis (CH₂Cl₂) λ_{max} nm (log \mathcal{E}): = 418 (5.64), 528 (4.45), 564 (3.83). HRMS (+ESI): Calcd for [M-BF₄]⁺ ([C₅₃H₄₇IrN₅O]⁺) m/z 962.3410; found m/z 962.3408.

[(TTP)Ir(CO)(1-MeIm)]BF4 (8): This compound was prepared similarly to 7a, using complex 4a (33 mg, 0.031 mmol), 5 mL of C₆H₆, and HBF₄·Et₂O (7.4 μL, 0.062 mmol, 2 equiv). Recrystallization from CH₂Cl₂-hexanes afforded complex 8. Yield: 58% (19 mg, 0.018 mmol; ¹H NMR (400 MHz, CDCl₃) δ: 0.19 (s, 1H, Im-*H*), 0.97 (s, 1H, Im-*H*), 2.19 (s, 3H, Im-*Me*), 2.73 (s, 12H, -C₆H₄-CH₃), 4.96 (s, 1H, Im-*H*), 7.62 (m, 8H, -C₆H₄-CH₃), 8.09 (m, 8H, -C₆H₄-CH₃), 9.06 (s, 8H, pyrrole-*H*). ¹³C NMR (101 MHz, CDCl₃) δ: 21.91, 34.13, 119.00, 120.33, 123.21, 128.13, 128.49, 129.95, 133.04, 134.38, 134.81, 137.42, 138.85, 139.65 (CO Carbon), 141.52. IR (NaCl, cm⁻¹) ν (C≡O) = 2079 (s). UV-vis (CH₂Cl₂) λ_{max} nm (log *ε*): UV-vis (CH₂Cl₂) λ_{max} nm (log *ε*): 416 (5.61), 528 (4.39), 564 (3.75). HRMS (+ESI): Calcd for [M-BF₄]⁺ ([C₅₃H₄₂IrN₆O]⁺) m/z 971.3049; found m/z 971.3050.

[(TTP)Ir(PMe₂Ph)]BF₄ (9): In a nitrogen-filled glove bag, a 20-mL scintillation vial was charged with complex **6a** (35 mg, 0.030 mmol), 6 mL of C₆H₆, and HBF₄·Et₂O (14.5 μ L, 0.12 mmol, 4 equiv). After stirring at 23 °C for 20 minutes, the reaction mixture was decanted, to separate it from the precipitates. Volatile materials were then removed from the mother liquor under reduced pressure. Recrystallization by adding excess hexanes to a concentrated benzene-solution of the dried product, afforded the product **9**. Yield: 81% (27



mg, 0.024 mmol). ¹H NMR (400 MHz, CDCl₃) δ : -2.75 (d, 6H, J = 12 Hz, PMe), 2.71 (s, 12H, -C₆H₄-CH₃), 3.84 (m, 2H, o-PPh), 6.53 (t, 2H, J = 8 Hz, m-PPh), 6.96 (t, 1H, J = 8 Hz, p-PPh), 7.57 (t, 8H, J = 8 Hz, -C₆H₄-CH₃), 7.91 (d, 4H, J = 8 Hz, -C₆H₄-CH₃), 8.12 (d, 4H, J = 8 Hz, -C₆H₄-CH₃), 8.78 (s, 8H, pyrrole-H). ¹³C NMR (151 MHz, CDCl₃) δ : 5.05 (d, J = 45.3 Hz), 21.90, 123.41, 125.90 (d, J = 7.6 Hz), 127.58, 127.71 (d, J = 12.1 Hz), 128.45, 130.43, 132.44, 133.64, 135.03, 138.17, 138.26, 142.27. ³¹P{¹H} NMR (162 MHz, C₆D₆) δ : -41.28 ppm. UV-vis (CH₂Cl₂) λ_{max} nm (log \mathcal{E}): 414 (5.38), 520 (4.41), 551 (3.66). HRMS (+ESI): Calcd for [M-BF₄]⁺ ([C₅₆H₄₇IrN₄P]⁺) m/z 999.3168; found m/z 999.3141.

[(TTP)IrP(OEt)₃]BF₄ (10): A nitrogen-purged 5-mL round-bottomed flask containing a 1-mL toluene solution of complex **5a** (2.7 mg, 0.0023 mmol) at 0 °C, was charged with 0.8 μ L of HBF₄·Et₂O. While the solution was stirred at 0 °C for 3 minutes, the color of the reaction mixture quickly changed from brown-black to bright red. After volatile materials were removed under reduced pressure, the residues were washed by hexane, and further dried under reduced pressure to afford **10** (88%, 2.2 mg, 0.0020 mmol). ¹H NMR (400 MHz, C₆D₆) δ : -0.53 (t, 9H, *J* = 8 Hz, PCH₂-*Me*), 0.55 (p, 6H, *J* = 8 Hz, PCH₂), 2.42 (s, 12H, -C₆H₄-CH₃), 7.31 (d, 4H, 8 Hz, -C₆H₄-CH₃), 7.36 (d, 4H, 8 Hz, -C₆H₄-CH₃), 8.05 (d, 4H, *J* = 8 Hz, -C₆H₄-CH₃), 8.30 (d, 4H, *J* = 8 Hz, -C₆H₄-CH₃), 8.98 (s, 8H, pyrrole-*H*). ³¹P{¹H} NMR (162 MHz, C₆D₆) δ : 35.16 ppm (s). HRMS (+ESI): Calcd. for [M-BF₄]⁺ ([C₅₄H₅₁IrN₄O₃P]⁺) m/z 1027.3328; found m/z 1027.3326.

(TTP)Ir(NH₂Bn)I (11a): In the glovebox, 0.65 mL of C_6D_6 was added to an NMR tube containing 2a (6 mg, 0.0056 mmol). This was followed by the addition of 11 μ L (0.011



mmol, 2 equiv) of a C₆D₆ stock solution containing 0.11 mM of MeI. The NMR tube was sealed with a rubber septum and heated at 85 °C for 72 hours, while monitored by ¹H NMR spectroscopy for the consumption of **2a**. The reaction mixture was filtered through Celite® to remove insoluble precipitates. Removal of volatile components from the filtrate under reduced pressure yielded **11a** (61%, 3.8 mg, 0.0035 mmol, 92% purity). ¹H NMR (300 MHz, C₆D₆) δ : -4.87 (t, 2H, *J* = 6 Hz, N*H*₂), -2.32 (t, 2H, *J* = 9 Hz, C*H*₂), 2.38 (s, 12H, -C₆H₄-C*H*₃), 4.21 (d, 2H, *J* = 6 Hz, amine-o*H*), 6.05 (t, 2H, *J* = 6 Hz, amine-m*H*), 6.28 (t, 1H, *J* = 6 Hz, amine-p*H*), 7.20 (d, 4H, *J* = 9 Hz, -C₆H₄-CH₃), 7.30 (d, 4H, *J* = 9 Hz, -C₆H₄-CH₃), 8.02 (d, 4H, *J* = 9 Hz, -C₆H₄-CH₃), 8.16 (d, 4H, *J* = 9 Hz, -C₆H₄-CH₃), 8.96 (s, 8H, pyrrole-*H*). ¹³C NMR (101 MHz, CDCl₃) δ : 21.89, 42.68, 122.95, 126.31, 127.48, 128.00, 128.11, 128.54, 132.32, 133.74, 134.37, 135.05, 137.62, 139.09, 142.89. UV-vis (C₆H₆) λ_{max} (log ε): 418 (5.42), 528 (4.27), 562 (3.65). HRMS (+ESI): Calcd for [M+H]⁺ ([C₅₅H₄₆IrN₅I])⁺ m/z 1096.2427; found m/z 1096.2448.

(TTP)Ir(NH2"Bu)I (11b): This compound was prepared similarly to 11a using 2b (9 mg, (0.0084 mmol), 0.65 mL of C₆D₆, and 1.2 μL (0.019 mmol, 2.3 equiv) of MeI. The septumsealed NMR tube was heated at 90 °C for 12 hours and monitored by ¹H NMR spectroscopy for the consumption of 2b. Yield of 11b: 51% (4.5mg, 0.0042 mmol 93% purity). ¹H NMR (300 MHz, C₆D₆) δ: -5.46 (br t, 2H, J = 6 Hz, NH₂), -3.46 (m, 2H, amine- α CH₂), -2.55 (m, 2H, β CH₂), -1.11 (m, 2H, γ CH₂), -0.44 (t, 3H, J = 9 Hz, amine-*Me*), 2.38 (s, 12H, -C₆H₄-CH₃), 7.20 (d, 4H, J = 6 Hz, -C₆H₄-CH₃), 7.33 (d, 4H, J = 6 Hz, -C₆H₄-CH₃), 8.02 (dd, 4H, J = 6 Hz, 3 Hz, -C₆H₄-CH₃), 8.24 (dd, 4H, J = 9 Hz, 3 Hz, -C₆H₄-CH₃), 8.96 (s, 8H, pyrrole-*H*). ¹³C NMR (101 MHz, CDCl₃) δ: 12.38, 18.17, 21.89, 29.22, 38.20, 122.87, 127.47,



127.98, 132.20, 133.67, 135.03, 137.58, 139.15, 142.88. UV-vis (C₆H₆) λ_{max} (log \mathcal{E}): 418 (5.43), 528 (4.26), 562 (3.61). HRMS (+ESI): Calcd for [M+H]⁺ ([C₅₂H₄₈IrN₅I])⁺ m/z 1062.2584; found m/z 1062.2573.

(TTP)Ir(NH2^{*i*}Pr)I (11c): This compound was prepared similarly to 11b, using complex **2c** (9 mg, 0.0086 mmol) of **2c**, 0.65 mL of C₆D₆, and MeI (2.4 μ L, 0.039 mmol, 4.5 equiv). The reaction was heated at 90 °C for 55 hours while monitored by ¹H NMR for the consumption of **2c**. Yield **11c**: 73% (6.6 mg, 0.0063 mmol, 90% purity). ¹H NMR (300 MHz, C₆D₆) δ : -5.51 (d, 2H, *J* = 6 Hz, N*H*₂), -3.58 (m, 1H, amine-C*H*), -2.56 (d, 6H, *J* = 6 Hz, amine-*Me*), 2.38 (s, 12H, -C₆H₄-C*H*₃), 7.20 (d, 4H, *J* = 9 Hz, -C₆H₄-CH₃), 7.34 (d, 4H, *J* = 9 Hz, -C₆H₄-CH₃), 8.01 (dd, 4H, *J* = 6 Hz, 3 Hz, -C₆H₄-CH₃), 8.20 (dd, 4H, *J* = 6 Hz, 3 Hz, -C₆H₄-CH₃), 8.94 (s, 8H, pyrrole-*H*). ¹³C NMR (101 MHz, CDCl₃) δ : 20.63, 21.88, 41.25, 122.89, 127.43, 127.96, 132.21, 133.76, 134.90, 137.56, 139.15, 142.87. UV-vis (C₆H₆) λ_{max} (log *E*): 418 (5.40), 528 (4.24), 562 (3.60). HRMS (+ESI): Calcd for [M+H]⁺([C₃₁H₄₆IrN₃I])⁺ m/z 1048.2427; found m/z 1048.2407.

(TTP)Ir(NH₂'Bu)I (11d): This compound was prepared similarly to 11b, using complex 2d (9 mg, 0.0084 mmol), 0.65 mL of C₆D₆, and MeI (1.8 μ L, 0.029 mmol, 3.5 equiv) of. The reaction was heated at 90 °C for 112 hours while monitored by ¹H NMR for the consumption of 2d. Yield 11d: 64% (5.7 mg, 0.0054 mmol, 94% purity). ¹H NMR (300 MHz, C₆D₆) δ : - 5.25 (s, 2H, amine-NH₂), -2.62 (s, 9H, amine-Me), 2.38 (s, 12H, -C₆H₄-CH₃), 7.20 (d, 4H, *J* = 9 Hz, -C₆H₄-CH₃), 7.34 (d, 4H, *J* = 9 Hz, -C₆H₄-CH₃), 8.00 (dd, 4H, *J* = 9 Hz, 3 Hz, -C₆H₄-CH₃), 8.21 (dd, 4H, *J* = 9 Hz, 3 Hz, -C₆H₄-CH₃), 8.94 (s, 8H, pyrrole-*H*). UV-vis (CH₂Cl₂)



 λ_{max} (log \mathcal{E}): 418 (5.36), 528 (4.24), 562 (3.70). HRMS (+ESI): Calcd for [M+H]⁺ ([C₅₂H₄₈IrN₅I])⁺ m/z 1062.2584; found m/z 1062.2559.

(TTP)Ir(1-MeIm)I (12): In a glovebox, a 20-mL scintillation vial was charged with 4a (27 mg, 0.025 mmol), 5 mL of C₆H₆, and MeI (3.4 μL, 0.055 mmol, 2.2 equiv). After refluxing the contents of the vial at 80 °C for 36 hours, the solution was vacuum-filtered through Celite® on a fritted funnel. After removal of volatile components from the filtrate under reduced pressure, followed by recrystallization of the residue from C₆H₆-hexanes, 12 was obtained, in 88% purity, as determined by ¹H NMR. Yield: 61% (16 mg, 0.015 mmol). ¹H NMR (400 MHz, C₆D₆) δ: 0.16 (s, 3H, 1-MeIm-*Me*), 1.14 (t, 1H, *J* = 4 Hz, 1-MeIm-aryl*H*), 1.28 (t, 1H, *J* = 4 Hz, 1-MeIm-aryl*H*), 2.35 (s, 12H, -C₆H₄-CH₃), 3.39 (t, 1H, *J* = 4 Hz, 1-MeIm-aryl*H*), 7.19 (dd, 4H, *J* = 8 Hz, 4 Hz, -C₆H₄-CH₃), 7.27 (d, 4H, *J* = 8 Hz, 4 Hz, -C₆H₄-CH₃), 8.06 (dd, 4H, *J* = 8 Hz, 4 Hz, -C₆H₄-CH₃), 8.17 (dd, 4H, *J* = 8 Hz, 4 Hz, -C₆H₄-CH₃), 8.97 (s, 8H, pyrrole-*H*). ¹³C NMR (151 MHz, CDCl₃) δ: 21.88, 33.67, 117.61, 122.25, 122.72, 127.25, 127.86, 130.45, 131.86, 133.76, 135.02, 137.36, 139.44, 142.62. UV-vis (C₆H₆): λ_{max} (log *ε*) 420 (5.38), 528 (4.27), 563 (3.71). HRMS (+ESI): Calcd for [M]⁻⁺ ([C₅₂H₄₂IrN₆Π]⁺ m/z 1070.2145; found m/z 1070.2162.

 $(TTP)Ir[P(OEt)_3]I$ (13): In air, a 20-mL scintillation vial was charged with 28 mg of crude (~60% pure) compound 15 (0.013 mmol), 6 mL of benzene, and 24.5 mg (0.065 mmol, 5 equiv) of [Bu₄N]I. The mixture was then stirred, at 23 °C, for 30 hours. The *n*-butyl ammonium salts were extracted from the organic layer, using water. After volatile components were removed under reduced pressure, followed by a hexane wash, complex 13



was obtained. Yield: 49% (8 mg, 0.0065 mmol). ¹H NMR (400 MHz, C₆D₆) δ : -0.38 (t, 9H, *J* = 8 Hz, PCH₂-*Me*), 0.70 (p, 6H, *J* = 8 Hz, PCH₂), 2.38 (s, 12H, -C₆H₄-CH₃), 7.19 (d, 4H, *J* = 8 Hz, -C₆H₄-CH₃), 7.36 (d, 4H, *J* = 8 Hz, -C₆H₄-CH₃), 8.03 (dd, 4H, *J* = 8 Hz, 4 Hz, -C₆H₄-CH₃), 8.19 (dd, 4H, *J* = 8 Hz, 4 Hz, -C₆H₄-CH₃), 8.99 (s, 8H, pyrrole-*H*). ¹³C NMR (151 MHz, CDCl₃) δ : 15.22 (d, *J* = 6.0 Hz), 21.87, 59.39 (d, *J* = 7.6 Hz), 122.80, 127.29, 127.96, 131.67, 133.75, 135.17, 137.45, 139.56, 142.29. ³¹P{¹H} NMR (162 MHz, C₆D₆) δ : -0.01 ppm. UV-vis (CH₂Cl₂) λ_{max} nm (log ϵ): 370 (4.55), 433 (5.17), 539 (4.20), 576 (3.89). HRMS (+ESI): Calcd for [M-I]⁺ ([C₅₄H₅₁IrN₄O₃P]⁺) m/z 1027.3328; found m/z 1027.3302.

(TTP)Ir(PMe₂Ph)I (14): In air, a 20-mL scintillation vial was charged with complex 9 (34 mg, 0.031 mmol), [Bu₄N]I (23.1 mg, 0.061 mmol, 2 equiv), and CH₂Cl₂ (5 mL). After stirring the mixture at 23 °C for 8 min, the *n*-butyl ammonium salts were extracted from the organic phase using water. Volatile components were then removed from the organic phase under reduced pressure. After washing with hexanes and drying under reduced pressure, complex 14 was obtained. Yield: 69% (24 mg, 0.021 mmol) Anal. Calcd for C₅₆H₄₇IrN₄PI·0.12C₆H₁₄: C, 59.95; H, 4.32; N, 4.93. Found: C, 60.25; H, 4.16; N, 4.79. ¹H NMR (400 MHz, C₆D₆) δ : -3.06 (d, 6H, *J* = 8 Hz, P*Me*), 0.89 (t, 0.73H, C₆*H*₁₄), 1.24 (m, 0.96H, C₆*H*₁₄), 2.40 (s, 12H, -C₆H₄-CH₃), 3.86 (m, 2H, PPh-o*H*), 6.23 (td, 2H, *J* = 8 Hz, 4 Hz, PPh-m*H*), 6.57 (m, 1H, PPh-p*H*), 7.18 (d, 4H, *J* = 8 Hz, -C₆H₄-CH₃), 7.95 (dd, 4H, *J* = 8 Hz, 4 Hz, -C₆H₄-CH₃), 8.04 (dd, 4H, *J* = 8 Hz, 4 Hz, -C₆H₄-CH₃), 8.84 (s, 8H, pyrrole-*H*). ¹³C NMR (151 MHz, CDCl₃) δ : 3.69 (d, *J* = 37.8 Hz), 21.88, 122.93, 125.27 (d, *J* = 55.9 Hz), 126.36 (d, *J* = 9.1 Hz), 127.27, 127.42 (d, *J* = 9.1 Hz), 127.95, 129.55, 131.93, 133.66, 135.15, 137.52, 139.20, 142.05. ³¹P {¹H} NMR (162



MHz, C₆D₆) δ : -43.55 ppm. UV-vis (C₆H₆): λ_{max} nm (log \mathcal{E}) 385 (4.82), 439 (5.14), 545 (4.18), 582 nm (4.02). HRMS (+ESI): Calcd for [M-I]⁺ ([C₅₆H₄₇IrN₄P]⁺) m/z 999.3168; found m/z 999.3139.

[(TTP)Ir[P(OEt)₃](NH₂Bn)]BF₄ (15): In a nitrogen-filled glove bag, a 50-mL roundbottomed flask was charged with 5a (53 mg, 0.046 mmol) and 25 mL of toluene. The flask was capped with a septum, then cooled to 0 °C. HBF₄·Et₂O (11 µL, 0.093 mmol, 2.0 equiv) to the flask via a gas-tight syringe. While the solution was stirred at 0 °C for 3 min, the color of the reaction mixture quickly changed from brown-black to a red solution. The solution was then decanted from the precipitates and volatile components were removed from the mother liquor under reduced pressure to afford crude 15. Yield: 90% (50 mg, 0.041 mmol, 59% purity). In air, a 20-mL scintillation vial was then charged with 17.6 mg of the crude compound 15 (0.014mmol), 5 mL of dichloromethane, and 10 µL (0.091 mmol, 6.5 equiv) of benzylamine. The reaction mixture was stirred, at 23 °C, for 10 min, then volatile components were removed under reduced pressure. After a hexane wash, and then further drying of the product under reduced pressure, 13.3 mg of 15 was obtained. Yield: 78% (13 mg, 0.011 mmol, 83% purity). ¹H NMR (400 MHz, C₆D₆) δ : -3.50 (m, 2H, NH₂), -1.78 (q, 2H, J = 4 Hz, CH_2 -NH₂), -0.48 (t, 9H, J = 8 Hz, PCH_2 -CH₃), 0.55 (p, 6H, J = 8 Hz, PCH_2), 2.42 (s, 12H, $-C_6H_4$ -CH₃), 4.92 (d, 2H, J = 8 Hz, amine-o-H), 6.31 (t, 2H, J = 8 Hz, amine-m-*H*), 6.41 (t, 1H, J = 8 Hz amine-*p*-*H*), 7.34 (d, 4H, J 8 Hz, $-C_6H_4$ -CH₃), 7.37 (d, 4H, J 8 Hz, - C_{6H_4} -CH₃), 8.08 (d, 4H, J = 8 Hz, $-C_{6H_4}$ -CH₃), 8.41 (d, 4H, J = 8 Hz, $-C_{6H_4}$ -CH₃), 9.01 (s, 8H, pyrrole-*H*). ¹³C NMR (101 MHz, CDCl₃) δ : 15.11 (d, *J* = 6.1 Hz), 21.90, 40.69 (d, *J* = 3.0 Hz), 60.09 (d, J = 9.1 Hz), 123.07, 125.95, 127.47, 127.94, 128.23, 128.35, 132.52,



134.11, 134.75, 138.27, 138.31, 138.41, 142.32. ³¹P{¹H} NMR (162 MHz, C₆D₆) δ : 18.75 ppm. UV-vis (C₆H₆): λ_{max} (log \mathcal{E}) 420 (5.32), 529 (4.28), 562 nm (3.65). HRMS (+ESI): Calcd for [M-BF₄]⁺ ([C₆₁H₆₀IrN₅O₃P]⁺) m/z 1134.4063; found m/z 1134.4059.

[(TTP)Ir(PMe₂Ph)(NH₂Bn)]BF₄ (16): In air, a 20-mL scintillation vial was charged with complex 9 (30 mg, 0.028 mmol), benzylamine (7.5 µL, 0.068 mmol, 2.4 equiv), and 10 mL of C₆H₆. After stirring the mixture at 23 °C for 30 minutes, volatile materials were removed under reduced pressure. Recrystallization of the residues from THF-hexanes afforded complex 16 (90%, 30 mg, 0.025 mmol). ¹H NMR (400 MHz, C₆D₆) δ : -3.42 (m, 2H, amine-NH₂), -3.17 (d, 6H, J = 12 Hz, PMe), -1.72 (m, 2H, amine-CH₂), 2.44 (s, 12H, - $C_{6}H_{4}-CH_{3}$, 3.64 (m, 2H, PPh-oH), 4.89 (d, 2H, J = 8 Hz, amine-oH), 6.17 (t, 2H, J = 8 Hz, PPh-mH), 6.25 (t, 2H, J = 8 Hz, amine-mH), 6.37 (t, 1H, J = 8 Hz, PPh-pH), 6.51 (t, 1H, J = 88 Hz, amine-pH), 7.32 (d, 4H, J = 8 Hz, $-C_6H_4$ -CH₃), 7.41 (d, 4H, J = 8 Hz, $-C_6H_4$ -CH₃), 7.95 (dd, 4H, J = 8 Hz, 4 Hz, -C₆H₄-CH₃), 8.34 (dd, 4H, J = 8 Hz, 4 Hz, -C₆H₄-CH₃), 8.86 (s, 8H, pyrrole-*H*). ¹³C NMR (151 MHz, CDCl₃) δ : 4.90 (d, *J* = 42.3 Hz), 21.92, 41.37, 123.18, 125.15 (d, J = 58.9 Hz), 125.96, 126.06 (d, J = 9.1 Hz), 127.32, 127.71 (d, J = 10.6 Hz), 127.78, 128.13, 128.38, 130.00, 132.76, 133.97, 134.91, 135.15, 138.03, 138.29, 142.19. ³¹P{¹H} NMR (162 MHz, C₆D₆) δ : -41.49 ppm. UV-vis (C₆H₆): λ_{max} (log \mathcal{E}) 419 (5.36), 528 (4.32), 561 nm (3.71). HRMS (+ESI): Calcd for $[M-BF_4]^+$ ($[C_{63}H_{56}IrN_5P]^+$) m/z 1106.3903; found m/z 1106.3899.

trans-[(TTP)Ir(PMe₂Ph)₂]BF₄ (17): In a glovebox, an NMR tube was charged with 20 mg (0.0185 mmol) of 9, 1.0 mL of CDCl₃ and 2.9 μ L (0.020 mmol, 1.1 equiv) of PMe₂Ph.



Analysis, by ¹H NMR, after 6.5 hours showed quantitative formation of **17**. After volatile components were removed under reduced pressure, compound **17** was obtained. Yield: 80% (18 mg, 0.015 mmol). ¹H NMR (400 MHz, CDCl₃) δ : -2.77 (t, 12H, *J* = 4 Hz, *PMe*), 2.73 (s, 12H, -C₆H₄-CH₃), 3.82 (m, 4H, *o*-PPh), 6.51 (t, 4H, *J* = 8 Hz, *m*-PPh), 6.91 (t, 2H, *J* = 8 Hz, *p*-PPh), 7.60 (d, 8H, *J* = 8 Hz, -C₆H₄-CH₃), 7.87 (d, 8H, *J* = 8 Hz, -C₆H₄-CH₃), 8.73 (s, 8H, pyrrole-*H*). ¹³C NMR (151 MHz, CDCl₃) δ : 4.20 (t, *J* = 16.6 Hz), 21.89, 123.23, 125.34 (t, *J* = 24.2 Hz), 126.35 (t, *J* = 4.5 Hz), 127.80 (t, *J* = 4.5 Hz), 128.22, 129.86, 132.92, 134.33, 137.63, 138.66, 141.92. ³¹P{¹H} NMR (162 MHz, C₆D₆) δ : -32.35 ppm. UV-vis (CH₂Cl₂) λ_{max} nm (log ϵ): 312 (sh, 4.13), 333 (sh, 4.33), 356 (4.50), 432 (5.21), 541 (4.14), 578 (4.09). HRMS (+ESI): Calcd for [M-BF₄]⁺ ([C₆₄H₅₈IrN₄P₂]⁺) m/z 1137.3766; found m/z 1137.3752.

[**BnNMe₃]I**: ¹H NMR (400 MHz, D₂O) δ : 3.08 (s, 9H, N-*Me*), 4.48 (s, 2H, *CH*₂), 7.53 – 7.59 (m, 5H, C₆*H*₅). ¹³C NMR (101 MHz, CDCl₃): 53.29 (t, ¹*J*_{C-N} = 4 Hz), 69.31 (t, ¹*J*_{C-N} = 2 Hz), 127.42, 129.70, 131.37, 133.44. HRMS (+ESI): Calcd for [M-I]⁺ ([C₁₀H₁₆N]⁺) m/z 150.1283; found m/z 150.1281.

[*i*-PrNMe₃]I: ¹H NMR (400 MHz, D₂O): 1.38 (dt, 6H, CH-*Me*, *J* = 8 Hz, 4 Hz)), 3.04 (s, 9H, N-*Me*), 3.64 (h, 1H, C*H*, *J* = 8 Hz). ¹³C NMR (101 MHz, CDCl₃): 17.50, 51.64 (t, ¹*J*_{C-N} = 4 Hz), 67.81.

[*n*-BuNMe₃]I: ¹H NMR (400 MHz, CDCl₃) δ : 1.03 (t, 3H, J = 8 Hz), 1.48 (m, 2H), 1.7 (m, 2H), 3.44 (s, 9H), 3.59 (m, 2H). ¹³C NMR (101 MHz, CDCl₃) δ : 14.07, 19.93, 25.51,



54.18 (t, ${}^{1}J_{C-N} = 3 \text{ Hz}$), 67.63 (t, ${}^{1}J_{C-N} = 3 \text{ Hz}$). HRMS (+ESI): Calcd for [M-I]⁺ ([C₇H₁₈N]⁺) m/z 116.1439; found m/z 116.1434.

General procedure for the determination of equilibrium constants: Stock solutions of each carbamoyl complex (2a, 3a, 4a and 5a) were made in 5.0 mL of C₆H₆, with concentrations ranging between 3.9 and 6.4 mM. Additional stock solutions of the free ligands were made in 5.0 mL of C₆D₆. A single 5.0 ml C₆D₆ stock solution (91.7 mM) of mesitylene was used for the internal standard in all the reactions. A known volume of the carbamoyl complex solution was added to an NMR tube equipped with a high-vacuum Teflon stopcock, and the C₆H₆ was removed under reduced pressure. A known volume (typically 0.6 mL) of C₆D₆ was added to the solid carbamoyl complex, followed by either 10. μ L (0.92 μ mol) or 20. μ L (1.83 μ mol) of the internal standard solution. After analysis of the mixture by ¹H NMR, the actual molarity of the metal carbamoyl complex was calculated from its β -pyrrole peak integration versus the mesitylene aliphatic proton peak integration. The actual molarity of the stock solution of each free ligand was similarly determined by the ¹H NMR analysis of a mixture of a known volume of the ligand and internal standard. For the equilibrium measurements, a fresh volume of the free ligand solution, was transferred by syringe into the NMR tube containing a C₆D₆ solution of both the complex and the internal standard. Each reaction was then monitored by NMR, over a period of up to 1 h (Note that the reaction of **5a** with quinuclidine took 10 h to reach equilibrium). Concentrations of reactants and products were determined by ¹H NMR analysis, monitored at 10 - 15 min intervals for each mixture.



X-ray crystal structure determination of complexes 4a and 17: X-ray quality crystals of (TTP)Ir(1-MeIm)[C(O)NHBn] (4a) and *trans*-[(TTP)Ir(PMe₂Ph)₂]BF₄ (17) were obtained by layering a saturated THF-solution of the complex with hexanes, and allowing the hexane to slowly diffuse into the THF solution at -21 °C over a period of 24 hours (for 4a) and 10 days (for 17).

A red needle-like single crystal of **4a** and brown plate-like crystal of **17** were selected under the microscope, and covered with PARATONE oil. The samples were mounted in a Bruker APEX2 diffractometer under a stream of cold nitrogen. Full sphere X-ray intensity data were measured to a resolution of 0.71 Å (0.5 deg. width ω -scan, 15 sec per frame, Mo K_a radiation. $\lambda = 0.71073$ Å, graphite monochromator). The frames were integrated using a narrow-frame algorithm. Data were corrected for absorption effects using the multi-scan method.^{57,58} Structures were solved by direct methods. All non-hydrogen atoms were refined in a full-matrix anisotropic approximation based on F². All expected hydrogen atoms were placed on calculated positions and were refined in an isotropic approximation using a "riding" model. The $U_{iso}(H)$ values were set at 1.2 - 1.5 times the U_{eq} value of the carrier atom. All calculations were performed using the APEX II Software Suite.⁵⁹

One molecule of **4a** and three THF solvent molecules were found in the asymmetric unit of the triclinic cell. Although additional THF molecules may partially occupy observed voids, attempts to apply SQUEEZE were not able to improve the refinement significantly. Thus, the original dataset was used for final results. Similarity constraints on geometrical parameters and on displacement parameters were used to treat solvent molecules.

Three chemically equivalent, but crystallographically non-equivalent molecules were observed in the structure refinement of **17**. One molecule, two halves of the same molecule



lying on an inversion center, two BF_{4} counter-ions (one of them disordered by two equivalent positions) and two solvent THF molecules were found in the asymmetric unit of the triclinic cell. Similarity constraints on geometrical parameters and on displacement parameters were used to obtain a reasonable molecular geometry and displacement coefficients for the atoms of the BF_{4} counter ions and THF solvent molecules.

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--5.14 -0.41 8.91 8.12 7.93 7.28 7.28 7.20 7.19 7.20 7.19 7.20 7.19 5.26 5.26 4.52 2.01 $\leftarrow C_6D_6$ Tol Toh Tol Tol NH₂ 2a H₂O 12.02-1.40 1.02 8.00 2.02 2.04 2.02 4.03 4.02 4.01 4.01 3.01 1.01 2.03 2 1 f1 (ppm) 9 5 -2 8 7 6 4 3 0 -1 -3 -5 -4 -6 Fig. S1. ¹H NMR spectrum for (TTP)Ir(NH₂Bn)[C(O)NHBn] (2a) in C₆D₆ at 299 K 1.84 1.98 2.00 -2.02 -4.99 -5.01 -5.03 CDCl₃ Toh ol Tol $\dot{N}H_2$ 2a 12.06 1.01 2.01 2.19 8.00 4.01 4.01 8.04 1.04 1.04 2.01 2.04 2.04 1.98

8.5 8.0 7.5 7.0 6.5 6.0 5.5 5.0 4.5 4.0 3.5 3.0 2.5 2.0 1.5 1.0 0.5 0.0 -0.5 -1.0 -1.5 -2.0 -2.5 -3.0 -3.5 -4.0 -4.5 -5.0 -5 f1 (ppm)

Fig. S2. ¹H NMR spectrum for (TTP)Ir(NH₂Bn)[C(O)NHBn] (2a) in CDCl₃ at 273 K



Supporting Information


Fig. S3. 13C NMR spectrum for (TTP)Ir(NH₂Bn)[C(O)NHBn] (2a) in CDCl₃



Fig. S4. Partial 2D gHSQC spectrum for $(TTP)Ir(NH_2Bn)[C(O)NHBn]$ (**2a**) in CDCl₃ showing correlations for: (a) ¹H and ¹³C signals of carbamoyl-CH₂, (b) ¹H and ¹³C signals of amine-CH₂)





Fig. S6. ¹³C NMR spectrum for (TTP)Ir(NH₂ⁿBu)[C(O)NHⁿBu] (2b) in CDCl₃





Fig. S7. ¹H NMR spectrum for $(TTP)Ir(NH_2^iPr)[C(O)NH^iPr]$ (**2c**) in C₆D₆



Fig. S8. ¹³C NMR spectrum for $(TTP)Ir(NH_2^iPr)[C(O)NH^iPr]$ (2c) in CDCl₃





Fig. S9. ¹H NMR spectrum for $(TTP)Ir(NH_2^tBu)[C(O)NH^tBu]$ (2d) in C₆D₆



Fig. S10. ¹³C NMR spectrum for (TTP)Ir(NH₂^tBu)[C(O)NH^tBu] (2d) in CDCl₃









Fig. S12. ¹³C NMR spectrum for (TTP)Ir(ABCO)[C(O)NHBn] (3a) in CDCl₃









Fig. S14. ³¹C NMR spectrum for (TTP)Ir(1-MeIm)[C(O)NHBn] (4a) in CDCl₃





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Fig. S15. ¹H NMR spectrum for $(TTP)Ir(1-MeIm)[C(O)NH^{n}Bu]$ (4b) in C₆D₆



Fig. S16. ¹³C NMR spectrum for (TTP)Ir(1-MeIm)[C(O)NHⁿBu] (4b) in CDCl₃









Fig. S18. ¹³C NMR spectrum for (TTP)Ir[P(OEt)₃][C(O)NHBn] (5a) in CDCl₃









Fig. S20. ¹H NMR spectrum for $(TTP)Ir[P(OEt)_3][C(O)NH^nBu]$ (5b) in C₆D₆





35 30 f1 (ppm) -5 -10 -15 -2 **Fig. S22**. ³¹P NMR spectrum for $(TTP)Ir[P(OEt)_3](C(O)NH^nBu)$ (**5b**) in C₆D₆



56.7 f1 (ppm) 56.6 i5 160 155 150 145 140 135 130 125 120 115 110 105 100 95 90 85 80 75 70 65 60 55 50 45 40 35 30 25 20 15 f1 (ppm)

Fig. S21. ¹³C NMR spectrum for (TTP)Ir[P(OEt)₃][C(O)NHⁿBu] (5b) in CDCl₃





Fig. S23. ¹H NMR spectrum for (TTP)Ir(PMe₂Ph)[C(O)NHBn] (6a) in C₆D₆



Fig. S24. ¹³C NMR spectrum for (TTP)Ir(PMe₂Ph)[C(O)NHBn] (6a) in CDCl₃





Fig. S25. ³¹P NMR spectrum for (TTP)Ir(PMe₂Ph)[C(O)NHBn] (6a) in C₆D₆



Fig. S26. ¹H NMR spectrum for (TTP)Ir(PMe₂Ph)[C(O)NHⁿBu] (6b) in C₆D₆





Fig. S27. ¹³C NMR spectrum for (TTP)Ir(PMe₂Ph)[C(O)NHⁿBu] (6b) in CDCl₃



Fig. S28. ³¹P NMR spectrum for (TTP)Ir(PMe₂Ph)[C(O)NHⁿBu] (6b) in C₆D₆





Fig. S29. ¹H NMR spectrum for (TTP)Ir(PMe₂Ph)[C(O)NHⁱPr] (6c) in C₆D₆



Fig. S30. ¹³C NMR spectrum for (TTP)Ir(PMe₂Ph)[C(O)NHⁱPr] (6c) in CDCl₃





Fig. 31. ³¹P NMR spectrum for (TTP)Ir(PMe₂Ph)[C(O)NHⁱPr] (6c) in C₆D₆



Fig. 32. ¹H NMR spectrum for [(TTP)Ir(NH₂Bn)(CO)]BF₄ (7a) in C₆D₆





Fig. S33. ¹³C NMR spectrum for [(TTP)Ir(NH₂Bn)(CO)]BF₄ (7a) in CDCl₃



Fig. S34. ¹H NMR spectrum for $[(TTP)Ir(NH_2^nBu)(CO)]BF_4$ (7b) in C₆D₆





Fig. S36. ¹H NMR spectrum for [(TTP)Ir(1-MeIm)(CO)]BF₄ (8) in CDCl₃





140 135 130 125 120 115 110 105 100 95 90 85 80 75 70 65 60 55 50 45 40 35 30 25 2(f1 (ppm) Fig. S37. ¹³C NMR spectrum for [(TTP)Ir(1-MeIm)(CO)]BF₄ (8) in CDCl₃



Fig. S38. ¹H NMR spectrum for [(TTP)Ir(PMe₂Ph)]BF₄(9) in CDCl₃





Fig. S39. ¹³C NMR spectrum for [(TTP)Ir(PMe₂Ph)]BF₄(9) in CDCl₃













Fig. S44. ¹³C NMR spectrum for (TTP)Ir(NH₂Bn)I (11a) in CDCl₃









Fig. S46. ¹³C NMR spectrum for (TTP)Ir(NH₂ⁿBu)I (11b) in CDCl₃





Fig. S48. ¹³C NMR spectrum for $(TTP)Ir(NH_2^iPr)I$ (11c) in CDCl₃



8.94 8.21 8.22 8.20 8.19 8.01 8.01 8.01 8.01 8.01 7.35 7.35 7.35 7.35 7.35 7.15

-2.55 -2.57 -2.57 -3.54 -3.58 -3.58 -3.60 -3.60 -5.50



Fig. S50. ¹H NMR spectrum for (TTP)Ir(1-MeIm)I (12) in C_6D_6





Fig. S51. ¹³C NMR spectrum for (TTP)Ir(1-MeIm)I (12) in CDCl₃



Fig. S52. ¹H NMR spectrum for $(TTP)Ir[P(OEt)_3]I(13)$ in C₆D₆







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Fig. S56. ¹³C NMR spectrum for (TTP)Ir(PMe₂Ph)I (14) in CDCl₃









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²⁴ ²³ ²² ²¹ ²⁰ ¹⁹ ¹⁸ ¹⁷ ¹⁶ ¹⁵ ¹⁴ ¹³ ¹² ¹¹ ¹⁰ ⁹ ⁸ ⁷ ⁶ ⁵ ⁴ ³ ² ¹ ⁰ ⁻¹ ⁻² ⁻³ ⁻⁴ ⁻⁵ ⁻⁶ ⁻⁷ ⁻⁸ ⁻⁹ **Fig. S60**. ³¹P NMR spectrum for $[(TTP)Ir[P(OEt)_3](NH_2Bn)]BF_4$ (15) in C₆D₆



Fig. S59. ¹³C NMR spectrum for [(TTP)Ir[P(OEt)₃](NH₂Bn)]BF₄ (15) in CDCl₃



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Fig. S61. ¹H NMR spectrum for [(TTP)Ir(PMe₂Ph)(NH₂Bn)]BF₄ (16) in C₆D₆



Fig. S62. ¹³C NMR spectrum for [(TTP)Ir(PMe₂Ph)(NH₂Bn)]BF₄ (16) in CDCl₃



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Fig. 63. ³¹P NMR spectrum for [(TTP)Ir(PMe₂Ph)(NH₂Bn)]BF₄ (16) in C₆D₆



Fig. S64. ¹H NMR spectrum for *trans*-[(TTP)Ir(PMe₂Ph)₂]BF₄ (17) in CDCl₃





Fig. S65. ¹³C NMR spectrum for *trans*-[(TTP)Ir(PMe₂Ph)₂]BF₄ (17) in CDCl₃



Fig. S66. ³¹P NMR spectrum for *trans*-[(TTP)Ir(PMe₂Ph)₂]BF₄ (17) in C₆D₆







Fig. S68. ¹³C NMR spectrum for [BnNMe₃]I in CDCl₃





Fig. S69. ¹H NMR spectrum for [*i*-PrNMe₃]I in D₂O



Fig. S70. ¹³C NMR spectrum for [*i*-PrNMe₃]I in CDCl₃



0 1	(TTP)Ir(MeIm)[C(O)NHBn]	[(TTP)Ir(PMe ₂ Ph) ₂]BF ₄
Compound	(4a)	(17)
Emprical Formula	C ₇₂ H ₇₄ Ir N ₇ O ₄	C ₆₈ H ₆₆ B F ₄ Ir N ₄ O P ₂
Formula weight (g mol ⁻¹)	1293.58	1296.19
Temperature (K)	173(2)	173(2)
Wavelength (Å)	0.71073	0.71073
Crystal system	Triclinic	Triclinic
Space group (no.)	P -1	P -1
<i>a</i> (Å)	9.833(3)	16.528(4)
b (Å)	16.673(5)	16.705(4)
<i>c</i> (Å)	20.164(7)	25.722(5)
α (°)	77.200(5)	82.678(4)
β (°)	86.527(5)	72.836(4)
<u>y</u> (°)	81.073(5)	61.732(4)
$V(Å^3)$	3183.4(18)	5976(2)
Ζ	2	4
D_{calc} (g cm ⁻³)	1.350	1.441
μ (mm ⁻¹)	2.151	2.347
<i>F</i> (000)	1328	2632
θ range for data collection	1.27-27.72°	2.43-18.98°
	$-12 \le h \le 12$,	$-18 \le h \le 18$,
Index ranges	$-21 \le k \le 21,$	$-18 \le k \le 18$,
	$-26 \le l \le 25$	$-28 \le l \le 28$
Absorption corrections (T_{\min}	0 55 / 0 74	0.63 / 0.74
$/T_{\rm max}$)		0.037 0.71
Reflections collected	31174	55875
Completeness to θ_{max}	98.5%	100%
Data/restraints/parameters	14716 / 150 / 757	17163 / 451 / 1498
Final <i>R</i> indices $[I > 2\sigma(I)]$	$R_1 = 0.0534, wR_2 = 0.1369$	$R_1 = 0.0580, wR_2 = 0.1454$
<i>R</i> indices (all data)	$R_1 = 0.0725, wR_2 = 0.1463$	$R_1 = 0.0979, wR_2 = 0.1685$
Goodness-of-fit on F^2	1.084	1.037
Largest diff. peak and hole	2.252 and -2.513 $e^{A^{-3}}$	1.696 and $-1.339 \text{ e}\text{Å}^{-3}$

Table S1. Crystallographic and Structure Refinement Data for 4a and 17



(TTP)Ir(MeIm)[C(O)NHBn] (4a)		[(TTP)Ir(PMe ₂ Ph) ₂]BF ₄ (17)	
Ir-C(53)	2.026(6)	Ir-P(1)	2.354(3)
Ir-N(5)	2.208(5)	Ir-P(2)	2.348(3)
C(53)-O(1)	1.217(7)	P(1)-C(49)	1.783(13)
C(53)-N(7)	1.355(8)	P(1)-C(50)	1.800(12)
Ir-N(1)	2.034(4)	P(1)-C(51)	1.794(12)
Ir-N(2)	2.040(4)	P(2)-C(57)	1.822(13)
Ir-N(3)	2.045(4)	P(2)-C(58)	1.805(13)
Ir-N(4)	2.043(5)	P(2)-C(59)	1.808(12)
C(53)-Ir-N(5)	178.86(19)	Ir-N(1)	2.049(9)
O(1)-C(53)-N(7)	119.0(6)	Ir-N(2)	2.035(8)
O(1)-C(53)-Ir	124.3(5)	Ir-N(3)	2.035(8)
N(7)-C(53)-Ir	116.7(4)	Ir-N(4)	2.045(9)
N(1)-Ir-N(2)	89.57(17)	P(1)-Ir-P(2)	179.20(11)
N(1)-Ir-N(3)	178.92(18)	N(1)-Ir-N(2)	90.4(3)
N(1)-Ir-N(4)	90.68(18)	N(1)-Ir-N(3)	179.2(4)
N(2)-Ir-N(3)	90.12(17)	N(1)-Ir-N(4)	89.3(3)
N(2)-Ir-N(4)	178.44(18)	N(2)-Ir-N(3)	90.4(3)
N(3)-Ir-N(4)	89.59(18)	N(2)-Ir-N(4)	179.2(4)
C(53)-Ir-N(1)	88.9(2)	N(3)-Ir-N(4)	89.9(3)
C(53)-Ir-N(2)	91.7(2)	Ir-P(1)-C(49)	114.3(5)
C(53)-Ir-N(3)	92.2(2)	Ir-P(1)-C(50)	113.2(4)
C(53)-Ir-N(4)	89.8(2)	Ir-P(1)-C(51)	114.5(4)
		Ir- $P(2)-C(57)$	112.8(6)
		Ir- $P(2)$ - $C(58)$	114.0(5)
		Ir- $P(2)-C(59)$	113.9(4)

Table S2. Selected bond distances and angles for 4a and 17


CHAPTER 3. SCOPE AND MECHANISM OF IRIDIUM PORPHYRIN-CATALYZED S-H INSERTION REACTIONS

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Abstract

The insertion of carbenes from ethyl diazoacetate (EDA), methyl diazoacetate (MDA), methyl phenyldiazoacetate (MPDA) or methyl (p-tolyl)diazoacetate (MTDA) into the S-H bonds of aromatic and aliphatic thiols was catalyzed by (5,10,15,20tetratolylporphyrinato)methyliridium(III), Ir(TTP)CH₃, under ambient temperatures. Yields of the resulting thioether products were as high as 97% for aromatic thiols, with catalyst loadings as low as 0.07 mol%. Thiol binding to Ir(TTP)CH₃ was measured by titration studies and provided equilibrium constants, K_b , ranging from 4.25 x 10² - 1.69 x 10³, for *p*-nitrobenzenethiol, *p*-chlorobenzenethiol, benzenethiol. *p*-methylbenzenethiol, *p*-methoxybenzenethiol, and benzyl mercaptan. Hammett plots generated from substrate competition experiments with different para substituted benzenethiols, in the presence of MDA and MTDA, had slopes of -0.12 ± 0.01 and -0.78 ± 0.11 , respectively. These data are consistent with nucleophilic attack of thiols on an iridium-carbene species. Control experiments showed that thioether product inhibition on the catalyst was not significant. Kinetic studies also suggested that the nature of the rate-limiting step was determined by the thiol concentration.



Introduction

The biological and medicinal importance of thioethers^{1,2} drives the search for convenient and efficient strategies of forming C-S bonds. Over the years, thioethers have been synthesized by several methods, including metal-free conditions, in the presence of acid and base catalysts.³⁻⁵ Other approaches involved the use of iron(III) porphyrin catalysts in the addition of disulfides to olefins,^{6,7} while alternative routes to thioethers included the palladium-catalyzed coupling of organic halides with thiols.^{8,9} Furthermore, thioethers have been produced from the copper-catalyzed reactions between diaryl disulfides and β dicarbonyl compounds.¹⁰ An atom-economic method of forming new C-S bonds is the insertion of the carbene fragment of diazo compounds into the S-H bond of thiols, releasing N₂ as the only by-product.¹¹ One of the earliest examples of a diazo reaction with an S-H bond was reported by Yates, wherein copper was found to catalyze the production of 1phenyl-2-(phenylthio)ethan-1-one in 67% yield from the treatment of benzenethiol with 2diazoacetophenone at 70 °C.¹² Subsequently, transition metal salts and complexes containing rhenium,¹³ iron,¹⁴ ruthenium,¹⁵ scandium,¹⁶ indium,¹⁷ and rhodium^{18,19} have been reported to catalyze S-H insertion reactions. The catalytic activity of porphyrin complexes of iron and ruthenium has also been demonstrated.²⁰⁻²⁴

Our group has recently reported the synthesis and catalytic utility of iridium(III)porphyrinato complexes in carbene transfer reactions.²⁵⁻²⁹ Notably, we have demonstrated that tetratolylporphyrinatomethyliridium(III) [Ir(TTP)CH₃] efficiently catalyzed the N-H insertion reactions of aliphatic and aromatic amines with different diazoesters.²⁷ High catalyst turnover numbers (TON) up to 10⁵ were achieved with aromatic amine substrates, and a mechanistic investigation of the reaction pathway was also carried



out. Furthermore, chiral and achiral iridium(III) porphyrin complexes were shown to be active catalysts for C-H and Si-H insertion reactions involving diazo compounds.³⁰⁻³² Despite the vast effectiveness of transition metal complexes as catalysts in S-H insertion reactions between diazo compounds and thiols, a more detailed mechanistic investigation is lacking in the literature. We show that Ir(TTP)CH₃ efficiently catalyzes the insertion of carbene moieties into the S-H bond of thiols. In order to gain further insight into the reaction pathway, we undertook kinetic studies, and our findings are reported herein.

Results and Discussion

When a CDCl₃ solution of benzenethiol was treated with ethyl diazoacetate (EDA) in the presence of a catalytic amount of Ir(TTP)CH₃ (0.07 mol% relative to EDA), the carbene fragment from EDA readily inserted into the S-H bond, to generate ethyl 2-(phenylthio)acetate in 87% yield, within 15 minutes of reaction (Table 1, entry 1). The only observed byproducts were diethyl maleate and diethyl fumarate, resulting from carbene dimerization. The optimal stoichiometric ratio was found to be a 2:1 mole ratio of thiol:EDA. In contrast, the use of a 1:1 substrate ratio required longer reaction times to afford a comparable product yield (Table 1, entry 2). Typically, reactions were started at -78 °C, allowed to warm to 23 °C (eq 1), and maintained at this temperature for 15 minutes. This reaction protocol is similar to the recently reported optimized reaction conditions for the Ir(TTP)CH₃-catalyzed single insertion of EDA into the N-H bond of aromatic amines.²⁷



The same conditions employed for the S-H insertion reaction between benzenethiol and EDA were applied to other aromatic thiols. For example, the yields of sulfides from the reaction of EDA with the electron-rich *p*-methyl- and *p*-methoxybenzenethiols were 79% and

Carbene Time Insertion Thiol Dimers Entry (min) (%)^b (%)^b SH 1 15 87 10 2 45° 81 11 SH 3 15 79 13 SH 4 25 19 80 MeC SH 5 35 70 27 SH 6 15 85 14 O₂N SH 7 15 83 15 8 .SH 75 80 19

Table 1. Reaction of EDA with aromatic and aliphatic thiols catalyzed by Ir(TTP)CH₃^a.

^aIr(TTP)CH₃ (0.031 μ mol; 0.07 mol%), EDA (42.6 μ mol; 73 mM), thiol (87.0; 150 mM), in 0.58 mL CDCl₃. ^bYields were determined by NMR, with Ph₃CH as internal standard. ^cEDA:thiol = 1:1 (73 mM each)



80%, respectively (Table 1, entries 3 and 4). Similarly, ethyl 2-[(4-chlorophenyl)thio]acetate and ethyl 2-[(4-nitrophenyl)thio]acetate were obtained in 70% and 85% yields from the electron-poor *p*-chloro- and *p*-nitrobenzenethiols, respectively (Table 1, entries 5 and 6). Furthermore, Ir(TTP)CH₃ efficiently catalyzed the reaction of EDA with aliphatic substrates, benzyl mercaptan and propanethiol, with high S-H insertion yields (Table 1, entries 7 and 8). In each case, the organic side products were the carbene dimers of EDA, diethyl maleate and diethyl fumarate.

When the bulkier and less active methyl phenyldiazoacetate (MPDA) was used as the carbene source for a selection of the thiol compounds (eq 2), a reduction in carbene dimerization was observed.²⁵ Thus, treatment of MPDA in separate reactions with twofold e-

-xcesses of benzenethiol or *p*-methoxybenzenethiol and Ir(TTP)CH₃ produced the corresponding S-H insertion products in near-quantitative yields (Table 2, entries 1 and 2). However, the yield of methyl 2-(benzylthio)-2-phenylacetate obtained from the 2-h reaction of MPDA with benzyl mercaptan was much lower (21%), using the same reaction protocol (Table 2, entry 3). Extending the reaction time to 6 h increased the yield to 47%. Furthermore, a similarly low product yield (21%) was obtained, when equal amounts of the substrates were used (Table 2, entry 4). When MPDA was added first to the catalyst, followed by the addition of benzyl mercaptan to avoid catalyst poisoning by the thiol,²⁷ the yield dropped to 5% (Table 2, entry 5). However, use of excess amounts of MPDA (2.5 and



Entry	Thiol	Time	Insertion (%)
1	SH	90 min	94 ^{<i>a</i>}
2	MeO	75 min	97 ^a
3	SH	2 h	21 ^{<i>a</i>}
4	"	2 h	21^{b}
5	"	2 h	5 ^{<i>a</i>,<i>c</i>}
6	II	1 h	80^d
7	II	30 min	83 ^e
8	"	1 h	Trace ^{c,d}

Table 2. Reaction of MPDA with aromatic and aliphatic thiols catalyzed by Ir(TTP)CH₃.

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^{*a*}Ir(TTP)CH₃ (0.031 µmol), MPDA (42.6 µmol), thiol (87.0 µmol), in 0.58 mL CDCl₃. ^{*b*}Ir(TTP)CH₃ (0.031 µmol), MPDA (42.6 µmol), Thiol (42.6 µmol), in 0.58 mL CDCl₃. ^{*c*}MPDA was added first to Ir(TTP)CH₃. ^{*d*}Ir(TTP)CH₃ (0.031 µmol), MPDA (87.8 µmol), thiol (35.4 µmol), in 0.50 mL CDCl₃. ^{*e*}Ir(TTP)CH₃ (0.031 µmol), MPDA (227 µmol), thiol (35.4 µmol), in 0.50 mL CDCl₃. Yields were determined by NMR, with Ph₃CH as an internal standard.

6.4 equivalents relative to benzyl mercaptan) was found to improve the product yields to 80% and 83% (Table 2, entries 6 and 7).

The carbene moiety of methyl (*p*-tolyl)diazoacetate (MTDA) also inserts into the S-H bond of the thiol compounds, albeit with a higher catalyst loading than was used with MDA and MPDA (eq 3). Using the same reaction protocol that was employed above, methyl 2-(ph-





-enylthio)-2-(*p*-tolyl)acetate was obtained from the reaction between benzenethiol and MTDA in 61% yield within 1 hour (Table 3, entry 1). Similar treatment of the other aromatic and aliphatic thiols with MTDA in the presence of catalytic amount of $Ir(TTP)CH_3$ gave the corresponding thioethers in yields up to 81% (Table 3, entries 2 – 7).

Since five-coordinate metalloporphyrins have a vacant coordination site, equilibrium binding between the thiol substrates and Ir(TTP)CH₃ was studied by titration experiments, usi-

Table 3. Reaction of MTDA with aromatic and aliphatic thiols catalyzed by Ir(TTP)CH₃.^{*a*}

Entry	Thiol	Time	Insertion
Linu y	11101	(min)	(0/)
		(mm)	(70)
1	SH	60	61
2	SH	30	54
3	Me SH	30	81(74) ^b
4	MeO	30	51
5	CI SH	25	80(57) ^b
6	O ₂ N ⁻ SH	45	74
7	SH	45	70

^{*a*}Ir(TTP)CH₃ (0.306 µmol; 0.72 mol%), MTDA (42.6 µmol; 73 mM), thiol (87.0; 150 mM), in 0.58 mL CDCl₃. Yields were determined by NMR, with Ph₃CH as internal standard. ^{*b*}Isolated yields based on the amount of MTDA.

-ng UV-visible spectrophotometry (eq 4; see experimental section for details). The equilibrium binding constants (Table 4) were used to generate a Hammett plot (Figure 1) that



provided a correlation constant, ρ^+ , of -0.18 ± 0.01. The negative ρ^+ value jndicates that electron-rich thiols are more tightly bound to iridium than electron-poor thiols. This trend is similar to that reported earlier for anilines,²⁷ although primary aromatic amines were more strongly bound (K_b = 2.4 x 10³ – 2.3 x 10⁵) to Ir(TTP)CH₃ than were thiols. A consequence of



Table 4. Equilibrium binding constants for the coordination of thiols to Ir(TTP)CH₃ at 23 °C.

Entry	Thiol	К
1	<i>p</i> -methoxybenzenethiol	$7.98 \pm 0.02 \text{ x } 10^2$
2	p-methylbenzenethiol	$6.76 \pm 0.24 \text{ x } 10^2$
3	benzenethiol	$5.78 \pm 0.33 \ x \ 10^2$
4	<i>p</i> -chlorobenzenethiol	$5.26 \pm 0.35 \text{ x } 10^2$
5	p-nitrobenzenethiol	$4.25 \pm 0.44 \ x \ 10^2$
6	benzyl mercaptan	$1.69 \pm 0.029 \text{ x } 10^3$



Figure 1. Hammett correlation for the equilibrium binding of *para*-substituted benzenethiols to Ir(TTP)CH₃.



strong thiol binding to iridium is apparent in the reaction of MPDA with benzyl mercaptan (Table 2). The strong affinity of benzyl mercaptan for iridium (Table 4, entry 6) inhibits coordination of the bulky MPDA, requiring an excess of the diazo reagent to afford higher yields of the insertion product.

Competition experiments involving benzenethiol and various *para*-substituted benzenethiols were carried out to determine relative rates (eq. 5).



Table 5. Substrate competition reactions catalyzed by Ir(TTP)CH₃.



 a Ir(TTP)CH₃ (0.031 µmol), Substrate A (42.6 µmol), Substrate B (42.6 µmol), diazo reagent (46.8 µmol), in 0.58 mL CDCl₃. Product ratios were determined by NMR, with Ph₃CH as internal standard.



With MDA, a Hammett correlation of $\rho = -0.12 \pm 0.01$ was obtained, while a correlation of $\rho = -0.78 \pm 0.11$ was obtained for reactions with MTDA (Figure 2). A value of $\rho = -0.66$ was previously observed during insertion reactions of EDA into the N-H bond of amines, catalyz-



Figure 2. Hammett correlations for substrate competition reactions between benzenethiol and *p*-substituted benzenethiols in the presence a catalytic amount of Ir(TTP)CH₃ and either MDA or MTDA.

-ed by iron(III)tetraphenylporphyrin chloride [Fe(TPP)Cl],³³ while a ρ^+ value of -0.56was observed during the Ir(TTP)CH₃-catalyzed insertion reactions of MPDA into the N-H bond of amines.²⁷

In the Ir(TTP)CH₃ system, the slightly negative values of ρ suggest a small build-up of positive charge on the sulfur atom during the S-H insertion reactions, consistent with the formation of a metal-ylide species. In analogy, a metal-bound ylide intermediate was recently suggested for a dirhodium-catalyzed S-H insertion system.³⁴ During a recently-reported myoglobin-catalyzed insertion of carbenes from diazo esters into the S-H bonds of thiols, Fasan and co-workers trapped a sulfonium ylide intermediate, using allyl phenyl sulfide.³⁵



The allyl moiety can trap metal–ylide intermediates by a rapid intramolecular 2,3sigmatropic rearrangement via intermediate I (Scheme 1).³⁵ Similarly, treatment of a catalytic amount of $Ir(TTP)CH_3$ with a CDCl₃ solution of allyl phenyl sulfide and EDA (see experimental section for details) resulted in the formation of 2-(phenylthio)pent-4-enoate (II) in 85% yield, in addition to carbene dimers of EDA (4%).



Scheme 1. Allyl sulfide trapping of ylide intermediate I

Kinetic studies were undertaken to gain further mechanistic insights into the S-H insertion reaction. For ease of monitoring by ¹H NMR, *p*-methylbenzenethiol was used as the thiol substrate and methyl diazoacetate (MDA) was the carbene source (eq 6). The initial reaction rate (first 10% of reaction) was determined for each kinetic run from a plot of the concentration of the S-H insertion product [methyl 2-(*p*-tolylthio)acetate] versus time (see SI).





The catalyst concentration was varied with the same initial concentrations of the thiol (0.207 M) and MDA (0.072 M). When the catalyst concentration was halved from 1.23 x 10⁻⁵ M to 6.15 x 10⁻⁶ M, the reaction rate also reduced by approximately half, from 7.72 x 10⁻⁵ M/s (Table 6, entry 4) to 4.02 x 10⁻⁵ M (Table 6, entry 3). Similarly, doubling the catalyst concentration at the same concentrations of thiol and MDA approximately doubled the reaction rate (Table 6, entries 4 and 5). Consequently, the order of reaction with respect to catalyst concentration was derived from a plot of log(reaction rate) versus the log[Ir(TTP)CH₃]. The slope of this plot (Figure S8) showed the rate order with respect to catalyst concentration was 1.12 ± 0.17 .

Entry	[Ir(TTP)CH ₃]	Rate (M/s)
	(M)	
1	0.00000308	0.0000152
2	0.00000484	0.0000164
3	0.00000615	0.0000402
4	0.0000123	0.0000772
5	0.0000246	0.000133

Table 6. Variation of initial S-H insertion rates with $Ir(TTP)CH_3$ concentration, at 297.8 ± 0.4 K.^{*a*}

^{*a*}[MDA]₀ = 0.072 M and [*p*-methylbenzenethiol]₀ = 0.207 M

The reaction rate dependence on MDA concentration was explored in a similar manner (Table 7) with $[Ir(TTP)CH_3]_0 = 1.23 \times 10^{-5} \text{ M}$ and $[thiol]_0 = 0.207 \text{ M}$. The plot of the log(reaction rate) versus log[MDA] exhibited a slope of 1.12 ± 0.14 , consistent with a rate law that is first order in [MDA] (Figure S9). A similar first order dependence was found previously during the Ir(TTP)CH₃-catalyzed cyclopropanation of 1-hexene with MDA.²⁵



Entry	[MDA] (M)	Rate (M/s)
1	0.036	0.00002999
2	0.072	0.00007722
3	0.144	0.00014189

Table 7. Variation of initial S-H insertion rate with MDA concentration at 297.6 \pm 0.51 K.^{*a*}

^{*a*}[Ir(TTP)CH₃]₀ = 1.23×10^{-5} M and [*p*-methylbenzenethiol]₀ = 0.207 M.

Furthermore, a series of kinetic runs were performed with various *p*-methylbenzenethiol concentrations, with the same initial concentrations of MDA (7.20 x 10^{-2} M) and Ir(TTP)CH₃ (1.23 x 10^{-5} M) at 298 K (Table 8). For thiol concentrations up to 0.827 M, the reaction rates increased, but in a relationship that was less than first order with respect to the thiol concentration (Table 8). At much higher concentrations of *p*-methylbenzenethiol, the rate of S-H insertion began to decrease (Table 7, entries 7 - 9).

Entry	[Thiol] : [MDA]	[Thiol] (M)	[Rxn rate] (M/s)
1	0.71:1	0.0513	0.0000590
2	1.44 : 1	0.104	0.0000634
3	2.88:1	0.207	0.0000772
4	5.79:1	0.414	0.000111
5	7.92 : 1	0.570	0.000124
6	11.5 : 1	0.827	0.000189
7	16.9 : 1	1.22	0.000177
8	22.9:1	1.65	0.000151
9	29.0 : 1	2.09	0.000104

Table 8. Variation of initial rates of S-H insertion with thiol concentration^a

^aMDA (0.072 M), Ir(TTP)CH₃ (0.0000123 M) in CDCl₃ at 298.0±0.36 K.



Hammett plots, kinetic data, and ylide-trapping experiments support the proposed catalytic cycle shown in Scheme 2, which is similar to that proposed for the iridium-catalyzed N-H insertion with amines and diazo compounds.²⁷ Reversible thiol ligation to the iridium metal center, as demonstrated by binding experiments, forms an inactive hexacoordinated (thiol)Ir(TTP)CH₃. On dissociation of thiol, diazo binding to the five-coordinate iridium form generates an iridium-carbene complex. Nucleophilic attack of the thiol on the metal-carbene complex produces a ylide species, which then undergoes tautomerization, to form the S-H insertion product and regenerate the Ir(TTP)CH₃ catalyst. Ylide formation is supported by the build-up of positive charge on sulfur, as demonstrated with competition experiments and by ρ values of -0.12 and -0.78, obtained from the Hammett plots (Figure 2).



Figure 3. Initial rate variation of S-H insertion with thiol concentration^{*a*}.

^{*a*}*p*-Methylbenzenethiol (0.0513 – 2.09 M), MDA (0.072 M), Ir(TTP)CH₃ (0.0000123 M) in CDCl₃ at 298.0 \pm 0.36 K.

The initial rate dependence on thiol concentration (Figure 3) shows two regimes. Approximate orders of reaction with respect to thiol concentration derived from the slopes of



the log(reaction rate) versus log[thiol] plots (Figures S10 and S11) gave rate orders of 0.4 and -0.6 in the two regimes. This behavior is consistent with dual roles of the thiol substrate that offset each other. These involve attack of the thiol at the carbene carbon and binding to the Ir center. Attack at carbon drives product formation and is dominant at low thiol concentration. However, at higher thiol concentration, binding to Ir inhibits the catalyst and begins to decrease the reaction rate. The subsequent catalyst inhibition (Figure 3) at [thiol] > 0.827 M is similar to the deactivation of Ir(TTP)CH₃ by aniline during the N-H insertion with MDA.²⁷ However, the S–H insertion rates for *p*-methylbenzenethiol (~10⁻⁴ M/s) are faster



Scheme 2. Proposed catalytic cycle for the Ir(TTP)CH₃-catalyzed insertion of carbenes from diazoesters into S-H bonds.



than those for N-H insertion with aniline (~10⁻⁵ M/s). This reflects the binding strengths of amines vs. thiols for Ir(TTP)CH₃. Aniline has a binding constant of K = $2.7 \pm 0.2 \times 10^{4}$,²⁷ which is more than an order of magnitude greater than that for *p*-methylbenzenethiol.

The relatively high binding constant of allyl phenyl sulfide to $Ir(TTP)CH_3$ (K = 9.01 ± 0.12 x 10³; Figure S7) suggested that product inhibition might pose a complication. Thus, methyl 2-(*p*-tolylthio)acetate was probed as a possible inhibitor. The addition of 28.2 mM of the sulfide product to a reaction mixture containing 0.207 and 0.072 M of thiol and MDA, respectively, had no effect on the initial reaction rate (Table 9, entries 1 and 2). However, increased amounts of the sulfide at the onset of the reaction did reduce the reaction rate, but

Table 9. Effect of added methyl 2-(p-tolylthio)acetate product on initial rates of S-H insertion.^{*a*}

Entry	Thiol (M)	MDA (M)	Added pdt (M)	Rate (M/s)
1	0.207	0.072	0	0.0000772
2	0.207	0.072	0.0282	0.0000779
3	0.207	0.072	0.0423	0.0000537
4	0.207	0.072	0.0564	0.0000536

^{*a*}0.0000123 M of Ir(TTP)CH₃ at 297.7 \pm 0.25 K

reached a point of saturation (Table 9, entries 3 and 4; Figure S11).

Conclusions

This work demonstrates the effectiveness of (5,10,15,20-tetratolylporphyrinato)methyliridium(III) [Ir(TTP)CH₃] in the catalytic insertion of carbenes from diazo esters into the S-H bond of aromatic and aliphatic thiols. Equilibrium binding studies revealed that the thiol substrates reversibly bind to iridium, to generate an inactive



hexacoordinated complex, (thiol)Ir(TTP)CH₃. Competition and trapping experiments also provide evidence for a ylide intermediate, which would be formed from a nucleophilic attack of the thiol substrate on a putative iridium-carbene complex. A rearrangement of the free or metal-bound ylide then undergoes a rearrangement to form the thioether product. Kinetic studies revealed that the thiol binding to the metal center of the catalyst, and the nucleophilic attack of the thiol on the metal carbene to generate the product, offset each other, to give the observed rate behavior illustrated in Figure 3. At lower thiol concentrations, nucleophilic attack at the carbene carbon is more dominant, leading to faster reaction rates, as the thiol concentration is increased to 0.827 M. At the higher thiol concentration regime, greater than 0.827 M, thiol binding to iridium is more dominant, causing a reduction in the rate of S-H insertion.

Experimental Section

Ir(TTP)CH₃, MDA, MPDA and MTDA were prepared according to literature procedures.³⁶⁻³⁹ CDCl₃ and C₆H₆ were stored over 4 Å molecular sieves prior to use, while acetonitrile and dichloromethane were deoxygenated and dried by passage through columns of reduced copper and alumina, respectively. All other chemicals were reagent grade and used without further purification. Absorption spectra were acquired on Agilent Cary 8454 UV-Vis Spectrophotometer. Kinetic NMR spectra were acquired using Bruker DRX 400 MHz spectrometer, while other NMR spectra were collected using Varian MR 400 MHz and Bruker AVIII 600 MHz spectrometers. ¹H NMR peak positions were referenced against residual proton resonances of deuterated CDCl₃ (δ, 7.26 ppm). Products of S-H insertion



literature.^{10,13,34,35,40-42} Previously unreported S-H insertion products obtained from reactions with MTDA were isolated and characterized by NMR, elemental analysis, and HR-MS.

General procedure for carbene insertion into S-H bonds: In air, Ir(TTP)CH₃ (0.0310 μ mol) was transferred via syringe (130. μ L) from a 0.236 mM stock solution of catalyst in CH₂Cl₂ into an NMR tube, then dried under a flow of nitrogen gas. Triphenylmethane (31.1 μ mol) was then added as an internal standard, using 50.0 μ L of a CDCl₃ solution (622 mM), followed by addition of the thiol (87.0 μ mol) from 0.410 mL of a CDCl₃ solution (212 mM). The NMR tube was then capped with a rubber septum, and it was cooled with it contents to - 78 °C in a dry ice/acetone bath. After about 10 minutes, EDA (42.6 μ mol) was added using 0.120 mL of a CDCl₃ solution (355 mM) by injection into the NMR tube through the rubber septum. After an additional 5 minutes at -78 °C, the reaction mixture was allowed to warm to ambient temperature. ¹H NMR was used to monitor the reaction and to determine product yields. When the diazo compound was MPDA or MTDA, the same procedure was followed, using the appropriate reagent amounts shown in the footnotes of Table 2 or 3.

General procedure for substrate competition experiments using benzenethiol and *p*-substituted benzenethiols: The same procedure above was followed, but the benzenethiol and *p*-substituted benzenethiol for each reaction were premixed in a 1:1 mol ratio, and introduced into the NMR tube, as a single solution containing 42.6 µmol of each thiol. The amount of diazo reagent added, after the NMR tube and its contents had been cooled to -78 °C, was also 46.8 µmol.



General procedure for the preparation of unreported thioethers: From a 2.32 mM stock solution of Ir(TTP)CH₃ in CH₂Cl₂, a 0.590-mL aliquot containing 1.37 µmol of catalyst was transferred via syringe into a 25-mL round-bottomed flask. The flask was charged with the thiol substrate (0.370 mmol), 1.1 mL of CH₂Cl₂, and a stir bar, then capped with a rubber septum, through which, a syringe needle attached to a nitrogen-filled balloon was inserted. The flask and its contents were then cooled to -78 °C in a dry ice/acetone bath. After about 10 minutes, a CHCl₃ solution (0.85 mL) containing 0.181 mmol of MTDA was injected into the flask through the rubber septum. After an additional 5 minutes at -78 °C, the reaction mixture was allowed to warm to ambient temperature, and stirring was continued at this temperature for the specified time below. After purification by silica gel chromatography, the volatiles were removed under reduced pressure, to afford the thioether product.

Methyl 2-((4-methoxyphenyl)thio)-2-(*p***-tolyl)acetate**: The reaction mixture was stirred at ambient temperature for 30 minutes, then purified by silica gel chromatography (16.0 cm length x 0.15 cm diameter), using 30:1 hexanes/ethyl acetate as eluent. The product was obtained as an orange solid. Yield: 74% (40.7 mg, 0.135 mmol). Anal. Calcd for C₁₇H₁₈O₃S: C, 67.52; H, 6.00. Found: C, 67.68; H, 5.87. ¹H NMR (600 MHz, CDCl₃) δ : 2.33 (s, 3H), 3.66 (s, 3H), 3.79 (s, 3H), 4.75 (s, 1H), 6.81 (m, 2H), 7.13 (d, 2H, *J* = 6.0 Hz), 7.30 (d, 2H, *J* = 6.0 Hz), 7.34 (m, 2H). ¹³C NMR (151 MHz, CDCl₃) δ : 21.47, 52.84, 55.59, 57.45, 114.78, 124.17, 128.72, 129.60, 133.02, 136.38, 138.33, 160.45, 171.44. HRMS (+ESI): Calcd for [MH]⁺ (C₁₇H₁₉O₃S)⁺ m/z 303.1055; found m/z 303.1043.



Methyl 2-((4-nitrophenyl)thio)-2-(*p***-tolyl)acetate**: The reaction mixture was stirred at ambient temperature for 30 minutes, then purified by silica gel chromatography (17.0 cm length x 0.15 cm diameter), using 30:1 hexanes/ethyl acetate as eluent. The product was obtained as a yellow solid. Yield: 57% (33.0 mg, 0.104 mmol). ¹H NMR (600 MHz, CDCl₃) δ : 2.35 (s, 3H), 3.73 (s, 3H), 5.10 (s, 1H), 7.18 (d, 2H, *J* = 6.0 Hz), 7.38 (m, 4H), 8.10 (d, 2H, *J* = 12.0 Hz). ¹³C NMR (151 MHz, CDCl₃) δ : 21.50, 53.51, 54.51, 124.31, 128.59, 129.10, 130.11, 131.43, 139.28, 144.92, 146.42, 170.44. HRMS (+ESI): Calcd for [MH]⁺ (C₁₆H₁₆NO₄S)⁺ m/z 318.0800; found m/z 318.0793.

Reaction between allyl phenyl sulfide and EDA in the presence of Ir(TTP)CH₃: A procedure similar to those used for carbene insertion into S-H bonds was followed, but with the use of 0.031 µmol of Ir(TTP)CH₃, 44.1 µmol (65.8 mM) of EDA, 147 µmol (219 mM) of allyl phenyl sulfide, and 6.58 µmol of mesitylene as an internal standard.

General procedure for kinetic experiments: From a 0.236 mM stock solution of $Ir(TTP)CH_3$ in CH₂Cl₂, a 32.5–µL aliquot containing 0.00767 µmol of $Ir(TTP)CH_3$ catalyst was transferred via syringe into an NMR tube, then dried under a flow of nitrogen gas. To the dried catalyst, 50.0 µL of a CDCl₃ solution (622 mM) containing triphenylmethane (31.1 µmol) was then added, followed by an aliquot of *p*-methylbenzenethiol (33.3 µmol – 1.36 mmol) in CDCl₃. The NMR tube was then capped with a rubber septum and a syringe needle attached to a nitrogen-filled balloon was inserted through the septum. Immediately prior to insertion of the sample into a shimmed NMR probe that was equilibrated to 298 K, MDA



(46.8 μ mol) was added using 50 μ L of a CDCl₃ solution (936 mM). After rapid and thorough mixing, the NMR tube was inserted into the NMR instrument, and acquisition of spectra at 16-second intervals began as quickly as possible.

Determination of binding constants of thiols and allyl phenyl sulfide to Ir(TTP)CH₃: Binding constants were measured using an adapted method of a previously-published procedure.²⁷ All absorbance spectra were acquired in quartz sample cells with a 1 mm path length, and freshly prepared benzene solutions of thiols and allyl phenyl sulfide were used in all measurements. The extinction coefficients of Ir(TTP)CH₃ were determined at 400 and 425 nm from the absorbance spectrum of an 80 μ M benzene solution. A 200-fold excess of ligand was used to obtain the extinction coefficients for the hexacoordinated (RSH)Ir(TTP)CH₃ or (RSR')Ir(TTP)CH₃ complexes, using solutions containing 80 μ M of Ir(TTP)CH₃ and 17 mM of the desired thiol or sulfide. Equilibrium constants were measured for from absorbance spectra of solutions with known initial concentrations of Ir(TTP)CH₃ (80 μ M) and thiols or sulfide (25.3 μ M – 7.21 mM).

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Supporting Information





Figure S1. Overlaid absorbance spectra for binding of *p*-methoxybenzenethiol to 80 μ M Ir(TTP)CH₃ in benzene: 17.0 mM (213 equiv) thiol, 4.17 mM (52.1 equiv) thiol, 4.80 mM (60.0 equiv) thiol, 5.68 mM (71.0 equiv) thiol. **K** = 7.98 ± 0.02 x 10²



Figure S2. Overlaid absorbance spectra for binding of *p***-methylbenzenethiol to 80 μM Ir(TTP)CH3 in benzene:** 17.0 mM (213 equiv) thiol, 0.763 mM (9.54 equiv) thiol, 1.15 mM



(14.3 equiv) thiol, 1.53 mM (19.1 equiv) thiol, 2.04 mM (25.4 equiv) thiol, 2.42 mM (30.2 equiv) thiol in benzene. $\mathbf{K} = 6.76 \pm 0.24 \times 10^2$



Figure S3. Overlaid absorbance spectra for binding of benzenethiol to 80 μ M Ir(TTP)CH₃ in benzene: 17.1 mM (214 equiv) thiol, 5.65 mM (70.7 equiv) thiol, 6.79 mM (84.8 equiv) thiol, 7.21 mM (90.1 equiv) thiol. **K** = 5.78 ± 0.33 x 10²



Figure S4. Overlaid absorbance spectra for binding of *p***-chlorobenzenethiol to 80 μM Ir(TTP)CH₃ in benzene:** 17.1 mM (214 equiv) thiol, 0.986 mM (12.3 equiv) thiol, 1.23 mM



(15.4 equiv) thiol, 1.48 mM (18.5 equiv) thiol, 1.72 mM (21.6 equiv) thiol in benzene, 2.09 mM (26.2 equiv) thiol, 2.46 mM (30.8 equiv) thiol. $\mathbf{K} = 5.26 \pm 0.35 \times 10^2$



Figure S5. Overlaid absorbance spectra for binding of *p*-nitrobenzenethiol to 80 μ M Ir(TTP)CH₃ in benzene: 17.0 mM (213 equiv) thiol, 0.851 mM (10.6 equiv) thiol, 1.22 mM (15.2 equiv) thiol, 1.70 mM (21.3 equiv) thiol, 2.43 mM (30.4 equiv) thiol in benzene. K = $4.25 \pm 0.44 \times 10^2$



Figure S6. Overlaid absorbance spectra for binding of benzyl mercaptan to 80 μM Ir(TTP)CH3 in benzene: 17.1 mM (214 equiv) thiol, 0.638 mM (7.98 equiv) thiol, 0.766





mM (9.58 equiv) thiol, 3.96 mM (49.5 equiv) thiol, 4.85 mM (60.6 equiv) thiol. $\mathbf{K} = 1.69 \pm 0.029 \text{ x } 10^3$

Figure S7. Overlaid absorbance spectra for binding of allyl phenyl sulfide to 80 μ M Ir(TTP)CH₃ in benzene: 17.0 mM (213 equiv) sulfide, 82.2 μ M (1.03 equiv) sulfide, 120. μ M (1.50 equiv) sulfide, 164 μ M (2.06 equiv) sulfide, 202 μ M (2.53 equiv) sulfide. K = 9.01 \pm 0.12 x 10³.

Kinetic Studies

Reaction rates shown are initial rates determined as the rate of formation of S-H insertion product from the first 10% of reaction.

Rate = $k[Ir(TTP)CH_3]^a[MDA]^b[Thiol]^c$	(1)
Rate = $[Pdt]/time = k'[Ir(TTP)CH_3]^a$	(2)
$Log(Rate) = Log(k') + aLog[Ir(TTP)CH_3]$	(3)
Rate = $[Pdt]/time = k'[MDA]^b$	(4)
Log(Rate) = Log(k') + bLog[MDA]	(5)
Rate = [Pdt]/time = k'[Thiol] ^c	(6)

$$Log(Rate) = Log(k') + cLog[Thiol]$$
 (7)





Figure S8. Data for Rate Law Order of $[Ir(TTP)CH_3]$. $[MDA]_0 = 0.072$ M and [p-methylbenzenethiol]_0 = 0.207 M, $[Ir(TTP)CH_3] = 3.08 \times 10^{-6}$, 4.84 x 10⁻⁶, 6.15 x 10⁻⁶, 12.3 x 10⁻⁶ and 24.6 x 10⁻⁶ M, T = 297.8±0.13 K

At constant initial thiol and MDA concentrations, the $[Ir(TTP)CH_3]$ was varied for five different reactions. The order of reaction with respect to $[Ir(TTP)CH_3]$ was determined from eq. 3.



Figure S9. Data for Rate Law Order of [MDA]. $[Ir(TTP)CH_3]_0 = 1.23 \times 10^{-5} M$, $[p-methylbenzenethiol]_0 = 0.207$, MDA = 0.036, 0.072, and 0.144 M, T = 297.4±0.14 K

At constant initial thiol and Ir(TTP)CH₃ concentrations, the [MDA] was varied for three different reactions. The order of reaction with respect to [MDA] was determined from eq. 5.





Figure S10. Log(rate) vs log[*p*-methylbenzenethiol]. Thiol = (0.0513 - 2.09 M), MDA (0.072 M), Ir(TTP)CH₃ (0.0000123 M) in CDCl₃ at 298.0±0.36 K.

The order of reaction in [thiol] was derived from the slope of a plot of log(initial rate) versus log[thiol], eq. 7. Reactions were carried out at constant initial concentrations of MDA and Ir(TTP)CH₃.



Figure S11. Variation of initial reaction rate with added S-H insertion product. Thiol (0.207 M), MDA (0.072 M), Added methyl 2-(*p*-tolylthio)acetate (0, 0.0282, 0.0423, and 0.0564 M), $Ir(TTP)CH_3$ (12.3 μ M) in CDCl₃ at 297.7±0.25 K.





0.025

0.02 0.015 0.01 0.005

0 🔷

500

[pdt] (M)

Plots of S-H insertion product concentration versus time with $[Ir(TTP)CH_3] = 0.0462$ mM, [MDA] = 0.072 M, [Thiol] = 0.0513 - 2.09 M in CDCl₃ at T = 298.0±0.36 K.



Time (sec)

1500

2000

1000



Figure S13. [*p*-Methylbenezenethiol] = 0.104 M (1.44 equiv relative to MDA)





Figure S14. [*p*-Methylbenezenethiol] = 0.207 M (2.88 equiv relative to MDA)



Figure S15. [*p*-Methylbenezenethiol] = 0.414 M (5.75 equiv relative to MDA)





Figure S16. [*p*-Methylbenezenethiol] = 0.570 M (7.92 equiv relative to MDA)



Figure S17. [*p*-Methylbenezenethiol] = 0.827 M (11.5 equiv relative to MDA)





Figure S18. [*p*-Methylbenezenethiol] = 1.22 M (16.9 equiv relative to MDA)



Figure S19. [*p*-Methylbenezenethiol] = 1.65 M (22.9 equiv relative to MDA)





Figure S20. [*p*-Methylbenezenethiol] = 2.09 M (29.0 equiv relative to MDA)





NMR SPECTRA OF NEW THIOETHER COMPOUNDS











Figure S23. ¹H NMR (600 MHz) spectrum of methyl 2-((4-nitrophenyl)thio)-2-(p-tolyl)acetate x = p-nitrophenyl disulfide impurity from 4-nitrobenzenethiol substrate







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CHAPTER 4. AEROBIC OXIDATION OF CYCLIC AMINES TO LACTAMS CATALYZED BY CERIA-SUPPORTED NANOGOLD

Submitted to ACS Catal. for publication

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Abstract

The oxidative conversion of cyclic amines to lactams, which are important chemical feedstocks, was efficiently catalyzed by CeO₂-supported gold nanoparticles (Au/CeO₂) and Aerosil 200 in the presence of an atmosphere of O₂. The complete conversion of pyrrolidine was achieved in 6.5 hours at 160 °C, affording a 97% yield of the lactam product 2-pyrrolidone (γ -butyrolactam), while 2-piperidone (δ -valerolactam) was synthesized from piperidine (83% yield) in 2.5 hours. Caprolactam, the precursor to the commercially important nylon-6, was obtained from hexamethyleneimine in 37% yield in 3 hours. During the oxidation of pyrrolidine, two transient species, 5-(pyrrolidin-1-yl)-3,4-dihydro-2*H*-pyrrole (amidine-5) and 4-amino-1-(pyrrolidin-1-yl)butan-1-one, were observed. Both of these compounds were oxidized to 2-pyrrolidone under catalytic conditions, indicating their role as intermediates in the reaction pathway. In addition to the reactions of cyclic secondary amines, Au/CeO₂ also efficiently catalyzed the oxidation of N-methyl cyclic tertiary amines to the corresponding lactams at 80 °C and 100 °C.



Introduction

Lactams have important uses as feedstocks in many chemical processes, particularly in the plastics and pharmaceutical industries.¹⁻¹³ For example, N-methyl-2-pyrrolidone is widely-used as a solvent,^{4,6} and the lactams 2-pyrrolidone (butyrolactam) and 2-piperidone (valerolactam) can be polymerized into nylon-4 and nylon-5, respectively.^{3,14,15} Furthermore, caprolactam is reported to have biological activity,¹⁶ and is polymerized on a large scale into the widely-used nylon-6.^{2,17-20} Despite their commercial importance, lactams are manufactured by methods that have significant shortcomings, such as multiple reaction steps and substantial waste generation.^{5,6,21} Following earlier reports of the Gif system (Fe, Zn, O₂)-catalyzed oxidation of tertiary amines to the corresponding lactams, albeit in low vields,^{22,23} the development of efficient catalysts for the syntheses of lactams remains an active area of research. An example, recently reported by Milstein and co-workers, employs a ruthenium complex with a pincer ligand that was capable of homogeneously catalyzing the oxidation of cyclic secondary amines to the corresponding lactams, with water as the source of oxygen.²⁴ For that catalyst, reaction times ranged from 48 to 89 hours at 150 °C. Another type of catalyst, supported nanogold, has been shown to catalyze the aerobic oxidation of benzo-fused cyclic amines. For example, Au nanoparticles supported on graphite catalyze the oxidation of benzo-fused cyclic tertiary amines, resulting mostly in the formation of both the corresponding amides and the enamides (Scheme 1).²⁵ Also, Sakurai and co-workers showed that nanogold supported on polyvinylpyrrolidone (PVP) catalyzes the oxidation of 1,2,3,4tetrahydroisoquinoline and other benzo-fused cyclic secondary amines.²⁶ However, a large amount of NaOH additive (1 - 2 equiv) was required, and the reactions often led to mixtures



of products. Additionally, the oxidation of a derivative of tetrahydroisoquinoline to the corresponding amide and enamide is catalyzed by polymer-confined Au nanoclusters.²⁷



Scheme 1. Aerobic oxidation of cyclic tertiary amines to amides and enamides, catalyzed by graphite-supported gold nanoparticles.²⁵

Following the discovery of the catalytic activity of nanoparticulate CeO₂ and CeO₂supported nanogold for the oxidation (O₂) of aromatic amines and alcohols,^{28,29} the number of reports has surged on the use of Au supported on CeO₂ as well as mixed CeO₂-metal oxides for amine oxidation reactions. For example, CeO₂-supported nanogold catalyzes the high-pressure (5 bars of O₂) oxidation of benzylamine to N-benzylidenebenzylamine.³⁰ Also, the catalytic activity of *in situ* generated CeO₂-supported nanogold in the oxidation of benzylamine, indoline, dibenzylamine, and N-*t*-butylbenzylamine into the corresponding imines was reported.^{31,32} Furthermore, nanogold supported on CeO₂-Fe₂O₃ catalyzes the oxidation of benzylamine to the imine.³³

We previously reported that bulk Au and alumina-supported Au catalyze the oxidation of cyclic secondary amines to amidines (eq. 1).^{34,35} We also showed that Aerosil 200 (amorphous fumed silicon dioxide) catalyzed the hydrolysis of amidine-5, amidine-6, or amidine-7 into 2-pyrrolidone (42% yield), 2-piperidone (60% yield) or caprolactam (73% yield), respectively, in the presence of H₂O (eq. 2).





Subsequently, we demonstrated that a one-pot combination of bulk Au powder and Aerosil 200 catalyzes the conversion of cyclic secondary amines (eq. 3) directly to lactams.³⁶ Although our one-pot procedure is a novel method for the preparation of lactams, it suffers from the use of a large amount of bulk Au powder (1.00 g per 0.20 mmol of substrate) and gives only low to medium product yields (caprolactam: 11%, 2-pyrrolidone: 35%, and 2-piperidone: 51%).

bulk Au
Aerosil O

$$200$$

 $NH + O_2 \xrightarrow{200}$
toluene
 $(90 \circ C)$ NH + H₂O (3)

The ability of CeO₂ to facilitate oxidation reactions^{28,29} and the improved efficiency of Au when supported on high surface area metal oxides,^{34,35} including CeO₂,³⁰ prompted us to explore the activity of Au/CeO₂ in the oxidation of cyclic amines to lactams. We report herein that Au/CeO₂ efficiently catalyzes the oxidation of both cyclic secondary and N-methyl cyclic tertiary amines to the corresponding lactams. The reaction times are much shorter, product yields are higher, and the amount of catalyst loading is much lower than the bulk gold-catalyzed reactions.



Results and Discussion

Catalyst characterization: The Au/CeO₂ catalysts were synthesized as described in the experimental section. Two different loadings of Au (5.4 ± 0.1 and 8.5 ± 0.3 wt%) were prepared by varying the relative amounts of HAuCl₄•3H₂O and CeO₂ used during the syntheses. Nitrogen physisorption studies were used to determine the surface areas of the support and catalysts. The surface areas for the 5.4 and 8.5 wt% Au/CeO₂ catalysts were 146 m² g⁻¹ and 129 m²g⁻¹, respectively (Table S1, Fig. S13). These values were lower than that for the support (180 m² g⁻¹), likely due to the blockage of pores.

Powder X-ray diffraction (PXRD) analysis of Au/CeO₂ showed peaks that were indexed to the cubic fluorite phase of ceria. These peaks were broad, suggesting small ceria crystallites and/or lattice strain. The small crystallite size is consistent with the high surface areas observed. Also present were very low intensity, broad peaks observed around 38°, corresponding to the reflections of fcc-Au. This indicates the presence of small (<5 nm) gold crystallites. Furthermore, scanning transmission electron microscopy (STEM) images (Fig. 1a) showed the presence of spherical Au particles with an average size of 6.5 ± 1.1 nm, consistent with the PXRD data. High-resolution transmission electron microscopy (HR-TEM) of the gold particles showed a 0.23 nm d spacing, which agrees well with the (111) surface termination for Au particles (Fig. 1b).^{39,40} The STEM image (Fig. 1a) also illustrates the porous nature of the catalyst support, consistent with the high surface areas from nitrogen physisorption analysis. The CeO₂ support surface termination is predominantly the (111) plane, as previously reported.³⁸





Figure 1. (a) Scanning transmission electron microscopy (STEM) image of the unused 5.4 wt% Au/CeO₂ catalyst. Arrows indicate Au nanoparticles. (b) High-resolution transmission electron microscopy (HR-TEM) image showing the presence of Au particles on the surface of CeO₂ for the unused 5.4 wt% Au/CeO₂ catalyst.

X-ray photoelectron spectroscopic studies were undertaken in order to probe the oxidation state of Au in the supported catalyst. The XPS spectrum (Fig. S18) of a fresh sample of 5.4 wt% Au/CeO₂ showed two peaks in the Au 4f core level region (83 - 93 eV). The spectrum was fitted by splitting into the two spin-orbit 4f_{7/2} and 4f_{5/2} components of Au separated by 3.6 eV.^{41,42} Deconvolution of the spectrum suggests the catalyst consists mainly of metallic Au⁰ (84.0 eV for 4f_{7/2} and 87.6 eV for 4f_{5/2}, 93 %) but also contains a small fraction of oxidized Au⁺¹ (85.8 eV for 4f_{7/2} and 89.4 eV for 4f_{5/2}, 7 %). These results are consistent with the report by Casaletto and co-workers, who obtained a 90:10 atomic ratio of Au⁰ to Au⁺¹ for Au/CeO₂ also prepared by deposition-precipitation. Importantly, they observed high CO oxidation activity for this catalyst compared to other supports and attributed it to the presence of Au⁺¹ and its stabilization as AuO⁻ by the cerium oxide support.⁴²



Au/CeO₂-catalyzed oxidation of cyclic secondary amines to lactams: Gold nanoparticles supported on high surface area $(169 - 203 \text{ m}^2/\text{g})$ CeO₂ (Au/CeO₂), together with Aerosil 200 as a co-catalyst, efficiently catalyze the oxidation of cyclic secondary amines into lactams (eq. 4). Product yields were maximized by varying the temperature and the amounts of water, oxygen, and co-catalyst (Table 1). For pyrrolidine (100 mM), the opti-

-mized reaction conditions involved heating a diglyme solution with 5.4 wt% Au/CeO₂, Aerosil and H₂O (56 equiv relative to pyrrolidine) under one atmosphere of O₂ at 160 °C for 6.5 h. This resulted in complete substrate conversion to give a 97% yield of 2-pyrrolidone (Table 1, entry 1, Fig. 2). When the catalytic oxidation of pyrrolidine to 2-pyrrolidone was carried out under the same conditions, but without the Aerosil co-catalyst, a product yield of 75% (Table 1, entry 3) was achieved. If the reaction was performed under air (1 atm) rather than $O_2(1 \text{ atm})$ keeping all other parameters at optimized reaction conditions, a 93% yield of the lactam product was achieved in a reaction time of 6.5 h (Table 1, entry 6). Under an atmosphere of argon gas, only an 8% yield of 2-pyrrolidone was obtained (Table 1, entry 5). The small amount of observed product was presumably due to the presence of adventitious O₂.⁴³ Varying the amount of added H₂O from 56 equiv, while keeping all other parameters at optimized values, resulted in lower yields of 2-pyrrolidone as follows (Fig. S10): 18% (0 equiv), 34% (10 equiv), 55% (28 equiv), 80% (90 equiv), and 77% (112 equiv). It is also noteworthy that in the presence of CeO_2 alone (without deposited nanogold), lactam formation was not observed, under otherwise optimized reaction conditions.



Entry	Substrate	Product	Time (h)	Product Yield (%)	TON ^k	$\mathrm{TOF}^{l}\left(\mathbf{h}^{-1}\right)$
1	NH	O NH	6.5	97 ^a	22.4	3.45
2	"	"	6.5	$27^{a,b}$	0.0236	0.00363
3	"	"	6.5	75 ^c	17.4	2.67
4	"	"	6.5	$18^{b,c}$	0.0157	0.00242
5	"	"	6.5	8^d	1.85	0.285
6	"	"	6.5	93 ^e	21.5	3.31
7		"	6.5	95 ^f	23.3	3.58
8		"	6.5	96 ^g	21.8	3.36
9	NH	O NH	2.5	83 ^{<i>a</i>}	19.2	7.68
10	"	"	5.5	83 ^{<i>h</i>}	10.8	1.97
11	NH	O NH	3	37 ⁱ	3.43	1.14
12	"	"	3	31 ^{<i>j</i>}	1.82	0.608
13	"	"	4	19 ^{<i>a</i>}	4.40	1.10

Table 1. Catalytic conversion of cyclic secondary amines, amidine-5 (I), or 4-amino-1- (pyrrolidin-1-yl)butan-1-one) (II) to lactams in diglyme solvent, under O₂ (1 atm, unless stated otherwise), at 160 °C^{*a*}

^{*a*}0.444 mmol (100 mM; 1 eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 111 mg of Aerosil (4.2 eq), 0.45 mL H₂O (56 eq), 4.44 mL diglyme. ^{*b*}1.00 g of bulk Au powder used instead of Au/CeO₂. ^{*c*}Aerosil not added. ^{*d*}Under argon atmosphere. ^{*e*}Under air atmosphere (1 atm). ^{*f*}0.235 mmol (100 mM; 1 eq) substrate, 36.6 mg of 5.4 wt% Au/CeO₂, 59 mg of Aerosil (4.2 eq), 0.236 mL of H₂O (56 eq). ^{*g*}0.218 mmol (100 mM; 1 eq) substrate, 34 mg of 5.4 wt% Au/CeO₂, 55 mg of Aerosil (4.2 eq), 0.22 mL of H₂O (56 eq). ^{*h*}0.444 mmol (100 mM; 1 eq) substrate, 78.8 mg of 8.5 wt% Au/CeO₂, 111 mg of Aerosil (4.2 eq), 0.45 mL H₂O (56 eq), 4.44 mL diglyme. ^{*i*}0.20 mmol (40 mM; 1 eq) substrate, 78.8 mg of 5.4 wt% Au/CeO₂, 50 mg of Aerosil (4.2 eq), 0.20 mL of H₂O (56 eq). ^{*j*}0.20 mmol (40 mM; 1 eq) substrate, 78.8 mg of 5.4 wt% Au/CeO₂, 50 mg of Aerosil (4.2 eq), 0.20 mL of H₂O (56 eq). ^{*j*}0.20 mmol (40 mM; 1 eq) substrate, 78.8 mg of 5.4 wt% Au/CeO₂, 50 mg of Aerosil (4.2 eq), 0.20 mL of H₂O (56 eq). ^{*j*}0.20 mmol (40 mM; 1 eq) substrate, 78.8 mg of 5.4 wt% Au/CeO₂, 50 mg of Aerosil (4.2 eq), 0.20 mL of H₂O (56 eq). ^{*j*}0.20 mmol (40 mM; 1 eq) substrate, 78.8 mg of 5.4 wt% Au/CeO₂, 50 mg of Aerosil (4.2 eq), 0.20 mL of H₂O (56 eq). ^{*j*}0.20 mmol (40 mM; 1 eq) substrate, 78.8 mg of 5.4 wt% Au/CeO₂, 50 mg of Aerosil (4.2 eq), 0.20 mL of H₂O (56 eq). ^{*j*}0.20 mmol (40 mM; 1 eq) substrate, 78.8 mg of 5.4 wt% Au/CeO₂, 50 mg of Aerosil (4.2 eq), 0.20 mL of H₂O (56 eq). ^{*j*}0.20 mmol (40 mM; 1 eq) substrate, 78.8 mg of 5.4 wt% Au/CeO₂, 50 mg of Aerosil (4.2 eq), 0.20 mL of H₂O (56 eq). ^{*j*}0.20 mmol (40 mM; 1 eq) substrate, 78.8 mg of 5.4 wt% Au/CeO₂, 50 mg of Aerosil (4.2 eq), 0.20 mL of H₂O (56 eq). ^{*j*}0.20 mmol (40 mM; 1 eq) substrate, 78.8 mg of 5.4 wt% Au/CeO₂, 50 mg of Aerosil (4.2 eq), 0.20 mL of H₂O (56 eq). ^{*j*}0.20 mmol (40 mM; 1 eq) substrate, 78.8 mg of 5.4 wt% Au/CeO₂, 50 mg of Aerosil (4.2 eq), 0.20 mL of H₂O (56 eq). ^{*j*}0.20 mmol (40 m



8.5 wt% Au/CeO₂, 50 mg of Aerosil (4.2 eq), 0.20 mL of H₂O (56 eq). k TON is defined as the number of moles of product per mole of Au. l TOF is defined as TON per hour of reaction time.

To demonstrate the superiority of Au/CeO_2 over bulk gold powder in the catalytic oxidation of amines into lactams, 1.00 g of Au powder was used under conditions optimized for 5.4 wt% Au/CeO₂, in the catalytic oxidation of pyrrolidine. This reaction gave a 27% yield of 2-pyrrolidone after 6.5 h (Table 1, entry 2, eq. 5), as compared with a 97% product yield obtained with Au/CeO₂ (Table 1, entry 1), which shows that 3.78 mg of Au in Au/CeO₂ is more effective as a catalyst than 1.00 g of bulk gold powder.

$$NH + O_2 \xrightarrow{\text{bulk Au, H}_2O} NH + H_2O \quad (5)$$

With Aerosil 200, lactam yield = 27% at 6.5 h
Without Aerosil 200, lactam yield = 18% at 6.5 h

Furthermore, the use of bulk gold without Aerosil under the same conditions produced 18% of 2-pyrrolidone from the oxidation of pyrrolidine (Table 1, entry 4, eq. 5), as compared with a 75% yield in the Au/CeO₂-catalyzed reaction without Aerosil (Table 1, entry 3). Notably, the oxidation of pyrrolidine catalyzed by bulk gold at a lower temperature (100 °C in toluene), without Aerosil, gave 93% yield of amidine-5 (eq. 1, n = 1), and not 2-pyrrolidone.³⁶

The scalability of the reaction was demonstrated by increasing the pyrrolidine concentration 10-fold, from 0.1 M (0.444 mmol) to 1.06 M (4.76 mmol), in diglyme but using the same amount of Au/CeO₂ catalyst and Aerosil. The reaction solution was heated under an O₂ atmosphere at 160 °C with 70 mg of 5.4 wt% Au/CeO₂, 111 mg of Aerosil and





2.38 mL of H₂O (28 equiv. relative to pyrrolidine). After 10 h of heating, GC analysis revealed a 99% amine substrate conversion and an 84% yield of 2-pyrrolidone, representing a

Figure 2. Lactam product yields during the Au/CeO₂-Aerosil-catalyzed oxidation of cyclic amines in diglyme at 160 °C under optimized conditions. (a) pyrrolidine to 2-pyrrolidone: 0.444 mmol (100 mM) pyrrolidine, 70 mg of 5.4 wt% Au/CeO₂, 111 mg of Aerosil (4.2 eq), 0.45 mL H₂O (56 eq), 4.44 mL diglyme; (b) piperidine to 2-piperidone: 0.444 mmol (100 mM) piperidine, 70 mg of 5.4 wt% Au/CeO₂, 111 mg of Aerosil (4.2 eq), 0.45 mL H₂O (56 eq), 4.44 mL diglyme; (c) hexamethyleneimine to caprolactam: 0.20 mmol (40 mM) hexamethyleneimine, 78.8 mg of 5.4 wt% Au/CeO₂, 50 mg of Aerosil (4.2 eq), 0.20 mL of H₂O (56 eq), 5.0 mL diglyme.

TON of 207, based on the moles of Au in the Au/CeO₂ catalyst (TON = mol of product per mole of Au).

A heterogeneity test of the catalyst was performed using a hot filtration technique. First, a reaction was run using a mixture containing 100 mM pyrrolidine in diglyme together with the Au/CeO₂-Aerosil catalyst, H₂O and dodecane (internal standard) at 160 °C under optimized conditions. After 40 min of reaction, a 22% yield of 2-pyrrolidone was obtained. At this point, the hot mixture was filtered and the solution phase was heated again at 160 °C under an O₂ atmosphere. After 5 h, no further conversion of the remaining pyrrolidine occurred,



demonstrating that the catalytically active species is not in the solution phase of the reaction and that the Au/CeO₂-Aerosil solid is the active catalyst.

In assessing the recyclability of the catalyst, the Au/CeO₂-Aerosil solids recovered from an optimized pyrrolidine oxidation reaction that produced a 98% yield of 2-pyrrolidone were washed with diglyme until no lactam was detected by GC in the rinsate (see experimental section). The washed and air-dried catalyst used in a second catalytic cycle produced an 88% yield of 2-pyrrolidone. However, when the recovered catalyst was washed, dried, and used in a third catalytic cycle, only a 14% yield of 2-pyrrolidone was obtained.

After the Au/CeO₂ catalyst sample had been used in 3 catalytic runs, its XPS spectrum remained unchanged from that of the freshly prepared material (Figs S18a and S18b). However, powder x-ray diffraction (PXRD) analysis of the Au/CeO₂ catalyst recovered from the third catalytic cycle showed that the Au crystallite size had grown from around 5 nm (for the fresh catalyst; Fig. S14a) to 29 nm (for the used catalyst; Fig. S14b). Also, TEM and STEM images (Fig. S15b and S16b, respectively) of the used catalyst also revealed aggregated gold of about 200-nm sizes. Such an increase in gold particle size would lead to a reduction in the number of catalytically active sites on the Au surface, which could be the reason for the decreased activity upon recycling. A sharp drop in catalytic activity was also observed during the Au/TiO₂-catalyzed oxidation of glycerol to lactic acid at 90 °C after 5 catalytic runs; this loss of activity was attributed to an increase in the size of the gold particles.⁴⁰

The scope of the reaction was expanded to additional cyclic secondary amines. Treatment of piperidine (eq. 4, n = 2) under the optimized conditions for the catalytic oxidation of pyrrolidine (100. mM, 0.444 mmol) afforded an 83% yield of 2-piperidone in 2.5 h, with a



100% conversion of the piperidine substrate (Table 1, entry 9). The use of 78.8 mg of 8.5 wt% Au/CeO₂ in place of 70 mg of 5.4 wt% Au/CeO₂ also gave an 83% yield of 2-piperidone, albeit at a longer reaction time of 5.5 h (Table 1, entry 10). Thus, the optimized reaction conditions for the oxidation of both pyrrolidine and piperidine were the same.

The optimized conditions for the oxidation of the 7-membered cyclic amine, hexamethyleneimine, into caprolactam (eq. 4, n = 3) involved a 40 mM solution of hexamethyleneimine (0.200 mmol) in diglyme, 78.8 mg of 5.4 wt% Au/CeO₂, 50 mg of Aerosil 200, and 0.20 mL of H_2O (56 equiv relative to substrate), resulting in a 37% yield of the product (100% substrate conversion) in 3 h (Table 1, entry 11). Doubling the amount of H₂O under these conditions gave only a 16% product yield. When 78.8 mg of 8.5 wt% Au/CeO₂ was used in place of the 78.8 mg of 5.4 w% Au/CeO₂ under the optimized conditions, a 31% caprolactam yield was obtained in 3 h, with complete substrate conversion (Table 1, entry 12). However, when the catalytic oxidation of hexamethyleneimine was carried out under the optimized conditions used for the oxidation of pyrrolidine and piperidine, a 19% yield of caprolactam (100% conversion of the substrate) was obtained in 4 h (Table 1, entry 13). Thus, a lower substrate concentration (40 mM) was more effective than a higher concentration (100 mM) for the catalytic oxidation of hexamethyleneimine to caprolactam. The use of 5 mol% of NaOH or K₂CO₃ as additives^{24,44,45} in the reaction, while keeping all other parameters optimized, resulted in a 17% yield of caprolactam in each case, with 100% substrate conversion. It is noteworthy that prolonged heating after complete substrate conversion generally resulted in the appearance of several unidentified peaks in the GC chromatograms.



Au/CeO₂-catalyzed oxidation of cyclic tertiary amines to lactams: The N-methyl derivatives of pyrrolidine, piperidine, and morpholine were also oxidized by O_2 in the presence of the Au/CeO₂ catalyst to give the corresponding lactams (eq. 6 and 7) without the need for Aerosil 200 as a co-catalyst, and at lower reaction temperatures (80 °C and 100 °C) than that required for the secondary amine analogs (160 °C).

$$\underbrace{ (N_{n = 1,2}^{N_{n = 1,2}} + O_{2} \xrightarrow{Au/CeO_{2}, H_{2}O}_{dioxane}}_{n = 1, T = 80 \ ^{\circ}C} \underbrace{ (N_{n = 1,2}^{N_{n = 1,2}} + H_{2}O_{2} (6)}_{n = 1,2}$$

For example, under optimized conditions, N-methyl-2-pyrrolidone was obtained in 97% yield after heating a 108 mM dioxane-solution of N-methylpyrrolidine (0.488 mmol) with 5.4 wt% Au/CeO₂ and 0.45 mL H₂O (51 equiv relative to the amine) under O₂ (1 atm) at 80 °C for 3.5 h (Table 2, entry 1; Fig. 3). Heating a 101 mM-solution of N-methylpyrrolidine with Au/CeO₂-Aerosil 200 and 0.45 mL H₂O (56 equiv relative to amine) at 100 °C under 1 atm O₂ for 3.5 h resulted in a 98% yield of N-methyl-2-pyrrolidone (Table 2, entry 2), showing that neither the use of Aerosil 200 as a co-catalyst nor the higher reaction temperature (100 °C rather than 80 °C) was necessary for the catalytic transformation. Furthermore, a 94%-product yield was achieved under the same temperature (100 °C), but without the use of Aerosil as a co-catalyst (Table 2, entry 3). In addition, when the reaction temperature was reduced from 100 °C to 80 °C, in the presence of Aerosil 200, a 90% lactam yield was obtained, but required a longer reaction time (10.5 h) from a 101 mM amine solution (Table 2, entry 4). Under optimized conditions, but without the addition of H₂O, the oxidation of N-methylpyrrolidine gave only an 8% yield of N-methyl-2-pyrrolidone with a 66% conversion



Entry	Substrate	Product	Temp	Time	Product	TON ⁿ	$TOF^{o}(h^{-1})$
			(°C)	(h)	yield (%)		
1	N-	O N-	80	3.5	97 ^a	24.7	7.04
2	"	"	100	3.5	98^{b}	22.9	6.55
3	"	"	100	3.5	94 ^{<i>b,c</i>}	22.0	6.28
4	"	"	80	10.5	90^{b}	21.1	2.01
5	"	"	80	3.5	8 ^{<i>a</i>,<i>d</i>}	2.03	0.581
6	"	"	80	3.5	4 ^{<i>a</i>,<i>e</i>}	1.02	0.290
7	"	"	80	10	97 ^{<i>a</i>,<i>f</i>}	24.7	2.47
8	+N_	"	80	3.5	9 ^a	2.29	0.654
9	N-	O N-	100	4	97 ^g	21.4	5.35
10	"	"	100	3	76^h	16.8	5.58
11	"	"	100	3	56 ^{<i>i</i>}	12.3	4.12
12	"	"	80	18	79 ^{<i>i</i>}	17.4	0.968
13	ON-	O N-	100	10	72 ^j	17.0	1.70
14	"	"	100	13.5	60^k	14.1	1.05
15	"	"	100	24	34 ^{<i>l</i>}	8.01	0.334
16	"	"	100	24	1^m	0.236	0.00982

Table 2. Catalytic conversion of N-methyl cyclic tertiary amines into lactams in 1,4dioxane solvent, under O₂ atmosphere (1 atm), unless stated otherwise.

^{*a*}0.488 mmol (108 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 0.45 mL H₂O (51 eq), 4.54 mL 1,4-dioxane. ^{*b*}0.449 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 111 mg of Aerosil (4.1 eq), 0.45 mL H₂O (56 eq), 4.48 mL 1,4-dioxane. ^{*c*}Aerosil not added. ^{*d*} H₂O not added. ^{*e*}Under argon atmosphere. ^{*f*}Under air atmosphere. ^{*g*}0.423 mmol (95 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 1.80 mL H₂O (236 eq), 4.45 mL 1,4-dioxane. ^{*h*}0.423 mmol (95 mM; 1 eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 0.90 mL H₂O (118 eq), 4.45 mL 1,4-dioxane. ^{*i*}0.423 mmol (95 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 0.45 mL H₂O



(59 eq), 4.45 mL 1,4-dioxane. ^{*j*}0.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 0.90 mL H₂O (110 eq), 4.48 mL 1,4-dioxane. ^{*k*}0.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 1.80 mL H₂O (220 eq), 4.48 mL 1,4-dioxane. ^{*l*}0.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 0.45 mL H₂O (55 eq), 4.48 mL 1,4-dioxane. ^{*n*}0.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 0.45 mL H₂O (55 eq), 4.48 mL 1,4-dioxane. ^{*n*}0.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 0.45 mL H₂O (55 eq), 4.48 mL 1,4-dioxane. ^{*n*}0.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 0.45 mL H₂O (55 eq), 4.48 mL 1,4-dioxane. ^{*n*}0.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL 1,4-dioxane. ^{*n*}O.452 mmol (101 mM; 1eq) substrate, 70 mg of 5.4 wt% Au/CeO₂, 4.48 m



Figure 3. Lactam product yields during the Au/CeO₂-catalyzed oxidation of tertiary cyclic amines under optimized conditions. (a) 0.488 mmol (107.5 mM) N-methylpyrrolidine substrate, 70 mg of 5.4 wt% Au/CeO₂, 0.45 mL H₂O (51 eq), in 4.54 mL of 1,4-dioxane at 80 °C; (b) 0.423 mmol (95 mM) N-methylpiperidine substrate, 70 mg of 5.4 wt% Au/CeO₂, 1.80 mL H₂O (236 eq), in 4.45 mL of 1,4-dioxane at 100 °C; (c) 0.452 mmol (101 mM) N-methylmorpholine substrate, 70 mg of 5.4 wt% Au/CeO₂, 0.90 mL H₂O (110 eq), in 4.48 mL of 1,4-dioxane at 100 °C.

of the amine substrate (Table 2, entry 5). Furthermore, only a 4% yield of N-methyl-2pyrrolidone was obtained after 3.5 h of heating a dioxane-solution of N-methylpyrrolidine with 5.4 wt% Au/CeO₂ and H₂O at 80 °C under an atmosphere of argon (Table 2, entry 6). In addition, when the catalytic oxidation of N-methylpyrrolidine was carried out in air, rather than an O₂ atmosphere, a 49% yield of N-methyl-2-pyrrolidone was obtained after 3.5 h, as compared with the 97% yield obtained after 3.5 h when the reaction was carried out in an O₂



atmosphere. However, when the air reaction was allowed to proceed for a total of 10 h, a 97%-yield of the lactam product was obtained. (Table 2, entry 7). Noteworthy also, is the finding that under otherwise optimized reaction conditions, no reaction occurred in the presence of CeO₂ alone (without deposited nanogold).

N-methyl-2-piperidone was also synthesized by the catalytic oxidation of Nmethylpiperidine with O₂ (eq. 6, n = 2). The optimized conditions for this reaction involve heating a 95 mM dioxane solution of N-methylpiperidine (0.423 mmol) with 70 mg of 5.4 wt% Au/CeO₂ and 1.80 mL H₂O (236 equiv relative to the amine) at 100 °C for 4 h resulting in a 97% yield of N-methyl-2-piperidone and a 100% conversion of the substrate (Table 2, entry 9; Fig. 3). Halving the amount of added H₂O (i.e. 118 equiv relative to the amine), but keeping all other conditions optimized, resulted in a 76% yield of N-methyl-2-piperidone (100% substrate conversion) in 3 h (Table 2, entry 10). A further decrease in the amount of H₂O (59 equiv relative to the amine) led to only a 56% yield of N-methyl-2-piperidone in 3h (100% conversion of substrate, Table 2, entry 11). When this reaction (with 59 equiv of added H₂O) was carried out at 80 °C, a 79% product yield and a 97% substrate conversion were achieved after 18 h (Table 2, entry 12), indicating that the catalytic oxidation of Nmethylpiperidine to N-methyl-2-piperidone proceeded much faster (3 h versus 18 h) but with a lower product yield (56% versus 79%) at a higher temperature (100 °C versus 80 °C).

N-methylmorpholine was also oxidized by O₂ in the presence of 5.4 wt% Au/CeO₂ (eq. 7) to give N-methylmorpholin-3-one. The ¹H and ¹³C NMR data (see supporting information) of the isolated product were different from those reported for the lactone 4-methylmorpholin-2-one.^{46,47} Furthermore, 2D NMR analysis confirmed that the lactam, and not the lactone, was formed from the current Au/CeO₂-catalyzed oxidation reactions of N-methylmorpholine. For



example, HMBC revealed a strong 3-bond heteronuclear coupling between the N-methyl protons and the CO carbon (Fig. S9). In the lactone 4-methylmorpholin-2-one, such coupling would be across four (4) bonds, and would be too weak to be observed. Under optimized conditions, a 72% yield of N-methylmorpholin-3-one (100% substrate conversion) was obtained after 10 h, when a 101 mM dioxane solution of the amine substrate (0.452 mmol) was heated at 100 °C with 5.4 wt% Au/CeO₂ in the presence of 0.90 mL (110 equiv relative to substrate) of H₂O (Table 2, entry 13; Figs. 3 and S11).

$$O = O = O$$

$$O = O$$

In addition, doubling the amount of H_2O under optimized conditions (220 equiv instead of 110 equiv) led to a slightly lower (60%) yield of N-methylmorpholin-3-one and 99% substrate conversion after a longer reaction time of 13.5 h (Table 2, entry 14, Fig S11). Under the same conditions, but using only 0.45 mL of H_2O (55 equiv relative to the substrate), only a 34% yield of N-methylmorpholin-3-one was obtained from an 81% conversion of the N-methylmorpholine, after 24 (Table 2, entry 15, Fig S11). Furthermore, when H_2O was eliminated from the optimized conditions, the yield of N-methylmorpholin-3-one was only 1% (56% conversion of N-methylmorpholine) after a reaction time of 24 h (Table 2, entry 16, Fig. S11).

Reaction pathways for the formation of lactams from cyclic secondary and tertiary amines

a. Mechanism for the oxidation of cyclic secondary amines to lactams.

In the optimized catalytic Au/CeO₂-Aerosil system, GC monitoring during the oxidation of pyrrolidine to 2-pyrrolidone revealed the appearance of two new GC peaks, at 9.18 and



10.98 min, during the course of the reaction. These two peaks gradually disappeared as the product peak (6.50 min) continued to grow in intensity, suggesting the involvement of reaction intermediates. Analysis of the reaction mixture by GC-MS led to the assignment of these two transient peaks to 5-(pyrrolidin-1-yl)-3,4-dihydro-2*H*-pyrrole (amidine-5, **I**, Scheme 2) and 4-amino-1-(pyrrolidin-1-yl)butan-1-one) (**II**). Additional support for the role of amidine-5 (**I**) and compound **II** as intermediates was derived from their independent syntheses and conversion to 2-pyrrolidone, under the optimized conditions for pyrrolidine oxidation. Specifically, under the optimized conditions for the catalytic oxidation of pyrrolidine, 0.235 mmol of amidine-5 (**I**) produced 0.447 mmol of 2-pyrrolidone (95% yield, Table 1, entry 7), which is close to the 2:1 stoichiometry expected for the conversion of **I** to 2-pyrrolidone. Similarly, treatment of compound **II** (0.218 mol) under catalytic conditions produced 0.419 mmol of 2-pyrrolidone (96% yield, Table 1, entry 8).

A likely pathway for the catalytic oxidation of pyrrolidine (1) is shown in Scheme 2. Previous evidence from the bulk gold powder-catalyzed reaction suggested that the first step involved the oxidative dehydrogenation of the amine substrate to give the imine (2).^{34,35} Reaction of the imine with pyrrolidine³⁴ would give diamine **3**, which subsequently undergoes oxidative dehydrogenation to afford amidine-5 (I). The formation of **II** presumably resulted from the reversible hydrolysis of the C=N bond of amidine-5 (I) (Scheme 2). Compound **II** is not directly on the pathway from pyrrolidine to 2-pyrrolidone, but it re-enters that pathway by converting to **I**.

In contrast to the reaction of pyrrolidine, in which amidine-5 (I) was identified as an intermediate, amidine-6 or amidine-7 were not observed as intermediates during the Au/CeO₂-Aerosil-catalyzed oxidation of piperidine or hexamethyleneimine, respectively.





Scheme 2. Possible pathway for the Au/CeO₂-Aerosil-catalyzed oxidation of pyrrolidine to 2-pyrrolidone via amidine-5 (I) and 4-amino-1-(pyrrolidin-1-yl)butan-1-one (II).

The 6- or 7-membered analogs of intermediate **II** were also not observed. However, amidine-6 and amidine-7 were formed when bulk gold catalyzed the oxidation (O₂) of the cyclic amines, as previously reported;^{34,36} this result suggests that the oxidations of the 6- and 7membered ring amines (eq. 4) also proceed through amidine intermediates. In the previously reported bulk gold/Aerosil-catalyzed oxidation of hexamethyleneimine, the relatively low yield of caprolactam was attributed to the relatively low production of amidine-7.³⁶ Thus, the lower yields of 2-piperidone (83%) and caprolactam (37%) from piperidine and hexamethyleneimine, respectively, are a likely consequence of the relatively small amounts of amidine-6 and 7 formed from the oxidative dehydrogenation step.

b. Oxidation of N-methyl cyclic tertiary amines to lactams.

Because of the presence of the N-methyl group in the N-methyl cyclic amines, it is not possible for these amines to be oxidized to imines as proposed in the first step (Scheme 2) for



the cyclic secondary amines. No intermediates that might suggest a mechanism for the oxidation of N-methylpyrrolidine to its lactam (eq. 6, n = 1) were detected by GC during the reaction. However, a previous report demonstrated that tertiary amines, such as triethylamine and pyridine, are converted to their N-oxides in the presence of a carbon-supported Au catalyst and O₂ (1 - 2 atm) in H₂O at 70 and 90 °C.⁴⁸ In addition, we previously reported that bulk Au catalyzes the conversion of N-methylmorpholine-N-oxide into N-methylmorpholine (74% yield) and N-methyl-morpholine-2,3-dione (14% yield) after 48 h of heating at 60 °C (eq. 8).⁴⁹ Thus, it seemed plausible that an N-methylmorpholine-N-oxide intermediate would convert to the N-methylpyrrolidone product in the presence of a Au catalyst. However, when N-methylpyrrolidine-N-oxide was treated with O₂ under the optimized conditions used for t-

$$4 \qquad \stackrel{O_{-}^{+}}{\longrightarrow} \qquad \stackrel{Au, N_{2}}{\longrightarrow} \qquad 3 \qquad \stackrel{I}{\longrightarrow} \qquad + \qquad \stackrel{I}{\longrightarrow} \qquad \stackrel{O_{-}}{\longrightarrow} \qquad (8)$$
$$+ 2 H_{2}O$$

-he catalytic oxidation of N-methylpyrrolidine, only a 9% yield of N-methyl-2-pyrrolidone was obtained (Table 2, entry 8) after the optimized reaction time (3.5 h). The yield increased to 15% after a total reaction time of 9 h (eq. 9). The low yield of N-methyl-2-pyrrolidone obtained from N-methylpyrrolidine-N-oxide (as compared to a 97% lactam yield from N-methylpyrrolidine; Table 2, entry 1) under the same optimized conditions suggests that amine N-oxides represent a minor pathway, or are not involved, in the catalytic oxidation of the cyclic tertiary amines studied here.





A possible alternate intermediate in the catalytic oxidation of the cyclic tertiary amines is an iminium ion. Such species have been generated from tertiary amines in the presence of molecular oxygen as well as other oxidants.^{25,50-54} For example, during the oxidation (O₂) of N-phenyl tetrahydroisoquinoline, catalyzed by graphite-supported Au nanoparticles, Che and co-workers proposed the generation of a cationic iminium intermediate, which was subsequently trapped by nucleophiles.²⁵ Furthermore, an iminium intermediate was proposed to have been generated during the copper-catalyzed oxidative cross-dehydrogenativecoupling of N-phenyl tetrahydroisoquinoline with nitroalkanes and malonates in the presence of atmospheric pressure of O₂.⁵⁴ In addition, a cationic iminium species was suggested as an intermediate during the NaClO₂ oxidation of tertiary allylamines into 2,3-epoxyamides.⁵² More recently, Rao and Periasamy reported the oxidation of N-phenyl and N-(*p*tolyl)pyrrolidine to the corresponding amides in the presence of *t*-butyl hydroperoxide as an oxidant and *t*-BuOK as a base. In that report, an N-phenyl pyrrolidinium intermediate was proposed (Scheme 3).⁵⁰



Scheme 3. Proposed generation of N-phenylpyrrolidinium during the oxidation of N-phenylpyrrolidine.⁵⁰



Under the optimized conditions for the current Au/CeO₂-catalyzed oxidation of Nmethylpyrrolidine into N-methyl-2-pyrrolidone, it is conceivable that the Nmethylpyrrolidinium cation **III** is generated, which then undergoes a rearrangement to the hemiaminal compound **IV** (Scheme 4). The resulting hemiaminal could then undergo oxidation to give the N-methylated lactam product. Although this is a plausible mechanism, none of the proposed intermediates have been detected or identified.



Scheme 4. Proposed pathway for the Au/CeO₂-catalyzed oxidation of Nmethylpyrrolidine to N-methyl-2-pyrrolidone via an iminium intermediate.

Conclusions

Nanogold (6.5 \pm 1.1 nm) supported on high surface area (169 – 203 m²/g) CeO₂ nanoparticles is active in the oxidation (1 atm O₂) of pyrrolidine, piperidine, and hexamethyleneimine to give 2-pyrrolidone (97% yield; eq 4, n = 1), 2-piperidone (83% yield; eq. 4, n = 2), and caprolactam (37% yield; eq. 4, n = 3). Studies suggest that these conversions proceed in two distinguishable steps (Scheme 2). The first involves a gold-catalyzed reaction of the amine with oxygen to give an amidine (eq. 1). This reaction is also catalyzed by bulk gold³⁴ and Au/Al₂O₃^{35,36}. The second step involves hydrolysis of the



amidine to give the lactam and the cyclic amine (eq. 2). This reaction occurs to some extent at 160 °C even without a hydrolysis catalyst, as pyrrolidine gives a 27% yield of 2pyrrolidone using only a bulk gold catalyst. However, at 100 °C, bulk gold gives only amidine-5, indicating that amidine-5 is not hydrolyzed at the lower temperature.³⁴⁻³⁶ The addition of Aerosil 200 to the bulk gold-catalyzed reaction does give 2-pyrrolidone (35%), even at 90 °C, because Aerosil catalyzes the hydrolysis of the amidine. It appears that the CeO₂ support in the present study also catalyzes the amidine hydrolysis to give a 97% yield (at 6.5 h) of the lactam using Au/CeO₂ under optimized conditions.

The N-methyl cyclic tertiary amines are also oxidized (O₂) to the corresponding lactams at temperatures (80 - 100 °C) that are milder than those (160 °C) used for the cyclic secondary amines. Using the Au/CeO₂ catalyst (eq. 6,7) under optimized reaction conditions, N-methylpyrrolidine, N-methylpiperidine, and N-methylmorpholine are converted to N-methyl-2-pyrrolidone (97% yield; eq. 6, n = 1), N-methyl-2-piperidone (97% yield; eq. 6, n = 2), and N-methylmorpholin-3-one (72% yield; eq. 7). The mechanism of the Au/CeO₂-catalyzed oxidation of N-methylated cyclic tertiary amines to their lactams (eq. 6 and 7) is clearly different from that for the oxidation of cyclic secondary amines (eq. 4), since the N-methyl substituent prevents oxidative dehydrogenation to form the initial imine (Scheme 2).

These oxidations of cyclic amines to lactams using 1 atm O_2 and the heterogeneous Au/CeO₂ catalyst open the door to a new method of preparing lactams.

Experimental Section

All reagents were obtained from commercial sources (Sigma-Aldrich, Fisher Scientific, and Acros Organics) and used without further purification. Toluene, THF, and CH₂Cl₂ were



dried and deoxygenated by passage through columns of alumina and reduced copper. Ultra pure water was obtained from a Milli-Q[®] UV plus water purification system. Aerosil 200 was a gift from the Evonik Degussa Corporation. NMR spectra were obtained using Varian MR 400 MHz and Bruker AVIII 600 MHz spectrometers. NMR peak positions were referenced against residual proton (δ 7.26 ppm) or ¹³C (77.36 ppm) resonances in CDCl₃. HRMS data were collected on an Agilent 6540 QTOF accurate mass MSMS instrument.

GC and GC-MS analyses: GC analyses of reaction mixtures were performed on an HP-6890 instrument equipped with an HP-5 capillary column (30 m length, 0.25 mm internal diameter, 0.25 μ m film thickness, 5% phenyl, 95% methyl silicone polymer). Reaction products were identified by comparing their GC retention times with those of authentic samples and yields were determined by GC integrations relative to dodecane as an internal standard. GC-MS analyses were carried out using an Agilent 7890A-5975C instrument, equipped with an HP-5MS column.

Electron Microscopy: Transmission electron microscopy (TEM) was carried out on a FEI Tecnai G2 F20 field emission microscope and a scanning transmission electron microscope (STEM) operating at 200kV (point-to-point resolution <0.25 nm and a line-to-line resolution of <0.10 nm). TEM samples were prepared by placing 2-3 drops of dilute ethanol suspensions onto lacey-carbon-coated copper grids. The compositions of the Au/CeO₂ structures were characterized by elemental mapping and energy dispersive X-ray spectroscopy (EDS) in the STEM mode.



Surface Area and Porosimetry: Textural properties of the CeO₂ support and Au/CeO₂ catalysts were measured by nitrogen sorption isotherms at -196 °C in a Micromeritics Tristar analyzer. Surface areas were calculated using the Brunauer-Emmett-Teller method, and the pore size distribution was calculated by the Barrett-Joyner-Halenda (BJH) method. Prior to surface area measurements, samples were pretreated under flowing N₂ gas for 6 h at 100 °C.

ICP-OES analyses: The Au loadings on the CeO₂ support were determined using a PerkinElmer Optima 2100 DV inductively coupled plasma-optical emission spectroscope (ICP-OES). Catalyst samples (5 mg) were digested for 24 h in an aqueous solution containing a mixture of HF and HCl (0.18 and 5.0 v/v %, respectively). A 1-mL aliquot was then diluted to 10 mL with a 10 v/v % aqueous aqua regia solution.

X-ray Photoelectron Spectroscopy (XPS): The XPS analysis was carried out using a PHI 5500 multitechnique system with a standard Al X-ray source. Charge correction was done by setting the Ce 3d binding energy peak to 882.66 eV.³⁷

Procedure for the preparation of ceria-supported gold (Au/CeO₂) catalysts: The synthesis and characterization of the CeO₂ support ($169 - 203 \text{ m}^2/\text{g}$) was published earlier.³⁸ The supported catalysts were prepared according to a procedure reported by Pérez et al.³⁰ HAuCl₄•3H₂O (213 mg, 0.541 mmol) was dissolved in ultra pure water (390 mL). The solution was then added to a CeO₂ suspension (1.00 g in 13 mL water). Following pH adjustment to 10, using 0.2 M aqueous NaOH, the resulting suspension was stirred for 18 h at room temperature. After filtration, the supported catalyst was washed with water (400 mL in



40-mL aliquots) until the wash was free of chloride ions, as indicated by the absence of a AgCl precipitate when the tenth 40 mL wash was treated with 0.001 M aqueous AgNO₃. After being washed, the solid was dried under reduced pressure at room temperature. Thereafter, the supported catalyst was treated with sec-phenethyl alcohol at 160 °C for 20 min. After filtration, the resulting powder was washed with water and acetone, then dried overnight under reduced pressure at room temperature. The gold loading was found to be 5.4 \pm 0.1 wt% (by ICP-OES). To obtain a gold loading of 8.5 \pm 0.3 wt%, the same procedure described above was employed, using 213 mg (0.541 mmol) of HAuCl₄•3H₂O and 500 mg of CeO₂.

Procedure for the Au/CeO₂-catalyzed conversion of cyclic secondary amines to lactams, in the presence of O₂, as illustrated by the reaction of pyrrolidine: A 100-mL Schlenk flask, equipped with a high-vacuum Teflon stopcock, was charged with a stir bar and 70 mg of 5.4 wt% Au/CeO₂ catalyst (3.78 mg, 0.0192 mmol of Au). This was followed by the addition of 111 mg of Aerosil 200 (amorphous fumed silicon dioxide), 0.45 mL of ultrapure water, 1.11 mL of a 400. mM stock solution of pyrrolidine (0.444 mmol) in diglyme solvent, and 3.33 mL of a 23.8-mM dodecane stock solution (0.0793 mmol internal standard) in diglyme. The reaction flask was purged through the side arm with oxygen for 1 min and sealed with the stopcock. (A pure oxygen atmosphere, achieved by a more rigorous exclusion of air, led to lower lactam yields and the formation of a variety of unidentified products, in addition to the lactam. None of the by-products were identified). The contents of the sealed flask were stirred at 160 °C in an oil bath. The mole ratio of gold atoms to substrate was 1:23. To monitor the course of the reaction, the mixture was cooled periodically to ambient



temperature and an aliquot was withdrawn for GC analysis. Then the reaction flask was purged again with O₂, re-sealed, and re-heated to 160 °C. After 6.5 h of reaction time, 100% substrate conversion was achieved, with a 97% yield of 2-pyrrolidone. The catalytic conversions of the intermediates amidine-5 (I) and [4-amino-1-(pyrrolidin-1-yl)butan-1-one)] (II) into 2-pyrrolidone followed the same procedure. When the reaction of pyrrolidine was carried out under a pure air atmosphere, the same procedure was followed as above, but without the oxygen gas purge.

Procedure for the treatment of pyrrolidine with Au/CeO₂ under an argon atmosphere: After a 100-mL Schlenk flask was charged with a stir bar, 70 mg of 5.4 wt% Au/CeO₂ catalyst, 111 mg of Aerosil 200, 0.45 mL of ultra-pure water, pyrrolidine in diglyme, and dodecane (internal standard) as described above, the reaction vessel was degassed with three freeze-pump-thaw cycles, back-filled with argon, and sealed. Stirring and heating the reaction mixture at 160 °C produced an 8% GC yield of 2-pyrrolidone after 6.5 h.

Catalyst reusability: An initial catalytic run was set up as described in Section 2.7 with 70 mg of 5.4 wt% Au/CeO₂ catalyst, 111 mg of Aerosil 200, 0.45 mL of ultra-pure water, 0.444 mmol of pyrrolidine, and 0.0793 mmol of dodecane (internal standard) in diglyme. After periodic GC analysis of the reaction solution during the first catalytic run (6.5 h of heating at 160 °C), the Au/CeO₂-Aerosil catalyst was recovered by filtration of the reaction mixture. The recovered catalyst was rinsed repeatedly with 5-mL aliquots of diglyme, until the catalyst was free of the lactam, as determined by GC analysis of the rinse. The catalysts



were further rinsed with two 5-mL aliquots of acetone. The rinsed and air-dried catalyst was then used in subsequent catalytic runs, as described above.

Procedure for the Au/CeO₂-catalyzed conversion of N-methyl cyclic tertiary amines into lactams, in the presence of O₂, as illustrated by the reaction of N**methylpyrrolidine:** A 100-mL Schlenk flask, equipped with a high-vacuum Teflon stopcock was charged with a stir bar and 70 mg of 5.4 wt% Au/CeO₂ catalyst (3.78 mg, 0.0192 mmol Au). This was followed by the addition of 0.45 mL of ultra-pure water, 2.66 mL of 1,4dioxane, 1.21 mL of a 404-mM stock solution of N-methylpyrrolidine (0.488 mmol) in 1,4dioxane, and 0.67 mL of a dodecane (internal standard) stock solution (120. mM) in 1,4dioxane. The reaction flask was purged through the side arm with oxygen for 1 min and sealed with the stopcock. The contents of the flask were stirred at 80 °C in an oil bath. The mole ratio of gold atoms to substrate was 1:25. GC analysis was performed as described above, for the reactions of cyclic secondary amines. At 3.5 h of reaction time, a 100% substrate conversion was achieved with a 97% yield of N-methyl-2-pyrrolidone. When the reaction of N-methylpyrrolidine was carried out under an air atmosphere, the same procedure was followed as above, but without the purge with oxygen gas. Periodic GC analysis of the reaction solution revealed a 97% yield of N-methyl-2-pyrrolidone after 10 hours of heating at 80 °C. When the reaction was carried out under an argon atmosphere, using the procedure outlined above for pyrrolidine substrate, GC analysis of the reaction solution after 3.5 hours of heating at 80 °C revealed a 4% yield of N-methyl-2-pyrrolidone.



Preparation and characterization of amidine-5 (I): Amidine-5 was synthesized by treating 221 mg (3.09 mmol) of pyrrolidine in 75 mL of toluene with O₂ in the presence of 1.135 g of bulk gold catalyst, according to a published procedure.³⁴ The oily product was isolated by filtration of the reaction mixture and removal of the toluene solvent under reduced pressure (190 mg, 1.37 mmol, 89% yield). ¹H NMR (400 MHz, CDCl₃): δ 1.86 (m, 4H, CH₂), 1.93 (m, 2H, CH₂), 2.47 (t, 2H, J = 8.0 Hz, CH₂), 3.35 (t, 4H, J = 8.0 Hz, CH₂), 3.64 (t, 2H, J = 8.0 Hz, CH₂). ¹³C NMR (101 MHz, CDCl₃): δ 24.15, 25.82, 32.86, 47.78, 56.95, 166.85. HRMS (+ESI): calcd for [MH]⁺ (C₈H₁₅N₂)⁺ *m/z* 139.1235; found *m/z* 139.1230.

Preparation and characterization of [4-amino-1-(pyrrolidin-1-yl)butan-1-one)] (II): Intermediate **II** was synthesized, starting from γ-aminobutyric acid.

Syntheses of compounds A, B, C, and II

Synthesis of 4-((*tert*-butoxycarbonyl)amino)butanoic acid (A): This compound was prepared according to published procedures used for the syntheses of related compounds.^{55,56} In air, a 100-mL round-bottomed flask was charged with γ -aminobutyric acid (1.06 g, 10.2 mmol), NaOH (2.70 g; 67.5 mmol, 6.62 eq), and di-tert-butyl dicarbonate (Boc₂O) (2.80 g; 12.7 mmol, 1.25 eq); then 10 mL each of THF and Millipore H₂O were added. The mixture was allowed to stir in air for 18 hours, after which, 1M aqueous HCl was used to reduce the pH from 13.0 to 4.0. After extraction with 3 x 20 mL ethyl acetate, the combined organic layer was dried over anhydrous Na₂SO₄, then evaporated under reduced pressure, to afford compound **A** as a colorless gel. Yield: 58% (1.20 g, 5.90 mmol). ¹H NMR (400 MHz,



CDCl₃): δ 1.44 (s, 9H, C*H*₃), 1.82 (m, 2H, C*H*₂), 2.40 (t, 2H, *J* = 7.2 Hz, C*H*₂), 3.19 (m, 2H, C*H*₂), 4.67 (br, 1H), 5.71 (br, 1H).

Synthesis of Tert-butyl (4-oxo-4-(pyrrolidin-1-yl)butyl)carbamate (B): Compound B was prepared by methods used previously for the syntheses of related compounds.^{57,58} In air, a 500-mL round-bottomed flask was charged with A (1.20 g, 5.90 mmol), N-(3dimethylaminopropyl)-N'-ethylcarbodiimide hydrochloride (EDC.HCl) (1.57 g, 8.11 mmol, 1.37 eq), N-hydroxysuccinimide (HOSU) (0.966g, 8.23 mmol, 1.39 eq), and dry CH₂Cl₂ (163 mL). The flask was quickly capped with a rubber septum, and the mixture was stirred under N_2 atmosphere (a syringe needle, attached to an N_2 -filled balloon was inserted into the septum) for 4.5 hours, after which N,N-diisopropylethylamine (DIPEA) (8.0 mL, 45.5 mmol, 7.71 eq) and pyrrolidine (1.4 mL, 16.7 mmol, 2.83 eq) were introduced into the flask via syringe. After stirring for an additional 17 hours, the CH₂Cl₂-solution was concentrated under reduced pressure, and the pH was brought down to 1.50, using 1 M aqueous HCl. After separating the aqueous layer, the organic layer was extracted 4 times with 50 mL of Millipore H₂O. The organic layer was dried over anhydrous Na₂SO₄ and then evaporated under reduced pressure to afford compound **B** as a white solid. Yield: 65% (0.983 g, 3.83 mmol). ¹H NMR (400 MHz, CDCl₃): δ 1.42 (s, 9H, CH₃), 1.84 (m, 4H, CH₂), 1.94 (m, 2H, CH₂), 2.30 (t, 2H, J = 7.2 Hz, CH₂), 3.17 (m, 2H, CH₂), 3.42 (m, 4H, CH₂), 4.89 (br, 1H).

Synthesis of 4-oxo-4-(pyrrolidin-1-yl)butan-1-aminium 2,2,2-trifluoroacetate (C): Compound C was synthesized according to the procedure reported for a related compound.⁵⁹ In air, a 20-mL scintillation vial was charged with **B** (0.970 g, 3.78 mmol) and trifluoroacetic



acid (TFA) (5.4 mL, 70.2 mmol, 18.6 eq), and the mixture was allowed to stir at 25 °C for 1 hour. Excess TFA was removed under reduced pressure, and the viscous oil that remained was recrystallized from CH₂Cl₂-diethyl ether. The resulting white solid product was washed with 5 x 17 mL-aliquots of diethyl ether, and then further dried under reduced pressure. Yield: 77% (0.785 g, 2.90 mmol). Anal. Calcd for C₁₀H₁₇F₃N₂O₃: C, 44.44; H, 6.34; N, 10.37. Found: C, 44.45; H, 6.27; N, 10.45. ¹H NMR (400 MHz, CDCl₃): δ 1.86 (m, 2H, CH₂), 1.98 (m, 4H, CH₂), 2.48 (t, 2H, *J* = 8.0 Hz, CH₂), 3.06 (t, 2H, *J* = 8.0 Hz, CH₂), 3.40 (m, 4H, CH₂), 8.45 (br, 3H, NH₃)(see Fig. S3). ¹³C NMR (101 MHz, CDCl₃): δ 22.53, 24.59, 26.25, 32.51, 39.95, 46.27, 47.04, 116.99 (q, *J* = 293. Hz, CF₃), 162.13 (q, *J* = 35.20 Hz, COO), 171.29 (NCO)(see Fig. S4). HRMS (+ESI): calcd for [M – CF₃COO]⁺ ([C₈H₁₇N₂O]⁺) *m/z* 157.1341; found *m/z* 157.1335.

Synthesis of 4-amino-1-(pyrrolidin-1-yl)butan-1-one)] (II): In a glovebox, a 20-mL scintillation vial was charged with C (0.737 g, 2.73 mmol), sodium hydride (NaH) (0.329 g, 13.7 mmol, 5.02 eq), and 12.5 mL of CH₂Cl₂. The mixture was vigorously stirred for 11 hours at room temperature, and then filtered. Evaporation of the filtrate under reduced pressure afforded compound II as a light yellow oil. Yield: 53% (0.225 g, 1.44 mmol). ¹H NMR (400 MHz, CDCl₃): δ 1.40 (br, 2H, NH₂), 1.81 (m, 4H, CH₂), 1.90 (m, 2H, CH₂), 2.29 (t, 2H, *J* = 8.0 Hz, CH₂), 2.72 (t, 2H, *J* = 8.0 Hz, CH₂), 3.40 (m, 4H, CH₂) (see Fig. S5). ¹³C NMR (101 MHz, CDCl₃): δ 24.68, 26.39, 29.00, 32.35, 42.19, 45.91, 46.88, 171.61 (NCO)(see Fig. S6). HRMS (+ESI): calcd for [MH]⁺ ([C₈H₁₇N₂O]⁺) *m/z* 157.1341; found *m/z* 157.1334.



Synthesis of N-methylpyrrolidine-N-oxide: N-methylpyrrolidine-N-oxide was prepared using a published procedure.⁶⁰ In air, a 20-mL scintillation vial was charged with Nmethylpyrrolidine (0.865 g, 9.85 mmol) and a stir bar, and then cooled in an ice bath (0 °C). Aqueous 30% H₂O₂ (1.75 g, 15.4 mmol, 1.56 eq), also at 0 °C, was added dropwise into the vial over the course of 11 min, and the mixture was stirred at this temperature for 4 h, then at 23 °C, for an additional 20 h. The mixture was then treated with a catalytic amount of MnO₂ (0.004 g, 0.046 mmol, 0.00467 eq), in order to decompose the excess H₂O₂,⁶¹ then stirred under ambient conditions for 1h 20 min, after which time, evolution of heat and O₂ gas had ceased. After the initial removal of volatiles under reduced pressure, further drying was effected by dissolving the oily crude product in CH_2Cl_2 . The resulting solution (in a 50-mL round-bottomed flask) was then treated with powdered CaH₂ (2.06 g, 48.9 mmol, 4.96 eq), first at 23 °C (in air) for 15 min, then under reflux at 40 °C for 1 h (under N_2 atmosphere from a syringe needle, attached to an N₂-filled balloon inserted into the septum covering the condenser attached to the reaction flask).⁶² After filtration to remove the solids, the liquid was evaporated under reduced pressure, and the residue was recrystallized from CH₂Cl₂hexanes, N-methylpyrrolidine-N-oxide was obtained as an off-white solid. Yield: 61% (0.604 g, 5.97 mmol). ¹H NMR (600 MHz, CDCl₃): δ 1.99 (m, 2H, CH₂), 2.47 (m, 2H, CH₂), 3.31 (s, 3H, CH₃), 3.44 (m, 4H, CH₂). ¹³C NMR (101 MHz, CDCl₃): δ 22.46, 57.01, 70.25 (The ¹H NMR data reported here match those reported in the literature).⁶²

Synthesis and isolation of N-methylmorpholin-3-one: This compound was prepared using the experimental procedure outlined for the oxidation of cyclic tertiary amines into the corresponding lactams (see above). After treating 45.8 mg (0.453 mmol) of N-



methylmorpholine in 4.48 mL of dioxane with O₂ in the presence of 70 mg of 5.4 wt% Au/CeO₂ and 0.9 mL of H₂O (110 equiv. relative to the amine substrate) for 10 h at 100 °C, the reaction mixture was cooled to ambient temperature, then filtered over medium frit. Removal of volatiles from the filtrate under reduced pressure afforded the lactam product as brown oil. Yield: 65% (33.9 mg, 0.294 mmol). ¹H NMR (400 MHz, CDCl₃): δ 2.99 (s, 3H, CH₃), 3.37 (m, 2H, CH₂), 3.88 (m, 2H, CH₂), 4.16 (s, 2H, CH₂). ¹³C NMR (101 MHz, CDCl₃): δ 34.21, 48.63, 63.97, 68.38, 167.30 (CO). HRMS (+ESI): calcd for [MH]⁺ ([C₅H₁₀NO₂]⁺) *m/z* 116.0712; found *m/z* 116.0707.

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Supporting Information



Synthesis of 4-amino-1-(pyrrolidin-1-yl)butan-1-one)] (II)

Fig. S1. ¹H NMR (400 MHz) spectrum of amidine-5 (**I**) in CDCl₃





Fig. S2. ¹³C NMR (101 MHz) spectrum of amidine-5 (I) in CDCl₃



Fig. S3. ¹H NMR (400 MHz) spectrum of 4-oxo-4-(pyrrolidin-1-yl)butan-1-aminium 2,2,2-trifluoroacetate (**C**) in CDCl₃













Fig. S7. ¹H NMR (400 MHz) spectrum of N-methylmorpholin-3-one in CDCl₃





Fig. S9. HMBC spectrum (400 MHz) of N-methylmorpholin-3-one in CDCl₃. The circled cross peak shows a 3-bond heteronuclear coupling between the N-methyl protons (2.99 ppm) and the CO carbon (167.30 ppm).





Fig. S10. Plot of 2-pyrrolidone yields versus different equivalents of added H₂O. Conditions: 0.444 mmol (100 mM; 1 eq) pyrrolidine, 70 mg of 5.4 wt% Au/CeO₂, 111 mg of Aerosil (4.2 eq), 4.44 mL of diglyme, 160 °C. Added H₂O are 0, 10, 28, 56, 90, and 112 eq. Yields shown were determined at 6.5 h of reaction for all eq of added H₂O



Fig. S11. Plot of N-methylmorpholin-3-one yields versus different equivalents of added H₂O. Conditions: 0.452 mmol (101 mM) N-methylmorpholine, 70 mg of 5.4 wt% Au/CeO₂, 4.48 mL of 1,4-dioxane, 100 °C. Added H₂O are 0, 55, 110, 220 eq. Yields shown were determined: i.) At 24 h of reaction for 0 eq of added H₂O ii.) At 24 h of reaction for 55 eq of added H₂O iii.) At 10 h of reaction for 110 eq of added H₂O iv.) At 13.5 h of reaction for 220 eq of added H₂O.





Fig. S12. Plot of N-methyl-2-piperidone yields versus different equivalents of added H₂O. Conditions: 0.423 mmol (95 mM) N-methylpiperidine, 70 mg of 5.4 wt% Au/CeO₂, 4.45 mL of 1,4-dioxane, 100 °C. Added H₂O are 59, 118, 236 eq. Yields shown were determined: i.) At 3 h of reaction for 59 eq of added H₂O (100% substrate conversion) ii.) At 3 h of reaction for 118 eq of added H₂O (100% substrate conversion) iii.) At 4 h of reaction for 236 eq of added H₂O (100% substrate conversion).

a.) CeO₂

b.) 5.4 wt% Au/CeO₂



Fig. S13. Nitrogen physisorption isotherms for a.) CeO₂, b.) 5.4 wt% Au/CeO₂, c.) 8.5 wt% Au/CeO₂





c.) Fresh 8.5 wt% Au/CeO₂



Fig. S14. Wide-angle PXRD patterns of Au/CeO₂ with reference to CeO₂ peaks (*) and Au peaks (o)



Figure S15. a.) High-resolution transmission electron microscopy (HR-TEM) image of the fresh (unused) 5.4 wt% Au/CeO₂ catalyst. b.) HR-TEM image of the 5.4 wt% Au/CeO₂ catalyst after three catalytic runs. The dark spots indicate agglomerated Au.





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Figure S16. (a) Scanning transmission electron microscopy (STEM) image of the fresh (unused) 5.4 wt% Au/CeO₂ catalyst. Arrows point to the Au nanoparticles. (b) STEM image of the 5.4 wt% Au/CeO₂ catalyst after three catalytic runs. The bright spots indicate the presence of agglomerated Au.

a.)



Fig. S17. a.) STEM image of 5.4 wt% Au/CeO₂ after three catalytic runs, showing region 1 with agglomerated Au, and region 2 having relatively small amount of Au.





Fig. S17. b.) EDX maps of regions 1 and 2.



Fig. S18. XPS spectra of the Au 4f core level of 5.4 wt% Au/CeO₂ a.) freshly prepared, and b.) after three catalytic runs. Circles are experimental datapoints, red line is the cumulative fit, green lines are deconvoluted spin-orbit $4f_{7/2}$ and $4f_{5/2}$ components of Au⁰ (84.0 and 87.6 eV respectively), and blue lines are deconvoluted spin-orbit $4f_{7/2}$ and $4f_{5/2}$ components of Au⁺¹ (85.8 and 89.4 eV respectively)

ne st. i hysical properties of CCO ₂ and Au/CCO ₂ catalysis							
	Entry	Sample	Au loading	Surface Area	Pore Volume		
			$(wt. \%)^a$	$(m^2 g^{-1})$	$(cm^3 g^{-1})$		
	1	CeO_2	-	182	0.26		
	2	Au/CeO ₂	5.4	146	0.22		
	3	Au/CeO ₂	8.5	129	0.20		

Table S1. Physical properties of CeO₂ and Au/CeO₂ catalysts

^aDetermined by ICP-OES analysis.



CHAPTER 5. PELIMINARY RESULTS OF THE NANOGOLD-CATALYZED SYNTHESIS OF N,N'-DISUBSTITUTED UREAS FROM THE REACTIONS OF AMINES WITH CARBON MONOXIDE AND OXYGEN

Abstract

N,N'-substituted ureas are known for the biological and pharmaceutical applications. We have found that ceria-supported nanogold (Au/CeO₂) catalyzes the synthesis of these urea derivatives from the room temperature reactions of amines with CO and O₂. N,N'-di-n-butylurea was isolated in 75% yield, while the isolated yields of N,N'-dicyclohexylurea and N,N'-diisopropylurea were 40% yield and 37% yield, respectively.

Introduction

Substituted ureas are popularly known for their industrial and pharmaceutical applications.^{1,2} They have found specific use as antiviral drugs in the treatment of HIV/AIDS,³⁻⁵ anticonvulsants,⁶ antidepressants and antiemetics.^{7,8} Nitrosoureas, which are made from the nitrosation of substituted ureas,⁹ are used in cancer treatment,¹⁰ while N,N'-dicyclohexylurea has also been shown to lower blood pressure.¹¹ Over the years, the large scale manufacture of substituted ureas has involved the use of phosgene (COCl₂),¹ a toxic gas.^{12,13} Consequently, a great deal of research has gone into finding alternative ways of synthesizing them.

Gabriele and co-workers⁴ have reported the catalytic activity of palladium(II) iodide (PdI₂) during the conversion of aliphatic and aromatic amines into substituted ureas in the presence of CO, air, and CO₂. While the product yields were generally very good, 100



equivalents of KI (relative to the amine substrate) were added to the reaction mixtures, and reaction temperatures were typically 100 °C, with gas pressures up to 60 atmospheres. That report was later followed by another one, which utilized potassium tetraiodopalladate (K₂PdI₄) as catalyst, and gas mixtures comprising 10 atmospheres and 15 atmospheres of CO and air, respectively.¹⁴ Yields of substituted ureas obtained from aliphatic amines ranged from 42 - 99%, while the product yields from the reactions of aromatic amines were between 32 and 96%. Reaction temperatures were up to 110 °C. A selenium heterocyclic compound has also been reported to catalyze the conversion of aromatic amines into substituted ureas in the presence of ionic liquids, air, and CO, at 60 – 90 °C.¹⁵ Furthermore, N,N'-disubstituted ureas have been synthesized from palladium-catalyzed reductive alkylation reactions in the presence of hydrogen gas as a reducing agent. In that work, disubstituted ureas were obtained from the reactions of monosubstituted ureas, as well as silvlated ureas. In each case, the alkylating agents were aldehydes.¹⁶ The synthesis of monosubstituted and N,N'-disubstituted ureas have been reported from the reactions of benzotriazole-1-carboxamide with primary and secondary amines,¹⁷ while a series of mono-, di-, and trisubstituted ureas have also been synthesized from the zirconium(IV)-catalyzed reactions of amines with carbonates and carbamates.¹⁸ Furthermore, Li and co-workers have reported the conversion of primary benzyl alcohols into N,N'-disubstituted ureas in the presence of hypervalent iodine compounds and sodium azide. In most cases, benzamides were obtained as co-products in appreciable yields.¹⁹ Disubstituted ureas have been synthesized from iodosylbenzenemediated reactions between amides and amines,²⁰ while urea derivatives have also been synthesized from microwave-²¹ and electrochemistry-assisted²² reactions.



Angelici and co-workers have demonstrated the catalytic activity of bulk gold powder in a number of amine oxidation reactions, which have resulted in the formation of several organic moieties such as carbodiimides,²³ trisubstituted ureas,^{24,25} imines,²⁶⁻²⁸ enamines,²⁹ and lactams.³⁰ Furthermore, bulk gold powder has been shown to catalyze the oxidative amination of carbon monoxide at 45 °C to give disubstituted (eq. 1) and trisubstituted ureas (eq. 2).³¹ Isocyanate intermediacy was inferred from the observation that the reaction of di-npropylamine [("Pr)₂NH; a secondary amine] did not result in urea formation. However, react-

$$CO + 1/{_2}O_2 + RNH_2 \xrightarrow{\text{bulk Au}} O = C = N - R + H_2O \frac{RNH_2}{-H_2O} \xrightarrow{O}_{RHN} \stackrel{O}{\underset{K}{\overset{U}{\sim}}} (1)$$

$$CO + 1/{_2}O_2 + RNH_2 \xrightarrow{\text{bulk Au}} O = C = N - R + H_2O \frac{R'R''NH}{-H_2O} \xrightarrow{O}_{R''R'N} \stackrel{O}{\underset{K}{\overset{U}{\sim}}} (2)$$

-ion of an equimolar mixture of aniline (PhNH₂) and di-n-propylamine with CO and O₂ resulted in the formation of the trisubstituted urea (n Pr)₂NH(CO)NHPh. Unfortunately, stoichiometric amounts of bulk gold catalyst (1.00 g per 0.5 mmol of amine substrate) were utilized for the transformations in that study.

Supported nanogold-based catalysts have been shown to be very active catalysts for oxidation reactions of amine substrates.³²⁻⁴⁰ Notably, our group has found that ceria-supported nanogold is very active for the oxidation of secondary and tertiary amines into the corresponding lactams.⁴¹ We report herein, that ceria-supported nanogold also catalyzes the oxidative (1 atmosphere of O₂) amination of CO into N,N'-substituted ureas at 23 °C.

Results and Discussion

Au/CeO₂-catalyzed aerobic oxidation of primary amines into N,N'-disubstituted ureas in the presence of CO: Ceria-supported gold nanoparticles (Au/CeO₂), efficiently



catalyze the oxidative carbonylation of primary amines into N,N'-disubstituted ureas at ambient temperature (eq. 3).

$$CO + 1/_2O_2 + 2 RNH_2 \xrightarrow{Au/CeO_2} CH_3CN, 23 \circ C RHN^{C} + H_2O \quad (3)$$

When 0.220 mmol of n-butylamine (^{*n*}BuNH₂) was stirred at room temperature with 40 mg of 5.4 wt% Au/CeO₂ for 48 hours, N,N'-di-n-butylurea was isolated in 75% yield, in addition to

Table 1. Catalytic conversion of n-butylamine to N,N'-dibutylurea in acetonitrile solvent, in the presence of CO and O₂ (CO + O₂ ~ 1 atm), at 23 °C^a

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Entry	Substrate	Time	Urea (%) ^{d}	Isocyanate $(\%)^d$
1	ⁿ BuNH ₂	48 h	75^{a}	6 ^{<i>a</i>}
2	ⁿ BuNH ₂	48 h	53 ^b	5^b
3	ⁿ BuNH ₂	48 h	57 ^c	4 ^{<i>c</i>}

^{*a*}0.220 mmol (100. mM) substrate, 40 mg of 5.4 wt% Au/CeO₂ (5 mol% Au), 2.20 mL acetonitrile. ^{*b*}80 mg of 5.4 wt% Au/CeO₂ (10 mol% Au) used. ^{*c*}40 mg of 8.5 wt% Au/CeO₂ (8.6 mol% Au) used. ^{*d*}Isolated yield.

butyl isocyanate as the only side product (Table 1, entry 1). These were the optimized reaction conditions for n-butylamine substrate, because the use of increased loadings of the gold catalyst led to reduced product yields (Table 1, entries 2 and 3). Under the optimized reaction conditions for the synthesis of N,N'-di-n-butylurea, N,N'-diisopropylurea was isolated in 16% yield from the reaction of isopropylamine (Table 2, entry 1). There was no improvement in the yield, when the reaction was repeated at 45 °C, while other parameters were maintained (Table 2, entry 2). However, doubling the length of reaction time of isopropylamine, led to a doubling of the yield of N,N'-diisopropylurea to 37% (Table 2, entry 3). When the reaction of cyclohexylamine was carried out under the optimized conditions for the reaction of n-butylamine, only 4% yield of N,N'-dicyclohexylurea was obtained (Table 2, entry 4), while a doubling of the length of reaction time led to a three fold



ne sorv	ing in the presence	01 00 a	1002(00)	02 1 atm, at 2.
Entry	Substrate	Time	Urea (%) ^{<i>e</i>}	Isocyanate (%) ^e
1	^{<i>i</i>} PrNH ₂	48 h	16 ^{<i>a</i>}	N.D. ^a
2	^{<i>i</i>} PrNH ₂	48 h	11^{b}	N.D. ^b
3	^{<i>i</i>} PrNH ₂	96 h	37 ^{<i>a</i>}	N.D. ^a
4	Cyclohexylamine	48 h	4 ^{<i>a</i>}	N.D. ^a
5	Cyclohexylamine	96 h	13 ^{<i>a</i>}	N.D. ^a
6	Cyclohexylamine	96 h	40 ^c	N.D. ^{<i>c</i>}
7	Cyclohexylamine	96 h	39 ^d	$N.D.^d$
8	BnNH ₂	48 h	Trace ^a	Trace ^{<i>a</i>}
9	BnNH ₂	48 h	5^b	1^b
10	PhNH ₂	48 h	Trace ^a	Trace ^{<i>a</i>}
11	PhNH ₂	48 h	N.D. ^{<i>b</i>}	N.D. ^{<i>b</i>}
12	PhNH ₂	96 h	Trace ^{<i>a</i>}	Trace ^{<i>a</i>}

Table 2. Catalytic conversion of primary amines and aniline to N,N'-disubstituted ureas in acetonitrile solvent, in the presence of CO and O₂ (CO + O₂ \sim 1 atm), at 23 °C^{*a*}

increase in the product yield to 13% (Table 2, entry 5). However, under the optimized conditions for the reaction of n-butylamine (i.e. 0.220 mmol of substrate, 5 mol% of Au catalyst, at 23 °C for 48 h), but with the use of 10 mol% of triethylamine (Et₃N) as an additive,²¹ a ten-fold increase in product yield (40%) was achieved (Table 2, entry 6). Increasing the amount of additive to 50 mol% did not result in a change in product yield (Table 2, entry 7). When the optimized conditions for the n-butylamine reaction were applied to the reaction of benzylamine (BnNH₂), trace amounts of N,N'-dibenzylurea and benzyl isocyanate were obtained (Table 2, entry 8). A repeat of the reaction, but at a different temperature of 45 °C, led to a 5% urea yield and 1% isocyanate yield (Table 2, entry 9). However, a trace amount of N,N'-diphenylurea was obtained under the optimized conditions



^{*a*}0.220 mmol (100. mM) substrate, 40 mg of 5.4 wt% Au/CeO₂ (5 mol% Au), 2.20 mL acetonitrile. ^{*b*}Reaction carried out at 45 °C. ^{*c*}10 mol% Et₃N added. ^{*d*}50 mol% Et₃N added. ^{*e*}Isolated yield.

for the synthesis of N,N'-di-n-butylurea (Table 2, entry 10). No product was detected and only trace amounts of product were obtained, when either the reaction temperature was increased to 45 °C (Table 2, entry 11), or when the length of reaction time was doubled (Table 2, entry 12).

Conclusions

N,N'-di-n-butylurea was isolated in 75% yield from the Au/CeO₂-catalyzed oxidative carbonylation of n-butylamine at room temperature. N,N'-diisopropylurea was also obtained in 37% yield from the reaction of isopropylamine, while N,N'-dicyclohexylurea was obtained in 40% yield from cyclohexylamine, albeit in the presence of triethylamine as an additive. However, the reaction of benzylamine gave a relatively low urea yield, while trace amounts of N,N'-diphenylurea were obtained from the reaction of aniline. Isocyanate formation from some of the reactions studied suggests that the reactions proceed via an initial formation of one molecule of isocyanate from each molecule of the primary amine substrate. The isocyanate would then be expected to react with another molecule of amine (eq. 1), as proposed previously for the bulk gold-catalyzed reactions.³¹ It is possible that the reactivity of benzylamine, aniline, and other aromatic amines would be improved in the presence of inorganic bases such as NaOH and K₂CO₃, as it has been found in other amine oxidation systems.44-46 Further work will involve the syntheses of N,N'-disubstituted ureas from onepot mixtures containing aliphatic and aromatic amines, as well as one-pot substrate mixtures of primary and secondary amines.



Experimental Section

All reagents were obtained from commercial sources (Sigma-Aldrich, Fisher Scientific, Alfa Aesar, and Acros Organics) and used without further purification. Toluene, THF, and CH_2Cl_2 were dried and deoxygenated by passage through columns of alumina and reduced copper. Ultra pure water was obtained from a Milli-Q[®] UV plus water purification system. NMR spectra were obtained using a Varian MR 400 MHz spectrometer. NMR peak positions were referenced against residual proton resonances in CDCl₃ and DMSO-d₆ (δ 7.26 ppm and 2.50 ppm, respectively).

Procedure for the preparation of ceria-supported gold (Au/CeO₂) catalysts: The synthesis and characterization of the CeO₂ support ($169 - 203 \text{ m}^2/\text{g}$) was published earlier.⁴² The supported catalysts were prepared according to a procedure reported by Pérez et al,³⁸ and were characterized earlier.⁴¹

Procedure for the Au/CeO₂-catalyzed conversion of primary amines to N,N'disubstituted ureas, in the presence of CO and O₂, as illustrated by the reaction of *n*butylamine: A 50-mL round-bottomed flask was charged with a stir bar, 40 mg of 5.4 wt% Au/CeO₂ catalyst (2.16 mg, 0.0110 mmol of Au), and 2.2 mL of a 100. mM stock solution of n-butylamine (16.1 mg, 0.220 mmol) in acetonitrile solvent. The mole ratio of gold atoms to substrate was 1: 20 (5 mol% of Au). The reaction flask was covered with a rubber septum, and two syringe needles attached to two different balloons were inserted into the septum. One of the balloons contained CO, while the other one contained O₂ (size of CO balloon was approximately twice that of O₂ balloon). After stirring at ambient temperature (23 °C) for 48



hours, the mixture was then filtered through fine frit. The fritted funnel was packed with neutral alumina (bottom layer) and celite (upper layer), and the packing was rinsed with aliquots of ethanol. Volatiles were removed from the clear filtrate under reduced pressure, to afford N,N'-di-n-butylurea as a white solid (14.2 mg, 0.0824 mmol, 75% yield). For the isolation of N,N'-diisopropylurea, the packing was also rinsed with ethanol, while the rinsing solvent mixture was a 1:1 ratio of ethanol: ethyl acetate in the case of N,N'-dicyclohexylurea. The urea products were identified by comparing their ¹H NMR spectra with those of authentic samples and/or those found in the literature.^{14,15,21,43}

N,N'-di-n-butylurea¹⁴: ¹H NMR (300 MHz, CDCl₃): δ 0.91 (t, *J* = 7.3 Hz, 6 H), 1.51– 1.26 (m, 8 H), 3.18–3.09 (m, 4 H), 5.78 (t, br, *J* = 5.1 Hz, 2 H).

N,N'-diisopropylurea¹⁵: ¹H NMR (400 MHz, DMSO-d₆): δ 1.02 (d, 12 H), 3.86 (m, 2 H), 5.36 (d, 2 H).

N,N'-dicyclohexylurea⁴³: ¹H NMR (300 MHz, DMSO-d₆): δ 0.98 – 1.26 (m, 10H), 1.48 – 1.73 (m, 10H), 3.28 – 3.42 (m, 2H), 5.57 (d, *J* = 8.10 Hz, 2H).

N,N'-dibenzylurea¹⁴: ¹H NMR (300 MHz, DMSO-d₆): δ 4.24 (d, *J* = 5.9, 4 H), 6.48 (t, *J* = 5.9, 2 H), 7.21 – 7.35 (m, 10 H).

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Supporting Information



Fig. S1. ¹H NMR spectrum of N,N'-di-n-butylurea in CDCl₃



Fig. S2. ¹H NMR (400 MHz) spectrum of N,N'-diisopropylurea in DMSO-d₆



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Fig. S3. ¹H NMR (600 MHz) spectrum of N,N'-dicyclohexylurea in DMSO-d₆



Fig. S4. ¹H NMR (600 MHz) spectrum of N,N'-dibenzylurea in DMSO-d₆



CHAPTER 6. CONCLUSION

Iridium porphyrins and metal oxide-supported nanogold have shown promise as highly efficient catalysts in several chemical transformations. To this end, the research projects presented in this thesis have focused on an exploration of the stoichiometric and catalytic reactivities of iridium(III) porphyrin complexes, as well as the efficient synthesis of industrially important organic molecules via ceria (CeO₂)-supported nanogold-catalyzed amine oxidation reactions.

In this work, we have shown that amine-coordinated iridium porphyrin carbamoyl complexes are readily generated from the interactions of primary amines with the carbonyl ligand of a hexacoordinate iridium porphyrin complex. This led to the isolation of the carbamoyl complexes in high yields. The lability of the amine ligands, at room temperature, was established by variable-temperature NMR studies. Consequently, these ligands were substituted with other stronger binding ligands, such as quinuclidine, 1-methylimidazole, triethyl phosphite, and dimethylphenyl phosphine. This led to the isolation of novel hexacoordinate iridium porphyrin complexes. Equilibrium binding studies revealed the following order for the binding affinities of the ligands: Dimethylphenyl phosphine > triethyl phosphite > 1-methyl imidazole > quinuclidine > primary amines >> triethylamine, tricyclohexylphosphine. Furthermore, depending on the nature of the ligand *trans* to the carbamoyl ligand, each carbamoyl complex reacted with HBF4 to give either a hexacoordinate iridium carbonyl complex, or a complex, which contains neither a carbamoyl nor a carbonyl ligand. The former product is formed when the *trans* ligand is a nitrogen donor ligand, while the latter product is typically formed from hexacoordinate carbamoyl complexes, which contain *trans* phosphorus donor ligands such as triethyl phosphite or



dimethylphenyl phosphine. On the other hand, hexacoordinate iodo complexes were the products of the reactions of all of the hexacoordinate carbamoyl complexes with methyl iodide, regardless of the nature of the ligand *trans* to the carbamoyl group.

We pentacoordinated Ir(TTP)CH₃ have also shown that the (TTP is tetratolylporphyrinato) efficiently catalyzes the insertion of the carbene moieties from four different diazoesters into the S-H bond of different aromatic and aliphatic thiols. While the resulting thioether yields were obtained in high yields, equilibrium binding studies revealed that the thiol substrates reversibly bind to iridium, to generate a hexacoordinated complex, (thiol)Ir(TTP)CH₃. In addition, a Hammett correlation plot showed that electron-rich aromatic thiols bind more strongly to iridium than their electron-deficient counterparts. Competition and trapping experiments also provide evidence for an ylide intermediate, which would be formed from a nucleophilic attack of the thiol substrate on a putative iridiumcarbene complex. Furthermore, results of kinetic studies revealed the dual roles played by the thiol substrate during the catalysis: (i.) Thiol binding to the metal center of the catalyst generates the catalytically inactive hexacoordinate iridium complex, (thiol)Ir(TTP)CH₃ (ii.) The nucleophilic attack of the thiol on the metal carbene generates the thioether product. In the relatively higher thiol concentration regime, thiol binding to iridium is dominant, causing a reduction in the rate of S-H insertion, as most of the iridium catalyst is in the inactive form. At the relatively lower thiol concentration regime, however, nucleophilic attack at the carbon is dominant, leading to the observed faster reaction rates, as the thiol concentration is increased.

Also reported in this thesis, is the efficient one-pot synthesis of secondary and tertiary lactams, which are important chemical feedstocks, from the aerobic oxidation of cyclic



secondary and tertiary amines. The reactions are catalyzed by CeO₂-supported gold nanoparticles (Au/CeO₂) in the presence of 1 atmosphere of O₂, and the secondary and tertiary lactam products were obtained in yields up to 97%. Control experiments also showed that good yields of the secondary lactams are achievable in the absence of Aerosil co-catalyst, while the syntheses of tertiary lactams do not require the co-catalyst at all. The intermediacy of 5-(pyrrolidin-1-yl)-3,4-dihydro-2*H*-pyrrole (amidine-5) and 4-amino-1-(pyrrolidin-1-yl)butan-1-one) in the oxidation of pyrrolidine was established by their independent syntheses and catalytic conversions into 2-pyrrolidone. This provided evidence for an oxidative dehydrogenation first step during the catalytic conversion of the secondary amine substrates into the corresponding lactams.

Finally, promising results were obtained from the room temperature CeO₂-supported gold nanoparticles (Au/CeO₂)-catalyzed synthesis of N,N'-disubstituted ureas from the reactions of primary amines with 1 atmosphere each of CO and O₂. The isolated yield of N,N'-di-n-butylurea was 75%, while N,N'-dicyclohexylurea and N,N'-diisopropylurea were isolated in 40% yield and 37% yield, respectively. The detection of small quantities of isocyanate products suggests an initial formation of one isocyanate molecule from each molecule of the primary amine substrate. In analogy to the previously-proposed pathway for the bulk-gold catalyzed reactions, the isocyanate then reacts with another molecule of amine, to generate the N,N'-disubstituted urea product.

In conclusion, this work has further demonstrated the rich stoichiometric and catalytic reactivities of iridium(III) porphyrin complexes, as well as the catalytic applicability of metal oxide-supported nanogold in the synthesis of industrially relevant organic compounds. The discovery that the Au/CeO₂-catalyzed lactam syntheses proceed at a reasonably fast rate in



air, as shown in this work, coupled with the catalytic efficiency (low catalyst loadings and short reaction times) under optimized conditions, implies that our one-pot procedure could be an economically viable alternative method for the industrial manufacture of lactams in the future. Furthermore, it would be interesting to explore the catalytic activities of alloyed nanogold supported on CeO₂, as well as nanogold supported on mixed metal oxides in the conversion of cyclic amines into lactams. Since bulk gold has been shown to catalyze carbene transfer reactions involving diazo compounds, it would also be worthwhile to probe the catalytic activity of supported nanogold in this class of chemical transformations.

